

NOV 3 & 4 • SANTA ANITA PARK

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The world comes to Keeneland following the 2023 World Championships

Keeneland November Breeding Stock Sale

Book 1 Begins Wednesday, Nov. 8

2023 Breeders' Cup World Championships

PRE-ENTRY FORM

enclosed in pocket, or Scan the QR code & Pre-Enter Online!





BREEDERS' CUP LIMITED

215 W. Main Street, Suite 250 Lexington, Kentucky 40507 Phone: (859) 514-9422 E-mail: racing@breederscup.com www.members.breederscup.com





BREEDERS' CUP HORSEMEN

There are several **IMPORTANT** procedures for the 2023 Breeders' Cup World Championships (BCWC) at Santa Anita Park that we draw your attention to:

Breeders' Cup Condition of Integrity

- If any connection of a pre-entered horse has been notified by a regulatory body, racetrack or racing association (i) about a pending or potential anti-doping or medication control violation involving the connection, or (ii) that such connection is currently banned, ejected or denied from participating in racing in its jurisdiction or facility, such connection must immediately report the same to Breeders' Cup.
- 2. BCL may, in its sole and absolute discretion, declare ineligible any horse in any BCWC race if any connection (e.g., owner, trainer, jockey) of such horse has engaged in any conduct that is unlawful, unethical or may otherwise compromise the integrity or reputation of the BCWC.

<u>HISA</u>

All participants must be registered with the Horseracing Integrity and Safety Authority (HISA) prior to entry.

For more information, visit <u>www.hisaus.org</u>.

All participants must comply with current HISA rules and regulations. The rules and regulations that comprise HISA's Racetrack Safety Program were approved by the Federal Trade Commission (FTC) prior to their implementation on July 1, 2022. HISA's Anti-Doping and Medication Control (ADMC) rules took effect May 22, 2023.



All references to the rules of the California Horse Racing Board ("CHRB") or to rules or regulations of the Horseracing Integrity and Safety Authority ("Authority") (the "HISA Rules") (collectively, the CHRB Rules and the HISA Rules shall be referred to as the "Regulation(s)"), as they may be hereafter amended or modified, are not meant to replace or modify such official Regulations in any way, but rather to serve as a reference, and such Regulations are expressly incorporated by this reference. Except in the case of House Rules, if there is any discrepancy between the information set forth below and any applicable Regulation, the rules of the CHRB or the Authority, as the case may be, shall govern.

IMPORTANT

MANDATORY JOG UP EXAM BEFORE ENTERING THE RACETRACK

Beginning Friday, October 27 through Thursday, November 2, all Breeders' Cup Championship runners and all runners in the undercard on Friday, November 3 and Saturday, November 4 must enter the racetrack through the

Paddock Horse Path NOT the quarter chute.

All runners must be wearing their Breeders' Cup provided saddle towels during morning training. After passing the mandatory jog up exam through the tunnel, horses may exit to the track for their normal exercise and after training must exit the track at the quarter chute. Horses may NOT exit through the paddock, traffic

is one-way out to the racetrack until training hours are over.

Extended paddock schooling hours: 10:00 am - 11:00 am beginning Friday, October 27 through Wednesday, November 1.

Regular paddock schooling hours of 10:00 am to 10:30 am on Thursday, November 2 and no paddock schooling on Friday, November 3 or Saturday, November 4.

A map of the Mandatory Jog Up Exam location is on page 161.

PRIOR TO/UPON ARRIVAL

1. Per CHRB 1846.1. Veterinary Records for Horses Shipping into an Inclosure to Race or to Work for Removal from Veterinarian's List.

(a) If a horse has not been stabled at a facility under the jurisdiction of the Board for 30 days prior to a race, or for 30 days prior to working off the Veterinarian's List, the trainer shall submit to the official veterinarian, using a form prescribed by the Board, the previous 30-day veterinary treatment record within 24 hours of arriving at a licensed inclosure and before any scheduled race or workout. The veterinary treatment record shall include: (1) the name and identification number of the horse treated, (2) any medication, drug, substance, diagnostics, or procedure administered or prescribed by a veterinarian during the previous 30 days, (3) the name of the prescribing or treating veterinarian, (4) the dates of examinations by the treating veterinarian, and (5) the type of housing (stall, pen, pasture). This treatment record should be sent to the Official Veterinarian by email: <u>ovsocaltb@yahoo.com</u> or via EquiTAPS (form and complete rule on page 143).

2. Clenbuterol is a controlled substance with a specified subclassification of B on the HISA Prohibited Substance List of Controlled Medications dated March 1, 2023.

Treated horse Vet Listed for minimum 21 days after last treatment. Official Workout and Clearance Testing (blood and urine) required to re-establish eligibility to race. Dosing specification: 0.8 mcg/kg orally twice daily for up to 30 days total in a 6 month period.

- Intra-articular injections are prohibited within 14 days prior to Post time (or a Vets' List workout), and within 7 days prior to any Timed and Reported Workout. Please see page 98 (Medication, Testing & Safety) for more information.
- 4. The administration of nonsteroidal Anti-Inflammatory Drugs (NSAIDs) and corticosteroids is prohibited within 48 hours prior to Post Time or a Vet's List Work. If more than one NSAID or more than one corticosteroid is detected in a Post Work or Post Race sample of a Covered Horse, each NSAID and each corticosteroid above the applicable Screening Limit constitutes a Controlled Medication Rule Violation. If one or more NSAID, one or more corticosteroid, opioid analgesic, or local anesthetic is detected in a Post Work or Post Race sample of a Covered Horse, but each are below the applicable Screening Limits, they will together constitute a single Controlled Medication Rule Violation. Please refer to Withdrawal Guidance provided by the RMTC on page 105.
- 5. All horses entered, including undercard horses, on November 3 and 4 are prohibited from receiving Lasix (furosemide) within 48 hours of their respective post-time.
- 6. All runners MUST request permission for a timed workout from Santa Anita Park on any of the California surfaces and the runner must have an attending veterinarian exam during the three days prior to the work. This request must be submitted to the Santa Anita Park Veterinarian's Office <u>BY NOON TWO DAYS BEFORE</u> the intended work to workouts@santaanita.com. (Form on page 141).
- All runners MUST have a pre-entry exam from their attending veterinarian stating that the horse is fit to compete (form on page 140). This form must be submitted to <u>ovsocalth@yahoo.com</u> or via EquiTAPS <u>prior</u> to ENTRY at 10:00 am (PDT) on Monday, October 30.

- 8. All trainers of horses entered to race on November 3 and 4 at Santa Anita Park must have on file prior to arrival at Santa Anita Park, a signed stall application which may be found on the Santa Anita website, www.santaanita.com, under racing.
- 9. All international runners must arrive at Santa Anita Park no later than 10:00 pm (PDT) on Sunday, October 29.
- 10. All domestic runners must arrive at Santa Anita Park no later than 11:00 am (PDT) on Tuesday, October 31 for security check in.
- 11. All runners must pass a mandatory jog-up exam by a BCWC veterinarian in the designated jogging lane prior to being allowed on any racing surface to train. See page A2. A map of the exam location is on page 161.



SALES FLIGHT

Breeders' Cup is pleased to offer the opportunity to fly privately on Saturday evening, November 4, immediately following the World Championships, from the Van Nuys Airport in Los Angeles, CA to Lexington, KY. This exclusive offer allows for the purchase of individual seats on a Breeders' Cup chartered aircraft. For details of the flight, turn to the inside back cover of this Guide. Contact Claire Watts for more information: cwatts@breederscup.com, (859) 422-2631.

WELCOME



Welcome to the 2023 Breeders' Cup World Championships (BCWC) hosted by Santa Anita Park in Arcadia, California on November 3-4. This guide has been published in an effort to provide you with basic information about the event and the racetrack. Should you require more information on any aspect of the Breeders' Cup World Championships, please contact Breeders' Cup Limited (BCL) or the Los Angeles Turf Club, Inc. We wish you the best of racing luck.

Note: If there is any discrepancy or conflict between the BCL rules in a printed paper version of this guide and the digital PDF version, the rules set forth in the digital PDF version shall govern and be final.

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WELCOME

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OFFICERS

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BREEDERS' CUP LIMITED TELEPHONE DIRECTORY

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SANTA ANITA PARK TELEPHONE DIRECTORY

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Manny Gutierrez, Graphics & Program Production Manager	
Ed Martinez, <i>Director of Facility Grounds</i>	
Don Sanborn, <i>Director of Mutuels</i>	
Steve Scallon, Director of Security	
Pete Siberell, Director of Community Services & Special Projects	
Pamela Walden, Director of VIP & Owner Sales	
Mike Willman, Director of Publicity	
-	
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Jason Egan, Director of Racing, Racing Secretary	
Zachary Soto, Assistant Racing Secretary	
James Kasparoff, Stakes Coordinator	
Daniel Landers or Sandy Linares, <i>Paymaster of Purses</i>	
Ami Atkinson, Horsemen's Liaison	
Linda Ramirez, Clerk of the Course	
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California Horse Racing Board	
285 W. Huntington Dr., Arcadia, CA 91007, <i>Licensing</i>	
E-ma	ail: licensing_SA@chrb.ca.gov
Clockers' Corner	x 6554
Equine Hospital	x 6464
Film Room	x 6557
Holding Barn	x 6579
Horn Blower	
Jay Cohen	(626) 392-2304
Horse ID	
Jennifer Paige	x 6414
Jockeys' Room	
Kevin Colosi, <i>Clerk of Scales</i>	
Charles McCaul, Assistant Clerk of Scales	
mambare breadersoup com	

SANTA ANITA PARK TELEPHONE DIRECTORY

Men's	
Women's	
Colors Room	
Kitchen - Stable Cafeteria	х 6495
Official Clockers	x 6541 AM / x 6561 PM
Morning Line & Timer	
Jon White, <i>Oddsmaker</i>	
Dane Nelson, <i>Timer</i>	x 6574
Paddock Judge	
Jesus Calvillo	
Photography	Cell: (714) 470-2003
Benoit Photo, <i>Track Photography (see page 123)</i>	(626) 574-6463
	(626) 574-6419
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GENERAL INFORMATION



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(See page 122)



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Web Address:

www.santaanita.com

Horseracing Integrity & Welfare Unit (HIWU)

HIWU offers anonymous whistleblower platforms to submit tips and/or information relating to the ADMC Program and the Racetrack Safety Program. The telephone line is (888) 714-HIWU (4498), and the text line is (855) 901-TIPS (8477). Additionally, anyone wishing to provide a tip or information by email may do so by writing to hiwutips@hiwu.realresponse.com

SCHEDULE OF EVENTS

(All times PDT)

MONDAY, OCTOBER 23	
12:00 noon	Pre-Entry Deadline for Friday and Saturday
	Breeders' Cup World Championships Races
	(local time at designated place of pre-entry) [See page 13]
WEDNESDAY, OCTOBER 25	
8:30 am	Breeders' Cup Pre-Entered Fields and Race Order Announced
	Location: Breeders' Cup Racing Office, Santa Anita Park
SATURDAY, OCTOBER 28	
5:00 - 10:00 am	Breeders' Cup Training Hours, Main Track (Renovation Break 1: 6:00 am; Break 2: 7:15 am; Break 3: 8:30 am)
7:30 am	Breeders Cup International Runners Turf Training Only
10:00 am	Breeders Cup Turf Training Hours for All BCWC and Undercard Runners
4:45 - 10:00 am	Breeders' Cup Training Hours, Training Track (Breaks 6:45 am, 8:00 am)
MONDAY, OCTOBER 30	
6:00 - 11:00 am	Breakfast at the Breeders' Cup,
	Presented by WinStar Farm and Fasig-Tipton
10.00 cm	Location: Top of the stretch, Santa Anita Park
10:00 am	Entry Deadline for Friday & Saturday Breeders' Cup Races BCL Racing Office at Santa Anita Park [See page 17]
4:00 - 8:00 pm	Rood & Riddle Breeders' Cup Post Position Draw & Reception
	Location: Pasadena Civic Auditorium
TUESDAY, OCTOBER 31	
6:00 - 11:00 am	Breakfast at the Breeders' Cup,
	Presented by WinStar Farm and Fasig-Tipton
11.00	Location: Top of the stretch, Santa Anita Park
11:00 am	Mandatory Equine Security Check-In for all BCWC runners. Location: Santa Anita Park
WEDNESDAY, NOVEMBER 1	
6:00 - 11:00 am	Breakfast at the Breeders' Cup, Presented by WinStar Farm and Fasig-Tipton
	Location: Top of the stretch, Santa Anita Park
THURSDAY, NOVEMBER 2	
6:00 - 11:00 am	Breakfast at the Breeders' Cup.
	Presented by WinStar Farm and Fasig-Tipton
	Location: Top of the stretch, Santa Anita Park
7:30 - 10:30 pm	Breeders' Cup Welcome Celebration - A Call to Post
	Location: Langham Hotel
	Invitation Only – RSVP Required

SCHEDULE OF EVENTS

(All times PDT)

FRIDAY, NOVEMBER 3	Breeders' Cup World Championships • Future Stars Friday	
5:30 - 7:15 am	Training Hours, Main Track	
7:15 - 7:30 am	Turf Course Open to BCWC runners only	
8:00 am	Scratch Time – Friday Breeders' Cup World Championships Races	
9:00 am 9:30 am 10:00 am	Santa Anita Park Parking Gates Open Admission Gates Open Mutuel Windows Open	
11:30 am 2:00 pm 5:10 pm	Post Times: First Race of the Day First Championships Race of the Day Race 10: Last Race of the Day	
	Decident Over Would Obernaiseships - Obernaiseships Cotunder	
SATURDAY, NOVEMBER 4	Breeders' Cup World Championships • Championships Saturday	
SATURDAY, NOVEMBER 4 5:30 - 6:30 am	Breeders' Cup World Championships • Championships Saturday Training Hours, Main Track (No Turf Training)	
5:30 - 6:30 am	Training Hours, Main Track (No Turf Training)	

SUNDAY, NOVEMBER 5

Daylight Saving Time Ends

All events require invitations or are ticketed venues. Please contact Breeders' Cup at (859) 422-2697 for more information.



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Travel Awards

All Championships starters that are based outside of California will receive a \$10,000 (US) travel award if stabled at a North American location or a minimum of \$40,000 (US) travel award from outside of North America. Any determination of eligibility for receipt of travel awards shall be made by BCL in its sole discretion and such determination shall be final. It is at the discretion of BCL if the award is paid directly to the owner or is used towards incurred shipping expenses.

NOT OFFICIAL ORDER OF RACES

Breeders' Cup World Championships

Date: November 3-4, 2023

Location: Santa Anita Park, Arcadia, California, U.S.A.

Total Breeders' Cup World Championships Purse and Awards Distribution: \$31 million

Pre-Entry Deadline: 12:00 Noon (PDT) on Monday, October 23, 2023

Official Race Order and Post Times Announced: 8:30 am (PDT), Wednesday, October 25, 2023

Friday, November 3 • Future Stars Friday

Post Time for First Friday Race: 11:30 am (PDT)

Post Time for First Friday Breeders' Cup World Championships Race: 2:00 pm (PDT)

Race	Age, Sex	Distance	Purse [†]
Breeders' Cup Juvenile Turf Sprint (Gr. I)	2yo, Open	5 furlongs (T)	\$1MM
NetJets Breeders' Cup Juvenile Fillies (Gr. 1)	2yo, Fillies	$1 \frac{1}{16}$ miles	\$2MM
Breeders' Cup Juvenile Fillies Turf (Gr. 1)	2yo, Fillies	1 mile (T)	\$1MM
FanDuel Breeders' Cup Juvenile (Gr. I)	2yo, C&G	$1 \frac{1}{16}$ miles	\$2MM
Prevagen Breeders' Cup Juvenile Turf (Gr. I)	2yo, C&G	1 mile (T)	\$1MM

Saturday, November 4 • Championships Saturday

Post Time for First Saturday Race: 10:10 am (PDT)

Post Time for First Saturday Breeders' Cup World Championships Race: 11:30 am (PDT)

Race	Age, Sex	Distance	Purse [†]
PNC Bank Breeders' Cup Filly & Mare Sprint (Gr. I)	3yo & up, F&M	7 furlongs	\$1MM
Breeders' Cup Turf Sprint (Gr. I)	3yo & up	5 furlongs (T)	\$1MM
Big Ass Fans Breeders' Cup Dirt Mile (Gr. 1)	3yo & up	1 mile	\$1MM
Maker's Mark Breeders' Cup Filly & Mare Turf (Gr. I)	3yo & up, F&M	1 ¼ mile (T)	\$2MM
Qatar Racing Breeders' Cup Sprint (Gr. 1)	Зуо & ир	6 furlongs	\$2MM
FanDuel Breeders' Cup Mile (Gr. I)	Зуо & ир	1 mile (T)	\$2MM
Longines Breeders' Cup Distaff (Gr. I)	3yo & up, F&M	1 ¼ miles	\$2MM
Longines Breeders' Cup Turf (Gr. 1)	Зуо & ир	1 ½ miles (T)	\$4MM
Longines Breeders' Cup Classic (Gr. 1)	Зуо & ир	1 ¼ miles	\$6MM

[†]Purse includes travel awards, MM=Million All awards paid in U.S. funds

Sunset for November 3 is 5:58 pm (PDT) and November 4 is 5:57 pm (PDT)

1st Stage – Pre-Entry Procedures, Closing Noon, Monday, Oct 23

Pre-Entry of Championships

Breeders' Cup Limited (BCL) reserves the right to refuse the pre-entry or subsequent entry of any horse, in BCL's sole discretion, for any reason, including, but not limited to, situations where any connection (e.g., owner, trainer, jockey) of such horse has engaged or may have engaged in conduct that is unlawful, unethical or which may otherwise compromise the integrity or reputation of the Breeders' Cup World Championships (BCWC). See Condition of Integrity on page 16.

Pre-Entry Fee Requirements

In order to be eligible for **entry** in the Breeders' Cup World Championships, horses must **pre-enter** by 12 noon (PDT) on Monday, October 23, 2023. A **maximum of two races** can be designated at the time of pre-entry. Pre-entry must be accompanied by payment of all applicable fees. Pre-entry fee amounts are listed on individual race pages 19-32.

All horses must be nominated to the Breeders' Cup in order to participate. Non-nominated race horses may be nominated on or before the pre-entry deadline. For more information, see page 15 of this guide.

Pre-entries may be made online at <u>www.members.breederscup.com</u> or to the following agents (contact information on page 14): **Breeders' Cup Limited, Weatherbys, France Galop, or Horse Racing Ireland**

Pre-entry fees are payable to Los Angeles Turf Club, Inc. If paying by credit card, please contact the Breeders' Cup Racing Office at (859) 514-9422. Processing fees may apply.

Note: If a horse is pre-entered for more than one race, the required pre-entry payment must be for the race of greatest value regardless of whether that is the race of first choice. A maximum of **two** choices may be declared at the time of pre-entry.

Mutuel Entries (Coupling)

In all Breeders' Cup World Championships races, all starters shall be considered a separate betting interest irrespective of a common owner or trainer.

Pre-Entry Locations - Online pre-entry opens on October 1 at members.breederscup.com

The pre-entry deadline for the fourteen Breeders' Cup World Championships races is 12 noon (PDT), Monday, October 23, 2023. Horses may be pre-entered online or through one of the following agents:

Delivered on or Before October 20: Breeders' Cup Racing Office 215 W. Main Street, Suite 250 Lexington, KY 40507 U.S.A. E-mail: <u>racing@breederscup.com</u> Telephone & Text: (859) 514-9422 Delivered After October 20: Breeders' Cup Racing Office at Santa Anita Park 285 W. Huntington Drive Arcadia, CA 91007 U.S.A. E-mail: <u>racing@breederscup.com</u> Telephone & Text: (859) 514-9422

NOTE: All pre-entries, whether made with BCL or its agents shall be subject to the rules and regulations of BCL.

International Pre-Entry Locations: Pre-Entry closes at Noon (PDT), October 23

France Galop 46, Place Abel Gance 92655 Boulogne Cedex FRANCE Attn: Fiona Villion E-mail: <u>fvillion@france-galop.com</u> or Frederic Larraun E-mail: <u>flarraun@france-galop.com</u> Telephone: 33-1-4910-2146

Horse Racing Ireland

Attn: Richard Shaw Ballymany, The Curragh, Co. Kildare IRELAND E-mail: <u>entries@hri.ie</u> Telephone: 353-45-455-422 Fax: 353-45-455-423

Weatherbys

Entries: Andrew Dutton Racing Team Sanders Road Wellingborough, Northamptonshire, ENGLAND NN8 4BX E-mail: <u>RacingOps@weatherbys.co.uk</u> Telephone: 44-1933-440077

Wiring Instructions for Santa Anita Park

LATC Race Meeting Trust-Paymaster

Paymaster of Purses Wells Fargo Bank N.A. Wires: 1-800-289-3557 1000 Lakes Drive, Suite 250 West Covina, CA 91790 ABA Routing No. #121000248 Account # 4122172885 Swift Code #WFBIUS6S

VERY IMPORTANT After completing your wire transfer, please call or E-mail Dan Landers (dan.landers@santaanita.com) or Sandy Linares (sandy.linares@santaanita.com), the Paymaster of Purses, to verify your wire transfer has been received by the bank at (626) 574-6360.

Please reference the horse & owner names in wire details.

Non-Nominated Horses

Horses of Racing Age Nomination

Horses of Racing Age that in their year of conception were sired by stallions properly nominated to the Breeders' Cup, European Breeders' Fund or BCL/EBF Common Fund programs or sired by stallions nominated to the International Stallion program in 2011, may be nominated to the Breeders' Cup program by payment of a fee of \$100,000 (U.S.). Nominated Horses of Racing Age are eligible during their entire racing careers for Breeders' Cup World Championships races, or any other Breeders' Cup purses or awards programs for which they otherwise qualify. In such cases, the person nominating the horse would be eligible to receive the foal nominator award in respect of the Horse of Racing Age.

Horses of Racing Age that in their year of conception were sired by stallions that were not properly nominated to the Breeders' Cup, International Stallion, European Breeders' Fund or BCL/EBF Common Fund programs, may be nominated to the Breeders' Cup program by payment of a fee of **\$200,000 (U.S.)**. Nominated Horses of Racing Age are eligible during their entire racing careers for Breeders' Cup World Championships races, or any other Breeders' Cup purses or awards programs for which they otherwise qualify. In such cases, the person nominating the horse would be eligible to receive both the foal nominator award and stallion nominator award in respect of the Horse of Racing Age.

Check Eligibility

To check a race horse's eligibility or to nominate online a Horse of Racing Age please visit www.members.breederscup.com or call (859) 514-9422.

Horses of Racing Age Nomination

Payments

Horses of Racing Age payments will be accepted any time until 12 noon (PDT) at the place of pre-entry, on October 23. Horses may not pre-enter or enter any Breeders' Cup World Championships race without being nominated. Horses of Racing Age nominations are payable to Breeders' Cup Limited.

Wire Transfer Information for Racehorse Nominations may be obtained by calling the Breeders' Cup Nominations Department at (859) 514-9423 or

E-mail: nominations@breederscup.com

Refund of Horses of Racing Age Nomination

Horses of Racing Age nomination fees are not refundable except in the event the nominated horse is scratched after pre-entry to a Breeders' Cup World Championships race in the year the horse was nominated because of sickness or disability certified by the track or state veterinarian or pursuant to veterinary certification acceptable to Breeders' Cup Limited, in BCL's sole discretion, and such determination shall be final.

Notwithstanding the foregoing, Horses of Racing Age discount nomination fees due prior to or on July 15, 2023 are non-refundable for any reason.

Travel Awards

All Championships starters that are based outside of California will receive a \$10,000 (US) travel award if stabled at a North American location or a minimum of \$40,000 (US) travel award from outside of North America. Any determination of eligibility for receipt of travel awards shall be made by BCL in its sole discretion and such determination shall be final. It is at the discretion of BCL if the award is paid directly to the owner or is used towards incurred shipping expenses.

Breeders' Cup Condition of Integrity

- If any connection of a pre-entered horse has been notified by a regulatory body, racetrack or racing association (i) about a pending or potential anti-doping or medication control violation involving the connection, or (ii) that such connection is currently banned, ejected or denied from participating in racing in its jurisdiction or facility, such connection must immediately report the same to Breeders' Cup.
- 2. BCL may, in its sole and absolute discretion, declare ineligible any horse in any BCWC race if any connection (e.g., owner, trainer, jockey) of such horse has engaged in any conduct that is unlawful, unethical or may otherwise compromise the integrity or reputation of the BCWC.

Breeders' Cup Out-of-Competition Testing

Breeders' Cup eligible or nominated horses are subject to out-of-competition testing. Sampling and/or testing may be performed by HIWU or by international regulatory authorities in cooperation with HIWU, or by any delegee of the foregoing. This testing will be in accordance with the Horseracing Integrity and Authority, Inc.'s (HISA) rules. The Breeders' Cup OOC testing will be performed by The Kenneth L. Maddy Equine Analytical Chemistry Laboratory at UC Davis or such other laboratory or laboratories as the Horseracing Integrity and Welfare Unit ("HIWU") may direct. All routine testing meets or exceeds the protocols established by HISA and/or HIWU, and is required by Breeders' Cup World Championships to ensure that the highest possible level of testing is performed on all samples and to preserve and protect the integrity and reputation of the Championships. HIWU or its designee conducts OOC testing in accordance with HISA rules, expressly incorporated herein as the same may be now or hereinafter in effect, including HISA Rules 3132 (Authority to test), 3135 (Ownership of Samples), and 3137 (Purpose of Sample Analysis).

Final Stage – Entry Procedures, closing 10 am (PDT), Monday, Oct 30

Entries for all Breeders' Cup World Championships races will close promptly at 10:00 am (PDT) on Monday, October 30, 2023, in the Breeders' Cup Racing Office at Santa Anita Park only. A horse shall not be entered in two (2) races to be run on the same day. At the time of entry a maximum of fourteen horses and up to four (4) also-eligibles may be accepted for entry in each race based on the order of preference in the rankings established at pre-entry, except for the Dirt Mile, the Turf Sprint, and Juvenile Turf Sprint which will each start twelve horses with up to six (6) also-eligibles. Scratch time for all Friday Championships races to be contested will be 8:00 am (PDT) on Saturday, November 4, 2023.

Entry Fees:

Entry fees are payable to Los Angeles Turf Club, Inc. Entry fee amounts are listed on individual race pages 19-32.

Also-Eligible Horses

There may be up to four (4) also-eligible horses for each Championships race, excluding the Dirt Mile, Turf Sprint, and Juvenile Turf Sprint which will carry a total of up to six (6) also-eligible horses. The also-eligible horses will be designated in accordance with the Breeders' Cup Racing Directors/Secretaries Panel's order of preference for each Championships race that is oversubscribed at the time of pre-entry. See Field Selection System on page 33-34.

Minimum Entry Qualifications for Breeders' Cup World Championships Runners

Breeders' Cup World Championships Eligibility Rule:

First time starters will not be allowed to pre-enter any BCWC race. All pre-entered horses must have made at least one official start in a Thoroughbred race.

All starters must have made at least one official start between January 1, 2023, and the pre-entry deadline of Monday, October 23, 2023, before being allowed to pre-enter and must be aged nine (9) years or younger.

The following is for any 3-year-old and older horse that has broken its maiden. Any horse that has started for \$15,000 or less and has not won for \$20,000 or more in the previous two (2) years or has not finished second, third, fourth or fifth for \$20,000 or more since running for \$15,000 or less is not eligible to be pre-entered, to be entered or to run in a Breeders' Cup World Championships race.

The following is for any maiden 3-year-old or older, which has started in a Maiden Claiming race of \$32,000 or less.

Maidens that have started in a maiden race of \$18,000 or less will not be eligible. Maidens that have started in a maiden race of \$32,000 or less at ANY TIME and have not finished second, third, fourth or fifth in a maiden race greater than \$18,000 within their last four starts will not be eligible. Horses must start in at least four maiden claiming races for this rule to apply.

Refund of Pre-Entry or Entry Fees

Pre-entry and entry fees for nominated horses are nonrefundable except in the event of sickness or disability certified by the track or state veterinarian, or by veterinary certification acceptable to Breeders' Cup Limited, in BCL's sole discretion, and such determination shall be final, or in the event a horse is not selected by the Racing Directors/Secretaries Panel to enter the race of first choice or in the event a horse does not draw into the body of the field at scratch time or the horse is scratched by the Stewards. Should a pre-entry fee be paid of greater amount than that required for a designated race, the excess balance will be refunded or may be applied to entry fee requirements for the race actually entered. (For example, if a pre-entry fee of \$30,000 is paid in anticipation of entering the Breeders' Cup Mile and the horse is actually entered in the Breeders' Cup Turf Sprint instead – requiring a \$15,000 pre-entry fee – then the excess \$15,000 may be applied to the fees due at the time entries are taken.)

Nominator Awards

Horses that finish first through last in any Breeders' Cup World Championships race will earn a foal nominator award and it will be paid to the person who nominated the foal/horse. Horses that finish first through fourth will earn a stallion nominator award which will be paid to the person who nominated the sire of the horse to Breeders' Cup. Stallion nominator awards will not be paid to stallions who are not BCL nominated. Stallion nominator awards will be paid to nominators under the International Breeders' Cup program in accordance with the rules of that program and a copy of these rules may be obtained by calling Breeders' Cup Limited at (859) 514-9422.

The announced guaranteed purse of each Breeders' Cup World Championships race will not be increased by pre-entry or entry fees collected prior to race day. Nominator awards are not included in the guaranteed purse. Nominator awards will be paid directly by Breeders' Cup Limited.

Notes on Purse Distributions

- Note 1 Owners' purse distribution will be based on official order of finish.
- Note 2 All unpaid travel awards will remain the property of Breeders' Cup Limited.
- Note 3 Owner/nominator must ensure that BCL has current contact information for the owner/nominator. BCL will make reasonable efforts to locate owners/nominators to pay awards. If BCL does not locate an owner/nominator for the payment of an award and the owner/nominator does not claim the award within three (3) years from the date of the race upon which the award was determined, the owner/nominator forfeits all claims to the award and it reverts to BCL.
- **Note 4** Purse distributions noted on race conditions apply to races with five (5) or more starters.

(Races are listed alphabetically, by day)



BREEDERS' CUP JUVENILE (Grade I) Presented by Thoroughbred Aftercare Alliance

To be run Friday, November 3

With a gross purse of US\$2,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$1,040,000, 2nd \$340,000, 3rd \$180,000, 4th \$100,000, 5th \$60,000, 6th \$40,000, 7th \$20,000, 8th \$20,000, 9th \$20,000, 10th \$20,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$40,000, 2nd \$12,000, 3rd \$6,000, 4th \$3,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

For two-year-old colts & geldings One Mile and One-Sixteenth (1 1/16 M) (Dirt) (1700 m) \$30,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$30,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Weight: 122 Ibs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.

All international runners will receive a \$40,000 shipping stipend upon starting.

 $\label{eq:constraint} Runners \ who \ stable \ in \ California \ are \ not \ eligible \ for \ the \ award.$



NETJETS

BREEDERS' CUP JUVENILE FILLIES (Grade I)

To be run Friday, November 3

With a gross purse of US\$2,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$1,040,000, 2nd \$340,000, 3rd \$180,000, 4th \$100,000, 5th \$60,000, 6th \$40,000, 7th \$20,000, 8th \$20,000, 9th \$20,000, 10th \$20,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$40,000, 2nd \$12,000, 3rd \$6,000, 4th \$3,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

For two-year-old fillies

One Mile and One-Sixteenth (1 1/16 M) (Dirt) (1700 m) \$30,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$30,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Weight: 122 Ibs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.



BREEDERS' CUP JUVENILE FILLIES TURF (Grade I)

To be run Friday, November 3

With a gross purse of US\$1,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$520,000, 2nd \$170,000, 3rd \$90,000, 4th \$50,000, 5th \$30,000, 6th \$20,000, 7th \$10,000, 8th \$10,000, 9th \$10,000, 10th \$10,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$20,000, 2nd \$8,000, 3rd \$4,000, 4th \$2,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

For two-year-old fillies One Mile (1 M) (Turf) (1600 m) \$15,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$15,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Weight: 122 Ibs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.



Prevagen

BREEDERS' CUP JUVENILE TURF (Grade I)

To be run Friday, November 3

With a gross purse of US\$1,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$520,000, 2nd \$170,000, 3rd \$90,000, 4th \$50,000, 5th \$30,000, 6th \$20,000, 7th \$10,000, 8th \$10,000, 9th \$10,000, 10th \$10,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$20,000, 2nd \$8,000, 3rd \$4,000, 4th \$2,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

For two-year-old colts & geldings One Mile (1 M) (Turf) (1600 m) \$15,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$15,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Weight: 122 Ibs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.



BREEDERS' CUP JUVENILE TURF SPRINT (Grade I)

To be run Friday, November 3

With a gross purse of US\$1,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$520,000, 2nd \$170,000, 3rd \$90,000, 4th \$50,000, 5th \$30,000, 6th \$20,000, 7th \$10,000, 8th \$10,000, 9th \$10,000, 10th \$10,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$20,000, 2nd \$8,000, 3rd \$4,000, 4th \$2,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

For two-year-olds (open) Five Furlongs (5 F) (Turf) (1000 m) \$15,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$15,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

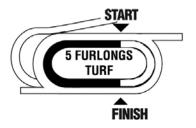
> Weight: 122 lbs Fillies Allowed 3 lbs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 12 STARTERS MAY TAKE PART IN THIS RACE • 6 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.





BREEDERS' CUP CLASSIC (Grade I)

To be run Saturday, November 4 With a gross purse of US\$6,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$3,120,000, 2nd \$1,020,000, 3rd \$540,000, 4th \$300,000, 5th \$180,000, 6th \$120,000, 7th \$60,000, 8th \$60,000, 9th \$60,000, 10th \$60,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$100,000, 2nd \$30,000, 3rd \$15,000, 4th \$7,500 Additional \$1,000 Foal Nominator Award paid 5th through last.

For three-year-olds and upward One Mile and One-Quarter (1 1/4 M) (Dirt) (2000m) \$75,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$75,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

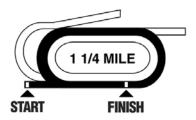
Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Northern Hemisphere-bred: Weights: 3YO 122 Ibs; 4YO & Up 126 Ibs Southern Hemisphere-bred: Weights: 3YO 118 Ibs; 4YO & Up 126 Ibs Fillies & Mares Allowed 3 Ibs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline. NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.





BREEDERS' CUP DIRT MILE (Grade I)

To be run Saturday, November 4

With a gross purse of US\$1,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$520,000, 2nd \$170,000, 3rd \$90,000, 4th \$50,000, 5th \$30,000, 6th \$20,000, 7th \$10,000, 8th \$10,000, 9th \$10,000, 10th \$10,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$20,000, 2nd \$8,000, 3rd \$4,000, 4th \$2,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

> For three-year-olds and upward One Mile (1 M) (Dirt) (1600 m)

\$15,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$15,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Northern Hemisphere-bred: Weights: 3YO 123 lbs; 4YO & Up 126 lbs Southern Hemisphere-bred: Weights: 3YO 120 lbs; 4YO & Up 126 lbs Fillies & Mares Allowed 3 lbs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 12 STARTERS MAY TAKE PART IN THIS RACE • 6 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.

 $\label{eq:alpha} \mbox{All international runners will receive a $40,000 shipping stipend upon starting. }$

Runners who stable in California are not eligible for the award.





BREEDERS' CUP DISTAFF (Grade I)

To be run Saturday, November 4

With a gross purse of US\$2,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$1,040,000, 2nd \$340,000, 3rd \$180,000, 4th \$100,000, 5th \$60,000, 6th \$40,000, 7th \$20,000, 8th \$20,000, 9th \$20,000, 10th \$20,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$40,000, 2nd \$12,000, 3rd \$6,000, 4th \$3,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

For fillies & mares, three-years-old and upward One Mile and One-Eighth (1 1/8 M) (Dirt) (1800 m) \$30,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$30,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Northern Hemisphere-bred: Weights: 3YO 121 lbs; 4YO & Up 124 lbs Southern Hemisphere-bred: Weights: 3YO 118 lbs; 4YO & Up 124 lbs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.



PNC BANK

BREEDERS' CUP FILLY & MARE SPRINT (Grade I)

To be run Saturday, November 4 With a gross purse of US\$1,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$520,000, 2nd \$170,000, 3rd \$90,000, 4th \$50,000, 5th \$30,000, 6th \$20,000, 7th \$10,000, 8th \$10,000, 9th \$10,000, 10th \$10,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$20,000, 2nd \$8,000, 3rd \$4,000, 4th \$2,000 Additional \$1,000 Foal Nominator Award paid 5th through last. For fillies & mares, three-years-old and upward Seven Furlongs (7F) (Dirt) (1400 m) \$15,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$15,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023 Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007 Northern Hemisphere-bred: Weights: 3YO 122 lbs; 4YO & Up 124 lbs Southern Hemisphere-bred: Weights: 3YO 120 lbs; 4YO & Up 124 lbs Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.



Maker's

BREEDERS' CUP FILLY & MARE TURF (Grade I)

To be run Saturday, November 4 With a gross purse of US\$2,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$1,040,000, 2nd \$340,000, 3rd \$180,000, 4th \$100,000, 5th \$60,000, 6th \$40,000, 7th \$20,000, 8th \$20,000, 9th \$20,000, 10th \$20,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$40,000, 2nd \$12,000, 3rd \$6,000, 4th \$3,000 Additional \$1,000 Foal Nominator Award paid 5th through last. For fillies & mares, three-years-old and upward One Mile and One-Quarter (1 1/4 M) (Turf) (2000m) \$30,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$30,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023 Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007 Northern Hemisphere-bred: Weights: 3YO 120 lbs; 4YO & Up 124 lbs Southern Hemisphere-bred: Weights: 3YO 118 lbs; 4YO & Up 124 lbs Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline. NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN **Covered Horses are prohibited from receiving Lasix (furosemide)**

within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.

All international runners will receive a \$40,000 shipping stipend upon starting. Runners who stable in California are not eligible for the award.



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BREEDERS' CUP MILE (Grade I) Presented by Permanently Disabled Jockey Fund

To be run Saturday, November 4 With a gross purse of US\$2,000.000 (includes travel awards)

Owners' purse to be divided as follows: 1st \$1,040,000, 2nd \$340,000, 3rd \$180,000, 4th \$100,000, 5th \$60,000, 6th \$40,000, 7th \$20,000, 8th \$20,000, 9th \$20,000, 10th \$20,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$40,000, 2nd \$12,000, 3rd \$6,000, 4th \$3,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

> For three-year-olds and upward One Mile (1 M) (Turf) (1600 m)

\$30,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$30,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Northern Hemisphere-bred: Weights: 3YO 123 lbs; 4YO & Up 126 lbs Southern Hemisphere-bred: Weights: 3YO 120 lbs; 4YO & Up 126 lbs Fillies & Mares Allowed 3 lbs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline. NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.





BREEDERS' CUP SPRINT (Grade I)

To be run Saturday, November 4

With a gross purse of US\$2,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$1,040,000, 2nd \$340,000, 3rd \$180,000, 4th \$100,000, 5th \$60,000, 6th \$40,000, 7th \$20,000, 8th \$20,000, 9th \$20,000, 10th \$20,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$40,000, 2nd \$12,000, 3rd \$6,000, 4th \$3,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

> For three-year-olds and upward Six Furlongs (6 F) (Dirt) (1200 m)

\$30,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$30,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

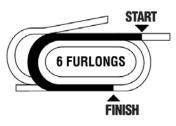
Northern Hemisphere-bred: Weights: 3YO 124 lbs; 4YO & Up 126 lbs Southern Hemisphere-bred: Weights: 3YO 122 lbs; 4YO & Up 126 lbs Fillies & Mares Allowed 3 lbs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.
 All international runners will receive a \$40,000 shipping stipend upon starting.
 Runners who stable in California are not eligible for the award.



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RACE SCHEDULE & CONDITIONS November 4 • Championships Saturday



BREEDERS' CUP TURF (Grade I)

To be run Saturday, November 4

With a gross purse of US\$4,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$2,080,000, 2nd \$680,000, 3rd \$360,000, 4th \$200,000, 5th \$120,000, 6th \$80,000, 7th \$40,000, 8th \$40,000, 9th \$40,000, 10th \$40,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$50,000, 2nd \$15,000, 3rd \$7,500, 4th \$3,750 Additional \$1,000 Foal Nominator Award paid 5th through last.

For three-year-olds and upward One Mile and One-Half (1 1/2 M) (Turf) (2400 m) \$50,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$50,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Northern Hemisphere-bred: Weights: 3YO 122 lbs; 4YO & Up 126 lbs Southern Hemisphere-bred: Weights: 3YO 118 lbs; 4YO & Up 126 lbs Fillies & Mares Allowed 3 lbs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline. NOT MORE THAN 14 STARTERS MAY TAKE PART IN THIS RACE • 4 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide)

within 48 hours of their Post Time.

<u>Travel</u>

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.

All international runners will receive a \$40,000 shipping stipend upon starting. Runners who stable in California are not eligible for the award.



RACE SCHEDULE & CONDITIONS November 4 • Championships Saturday

BREEDERS' CUP TURF SPRINT (Grade I)

To be run Saturday, November 4 With a gross purse of US\$1,000,000 (includes travel awards) Owners' purse to be divided as follows: 1st \$520,000, 2nd \$170,000, 3rd \$90,000, 4th \$50,000, 5th \$30,000, 6th \$20,000, 7th \$10,000, 8th \$10,000, 9th \$10,000, 10th \$10,000 In addition to gross purse, nominator awards will be paid to both the foal and stallion nominator (based on eligibility qualifications) as follows: 1st \$20,000, 2nd \$8,000, 3rd \$4,000, 4th \$2,000 Additional \$1,000 Foal Nominator Award paid 5th through last.

For three-year-olds and upward Five Furlongs (5 F) (Turf) (1000 m) \$15,000 pre-entry fee due at Noon (PDT) on Monday, October 23, 2023, and \$15,000 entry fee due at 10:00 am (PDT) on Monday, October 30, 2023

Payable to Los Angeles Turf Club, Inc. at the Breeders' Cup Racing Office, Santa Anita Park, 285 W. Huntington Dr., Arcadia, CA 91007

Northern Hemisphere-bred: Weights: 3YO 124 lbs; 4YO & Up 126 lbs Southern Hemisphere-bred: Weights: 3YO 122 lbs; 4YO & Up 126 lbs Fillies & Mares Allowed 3 lbs

Horses not nominated to the Breeders' Cup must be nominated by the pre-entry deadline.

NOT MORE THAN 12 STARTERS MAY TAKE PART IN THIS RACE • 6 ALSO-ELIGIBLES MAY BE TAKEN Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time.

Travel

All non-California based runners that ship into California from a North American location will receive a \$10,000 shipping stipend upon starting.

All international runners will receive a \$40,000 shipping stipend upon starting. Runners who stable in California are not eligible for the award.



Breeders' Cup Field Selection System (For Races That Oversubscribe)

A maximum of 14 starters are allowed in each of the 14 Breeders' Cup World Championships races with the exception of the Dirt Mile, Turf Sprint, and Juvenile Turf Sprint which will each start 12. Breeders' Cup Limited has adopted a field selection system to select runners in the event fields are oversubscribed. This system ranks horses in order of preference based upon (i) wins in Breeders' Cup Challenge races, (ii) a point system, and (iii) the judgment of a panel of racing experts. The field selection system will be implemented, as necessary, following the taking of pre-entries on October 23, 2023, to officially rank the oversubscribed fields. The Racing Directors/Secretaries Panel (the "Panel") will rank all the horses pre-entered in the oversubscribed races as described below. After pre-entry ranking, any vacancies in the fields will be filled by horses in order of panel preference.

The Panel consists of five North American Racing Directors or Secretaries, four International Senior Handicappers, two senior advisors who have a non-voting role, and includes the host track's racing secretary who is invited to sit in as a non-voting member on the deliberations if not already a member of the Panel. The Panel will be free to establish its own criteria or rankings so that highquality horses of demonstrated ability will have the opportunity to participate in Championships races regardless of earlier participation in graded events or accumulated purse earnings. The Panel may strongly rely upon international ratings evaluations.

Breeders' Cup Graded Stakes Points

Specific point values are assigned to first-, second- and third-place finishes in stakes throughout the United States and/or Canada from January 1 through the pre-entry deadline date of October 23, 2023, which have been granted graded status by the American Graded Stakes Committee of the Thoroughbred Owners and Breeders Association and/or by The Jockey Club of Canada. International Group races outside of the U.S. and Canada have no point value. If the connections of a pre-entered horse have been notified by a regulatory body about an anti-doping or medication control violation from participation in a American or Canadian Graded Stakes race, and that violation would result in disqualification, any accrued points from the race will be revoked until the matter reaches a legal conclusion. Accrued points will be reinstated if the matter is legally overturned prior to the running of 2023 Breeders' Cup World Championships.

Based on this system, win, place and show horses in Grade I stakes earn ten, six and four points, respectively. The top three finishers in Grade II stakes earn six, four and two points, respectively, and in Grade III races the points earned are four, two and one, respectively.

Breeders' Cup Graded Stakes Points

	Win	Place	Show
Grade I	10	6	4
Grade II	6	4	2
Grade III	4	2	1

RACE SCHEDULE & CONDITIONS

Except for the five two-year-old Championships races, Graded Stakes points for dirt races will be the only points considered for horses pre-entered in dirt Championships races and Graded Stakes points for turf races will be the only points considered for horses pre-entered in turf Championships races. All Graded Stakes points will be considered for the five two-year-old Championships races.

NOTE: Challenge wins or Graded Stakes points will have no bearing on ranking after pre-entry selection.

Selection Procedures

In the case of an oversubscribed 14 or 12 horse field, the first horse(s) selected will be the winner(s) of Breeders' Cup Challenge races in the corresponding Breeders' Cup Championships division. After the selection of Challenge winners, the next horses will be ranked at pre-entry based on the total number of Graded Stakes points they have collected during the year until a maximum of seven or six horses (or all Challenge winners) have been ranked based on Breeders' Cup Challenge races and Graded Stakes points. All horses will need to accumulate a minimum of eight (8) points in American and/or Canadian Graded Stakes races to be considered to receive an automatic selection on points in any Championships race with the exception of the five Juvenile races. In the five Juvenile races, horses will need to accumulate a minimum of six (6) points in American and/or Canadian Graded Stakes races to be considered to receive an automatic selection on points.

The horses remaining after the first seven or six (or all Challenge winners) in oversubscribed 14 or 12 horse fields at the time of pre-entry will be ranked in order of preference by the Panel.

Horses will be allowed to pre-enter a maximum of two races. The races in which the horse preenters must be designated in order of choice at the time of pre-entry on October 23, 2023. Performance in Breeders' Cup Challenge races and points earned in American Graded Stakes races and/ or Canadian Graded Stakes races have no bearing on a given Championships race field unless the race is oversubscribed (more than fourteen or twelve pre-entered).

Once a horse has been ranked among the first 14 or 12 horses in its race of first choice at preentry, its ranking in its race of second choice will be based first on whether it won a Breeders' Cup Challenge race in the Championships division of the second choice and then on the judgment of the Panel regardless of the number of points earned in American and/or Canadian Graded Stakes races. If a horse is not ranked among the first 14 or 12 horses in its race of first choice and it did not win a Challenge race in its Championships division of second choice, then its points earned in American and/or Canadian Graded Stakes races will be utilized for ranking in its race of second choice.

Ties at Pre-Entry

In the event more than 14 or 12 horses are pre-entered in a particular Championships race and two or more horses are tied for the seventh or sixth highest number of American and/or Canadian Graded Stakes races points at the time of pre-entry, the Panel shall decide in its sole discretion which of those horses shall be the seventh or sixth highest point earner. Horses not selected shall then be ranked in order of preference by the Panel at its discretion.

PAYMASTER OF PURSES

Pre-Entry Rankings

The Panel will issue a list of all horses ranked in order of preference for each Championships race that is oversubscribed at the time of pre-entry. After pre-entry, any vacancies in the fields of oversubscribed races will be filled by horses in the Panel's order of preference as determined at pre-entry.

Paymaster of Purses

The Paymaster of Purses office will be open from 8:30 am (PDT) until after the last Santa Anita Park race on racing days to transact business with horsemen. The office is located near the Santa Anita Park Racing office. Contact Dan Landers (<u>dan.landers@santaanita.com</u>) or Sandy Linares (<u>sandy.linares@santaanita.com</u>), Phone: (626) 574-6360.

No purse earnings or travel awards for any Breeders' Cup World Championships races will be released until cleared by the CHRB after all post-race testing is complete and approval by BCL has been issued to the paymaster. Any test results that result in a positive for non-permitted substances will cause purse and travel distribution to be placed on hold for the affected race.

The Paymaster of Purses will deduct from the owner's account and deposit into the trainer's account 10 percent of the purse earned on any horse that finishes first, second or third, subject to an opt-out provision made by the owner prior to the race. "Purse earned" means all amounts earned (excluding any applicable travel awards) less any nomination, entry or start fees paid by the owner. All owners have the right to opt out of this program if they so choose. To do so, file CHRB Form 134 located on page 145 of this Horsemen's Information Guide with the Paymaster of Purses at Santa Anita Park.

Please note that if you file a CHRB Form 134 to opt out of this automatic payment, (a) it will apply to all horses you own any part of, (b) it will be binding on all partners on those horses, (c) it will apply to all trainers you employ and (d) it will remain in force until you submit a written revocation to the Paymaster at the race meeting where the form was submitted.

Owners and trainers are required to file their complete address information and U.S. Tax Reporting Number. Owners must deposit jockey fees and all fees for stakes engagements prior to post-time of their race. In the event the parties reach an agreement with respect to the fee paid to the jockey, said agreement or contract must be signed by all parties and delivered to the Paymaster of Purses prior to the running of the race in question. If no contract or written agreement is submitted prior to the race in question, the Paymaster of Purses shall debit the owner's account in accordance with the fee scale set forth in Section 1632 of the Rules and Regulations of the California Horse Racing Board.

All Breeders' Cup World Championships owners should deposit a \$237 jockey mount fee prior to entry for the races. Jockey pay percentages are 10 percent for first, 5 percent for second and 5 percent for third.

Paymaster of Purses

Dan Landers (<u>dan.landers@santaanita.com</u>) or Sandy Linares (<u>sandy.linares@santaanita.com</u>) (626) 574-6360

Note | United States Non-Residents - The Internal Revenue Service requires that the racetrack withhold 30 percent on any gross purse earnings or travel awards to a non-resident of the United States in the absence of a Form W8BEN or W8ECI placed on file with the Paymaster of Purses. Forms are available through the Paymaster, Breeders' Cup Limited, or the International Racing Bureau.

Signed W-9 Forms must be in the office of the Paymaster of Purses prior to any purse money being distributed. If no form is present, **30 percent of the purse** will be held until the form is completed.

Non-California resident owners, trainers and jockeys will have a mandatory seven (7) percent of all purse money deducted for State of California taxes.

Attention Owners: CHRB rule 1970. Wagering on Competing Horse.

No owner, authorized agent or trainer having a horse entered in a race shall wager on, or include in any wager, any other horse competing in such races to finish first regardless of whether such wagers are "exotic" or "conventional." No employee or representative of any owner, authorized agent or trainer having a horse entered in a race shall wager on, or include in any wager, any other horse competing in such race to finish first regardless of whether such wagers are "exotic" or "conventional."

Amendment to Rule 1467, Paymaster of Purses

The amendment which became effective May 8, 2008, requires the Paymaster of Purses to deduct 0.3 percent of the net purse earned by any thoroughbred horse at a thoroughbred racing association or racing fair for deposit into the California Retirement Management Account (CARMA).

A horse owner may 'opt-out' of the CARMA deduction by submitting a form CHRB-206 Notification of Exclusion of CARMA contribution, the form is located on page 146 of this guide. The form must be submitted at each live racing meeting at which the owner participates. A horse owner who has not submitted a form CHRB-206 with the Paymaster at the track in which their horse is competing will be subject to the 0.3 percent CARMA deduction.

* Direct deposit is available to U.S. Banks *

RACE SCHEDULE & CONDITIONS

2023 Breeders' Cup Challenge Series: Win & You're In



The 2023 Breeders' Cup Challenge Series is a "Win & You're In" program. With 80 races selected worldwide across every Championships division, owning a Breeders' Cup nominated runner is more important than ever.

In 2023, any nominated horse that wins a Challenge race will receive:

- An automatic starting position in the corresponding Championships race.
- Pre-entry and entry fees paid.
- A nominator award of \$10,000 to the person nominating the foal or Horse of Racing Age.

All Championships starters will receive:

• A travel allowance of \$10,000 for North American runners (if stabled outside of California) and \$40,000 for international runners will be awarded when they start in the Breeders' Cup World Championships.

Main FOX Breeders' Cup Challenge Series: Win and You're In, on NBC Universal & Fox

This year's Breeders' Cup Challenge Series, broadcast on NBC, CNBC and Fox, includes live programs featuring major stakes on the road to the Breeders' Cup World Championships (BCWC). Santa Anita Park is the home of this year's Breeders' Cup World Championships, November 3-4, which will be televised live by NBC Universal.

The BCWC offer 14 Championships races and \$31 Million in purses and awards each year. When combined with the Challenge program benefits, millions in purses and awards are available for Breeders' Cup nominated horses annually. All horses must be nominated in order to compete in the Championships.

In the event a designated Breeders' Cup Challenge turf race (Turf Sprint, Juvenile Turf, Juvenile Fillies Turf, Juvenile Turf Sprint, Filly and Mare Turf, Turf or Mile Division) is taken off the turf, due to unsafe racing conditions, the horse that wins the "off the turf" race will NOT receive an automatic qualifying position and will NOT receive the starting fees (the total of pre-entry and entry fees) to enter the Breeders' Cup World Championships in the applicable Turf Division race; provided, however, that Breeders' Cup Limited may, at its option, reinstate the "Win and You're In" status of the "off the turf" race. The decision to take a Breeders' Cup Challenge Division turf race off the turf course is under the sole discretion of the racetrack/racing association, not Breeders' Cup Limited.

All Challenge winners and all Championships possible runners are subject to out-of-competition testing as set forth herein. If the connections of a horse that wins a Breeders' Cup Challenge designated race are notified by a regulatory body about an anti-doping or medication control violation or a violation of any regulatory agency rule, the automatic selection into a BCWC race, paid entry fees, and other benefits will not be awarded. Challenge benefits will be reinstated if the matter is legally overturned prior to the running of the 2023 Breeders' Cup World Championships.

For a complete list of 2023 Breeders' Cup Challenge races, winners and race replays, as well as program rules, please visit <u>www.members.breederscup.com</u>.

RACE SCHEDULE & CONDITIONS

2023 Breeders' Cup Dirt Dozen Bonus Series

BREEDERS DOZEN

We have selected 12 North American dirt races, two per Breeders' Cup World Championships division (excluding

\$2 million Race Division

\$30,000

\$15,000

Cash bankroll towards BCWC fees

the Classic), to be included in the Dirt Dozen bonus series.

Horses that finish first, second or third in these selected races will receive a tiered bonus bankroll that will be applicable to fees (pre-entry and entry) at the BCWC.

Connections will be able to use these bonus credits toward BCWC entry fees. The bonus money will be accumulated towards each individual horse and not be applicable to other horses belonging to owners/trainers. Credits may be used for the divisional dirt race it was earned or another eligible Championship dirt race. Runners may bankroll multiple benefits in more than one Dirt Dozen race, but may not use more than the combined pre-entry and entry fees for the BCWC race it competes in. If a runner wins both a Challenge division race and a Dirt Dozen race, the horse only receives the full benefits of the Challenge win. Bankroll credits must be used in the year they were earned. In order to use the Dirt Dozen bonus at the BCWC, a horse must be nominated prior to the pre-entry deadline of noon, October 23.

If the connections of a horse that places first, second, or third in a Breeders' Cup Dirt Dozen designated race are notified by a regulatory body about an anti-doping or medication control violation or a violation of any regulatory agency rule, the Dirt Dozen benefits will not be awarded. Dirt Dozen benefits will be reinstated if the matter is legally overturned prior to the running of the 2023 Breeders' Cup World Championships. The complete program rules for the Breeders' Cup Dirt Dozen are available on www.members.breederscup.com.

\$1 million Race Division **Bonus Tier Cash bankroll towards BCWC fees** Bonus Tier 1st \$15,000 1st 2nd \$7,500 2nd

Dirt Dozen Bankroll Tiers

\$3,750

3rd

	3rd		\$7,500
2023 Dirt Dozen	Bonus	Series	Schedule

Division	Date	Race	Track	Grade	Distance
Dirt Mile	7/29/23	San Diego Handicap	Del Mar	Grade II	1 1/16 Miles
Dirt Mile	8/19/23	Philip H. Iselin	Monmouth Park	Grade III	1 1/16 Miles
Distaff	7/1/23	Fleur De Lis	Churchill Downs at Ellis Park	Grade II	1 1/8 Miles
Distaff	10/1/23	Zenyatta Stakes	Santa Anita	Grade II	1 1/16 Miles
Filly & Mare Sprint	5/6/23	Derby City Distaff	Churchill Downs	Grade I	7F
Filly & Mare Sprint	8/27/23	Rancho Bernardo	Del Mar	Grade III	6 1/2 F
Juvenile	9/10/23	Del Mar Futurity	Del Mar	Grade I	7F
Juvenile	9/16/23	Iroquois	Churchill Downs	Grade III	1 1/16 Miles
Juvenile Fillies	9/3/23	Spinaway	Saratoga	Grade I	7F
Juvenile Fillies	9/16/23	Pocahontas	Churchill Downs	Grade III	1 1/16 Miles
Sprint	7/1/23	Smile Handicap	Gulfstream Park	Grade III	6F
Sprint	9/30/23	Santa Anita Sprint Championship	Santa Anita	Grade II	6F

2023 Santa Anita Park Fall Meet Stakes Schedule

(excluding Breeders' Cup World Championships Races)

Date	Closing	Event	Value	& Distance
9/29/23	9/15	CHILLINGWORTH (GIII) F/M, 3 & UP		\$100,000 6½ F
9/30/23	9/15	EDDIE D STAKES (GII) 3 & UP		\$200,000 6½ F (T)
9/30/23	9/15	CITY OF HOPE STAKES (GII) 3 & UP		\$200,000 1 M (T)
9/30/23	9/15	SANTA ANITA SPRINT CHAMPIONSHIP (GII) 3 & UP	DIRT 伦 DOZEN	\$200,000 6 F
9/30/23	9/15	AWESOME AGAIN STAKES (GI) 3 & UP	CHALLENGE	\$300,000 1½ M
10/1/23	9/15	UNZIP ME STAKES F, 3 YO		\$100,000 6½ F (T)
10/1/23	9/15	TOKYO CITY CUP (GIII) 3 & UP		\$100,000 1½ M
10/1/23	9/15	JOHN HENRY TURF CHAMPIONSHIP (GII) 3 & UP		\$200,000 1¼ M (T)
10/1/23	9/15	ZENYATTA STAKES (GII) F/M, 3 & UP	DIRT 伦 DOZEN	\$200,000 1 ¹ ⁄16 M
10/7/23	9/22	SPEAKEASY STAKES 2 YO		\$100,000 5 F (T)
10/7/23	9/22	AMERICAN PHAROAH STAKES (GI) 2 Yo	CHALLENGE	\$300,000 1 ¹ ⁄16 M
10/7/23	9/22	CHANDELIER STAKES (GII) F, 2 YO	CHALLENGE USERTISE	\$200,000 1½16 M
10/7/23	9/22	RODEO DRIVE STAKES (GII) F/M, 3 & UP	CHALLENGE	\$300,000 1 ¹ ⁄4 M (T)
10/8/23	9/22	ZUMA BEACH STAKES (GIII) 2 YO		\$200,000 1 M (T)
10/8/23	9/22	SURFER GIRL STAKES (GIII) F, 2 YO		\$200,000 1 M (T)
10/9/23	9/22	SWINGTIME STAKES® F/M, 3 & UP		\$80,000 1 M (T)
10/14/23	10/5	CALIFORNIA DISTAFF HANDICAP F/M, 3 & UP (California Bred)		\$100,000 6½ F (T)

2023 Santa Anita Park Fall Meet Stakes Schedule (continued)

(excluding Breeders' Cup World Championships Races)

Date	Closing	Event	Value & Distance
10/15/23	10/5	CALIFORNIA FLAG HANDICAP	\$100,000
		3 & UP (California Bred)	6½ F (T)
10/21/23	10/12	ANOAKIA STAKES	\$85,000
		F, 2 Y0	6 F
10/28/23	10/19	LURE STAKES®	\$80,000
		3 & UP	1 M (T)
11/3/23	Breeders' C	up World Championships • Future Stars Friday (Undercard)	
11/3/23	10/26	GOLDEN STATE JUVENILE FILLIES STAKES	\$175,000
		2 YO F (California Bred)	7 F
11/3/23	10/26	QATAR GOLDEN MILE (GII)	\$150,000
		2 YO	1 M (T)
11/3/23	10/26	GOLDEN STATE JUVENILE STAKES	\$175,000
		2 YO, C & G (California Bred)	7 F
11/3/23	10/26	SEN. KEN MADDY S. (L)	\$150,000
		3 YO & UP, F&M	6½ F (T)
11/4/23	Breeders' C	up World Championships Saturday (Undercard)	
11/4/23	10/26	THE THOROUGHBRED AFTERCARE ALLIANCE (GII)	\$250,000
		3 & UP	15% M
11/4/23	10/26	TWILIGHT DERBY (GII)	\$250,000
		3 YO	11/8 M (T)
11/5/23	10/26	GOLDIKOVA STAKES (GII)	\$200,000
		3 & UP, F&M	1 M (T)
11/5/23	10/26	AUTUMN MISS STAKES (GIII)	\$100,000
		F, 3 YO	1 M (T)

See <u>www.santaanita.com</u> for more information & supplemental dates. For Information on Santa Anita Stakes, please contact Jason Egan, Santa Anita Park Racing Secretary at (626) 574-6352.

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Please see Schedule of Events on pages 9-10 of this guide. For more information on any official Championships events, please call Breeders' Cup Participant Ticketing and Concierge at (859) 422-2697. Your official participant welcome packet will be sent via E-mail following pre-entry.

Participant Concierge Services

The Participant Concierge team will assist all participants with securing tickets: including seats, parking, and credentials; registering participants for official events; and complimentary transportation to and from official events and the Championships. The Breeders' Cup Participant Ticketing and Concierge Office at Santa Anita Park will be open beginning Monday, October 23 (see map on page 159). Please don't hesitate to reach out to the Participant Concierge team via (859) 422-2697 or by email at <u>concierge@breederscup.com</u>.

Step-by-Step Guide For Participants Before Arrival at Santa Anita Park

Note | All registration and other formalities on arrival can be simplified if the following information is transmitted to Breeders' Cup Limited and the Racing Office at Santa Anita Park prior to your arrival.

1. To Santa Anita Park:

- **a.** Trainers must transfer digital foal certificates to Santa Anita in InCompass. If your horse has a paper foal certificate, it must be on file with the Clerk of the Course in the Santa Anita Racing Office no later than 48 hours after the arrival of the horse. Note: Digital and Paper certificates must show complete and correct ownership.
- **b.** Trainers stabled for the Santa Anita Fall Meet complete and sign the Santa Anita stall application and return with complete list of owners and badge applicants before credentials can be issued.
- c. Proof of general liability and Worker's Comp Insurance as outlined on page 49 of this guide.

2. To the California Horse Racing Board: Hours: 8:30 am - 4:30 pm (PT)

a. Licensing for owners, trainers and jockeys must start at the CHRB office (located by the stable office at Santa Anita Park).

A workers' compensation certificate must be on file, covering backstretch employees, prior to a trainer being licensed or a horse being permitted to start (see page 51).

International and/or out of state workers' compensation coverage is acceptable with the proper endorsements (see page 49).

b. For Licensing of Stable Employees:

All stable employees must be licensed by CHRB. Identification is required to be licensed. All applicants must have a photo ID.

3. To the Santa Anita Park Stall Manager, Jeff Metz, Stable Office (626) 574-6411 or Cell (626) 806-8794:

- a. Arrival time (see mandatory arrivals on page 75).
- **b.** Groom's accommodation.
- c. Trainer's local accommodation and telephone number.
- d. Feed requirements, including bottled water, if needed.
- **e.** All BCWC and undercard trainers must arrange their <u>own</u> stall bedding, contact information for vendors on page 83 of this Guide.
- f. HISA Regulation 2143. Racehorse Monitoring
 - (a) All Horses, including stable ponies, entering the Racetrack grounds must have proof of health certificate and required vaccinations, which shall include:

members.breederscup.com

- (1) Certificate of veterinary inspection within the prior 5 days or fewer days if high risk situations dictate:
- (2) Verification of EEE/WEE/WNV (encephalitides), rabies, and tetanus vaccinations within the prior 12 months;
- (3) Verification of Influenza and Rhinopneumonitis vaccinations within the prior 180 days or fewer days if high risk situations dictate; and
- (4) Verification of Negative Equine Infectious Anemia (Coggins) Test within the calendar year or in a shorter period of time if high risk situations dictate.
- (b) Each Racetrack shall submit the following information to the Authority with respect to each Horse on its grounds:
 - (1) Horse identification:
 - (2) Origin of Horse:
 - (3) Date of entry:
 - (4) Verification of certificate of veterinary inspection; and
 - (5) Verification of vaccinations.
- (c) Each Racetrack shall submit the following information to the Authority with respect to each Horse leaving its grounds:
 - (1) Horse identification:
 - (2) Intended destination:
 - (3) Reason for departure:
 - (4) Date of exit;
 - (5) Vehicle license plate; and
 - (6) Transporter.
- (d) Horses moving interstate must meet the entry requirements of the destination State, the State Racing Commission in the destination State, and the individual Racetracks or Training Facilities to which the horse is being shipped in the destination State.

4. At the Breeders' Cup Racing Office (859) 514-9422:

- a. Confirm your hotel requirements with the Breeders' Cup Racing Staff.
- b. Pre-Enter all Breeders' Cup World Championships races by 12 noon (PDT) on Monday, October 23. 2023.
- c. Enter all Breeders' Cup World Championships races by 10:00 am (PDT) on Monday, October 30, 2023.
- d. Paddock passes will be required to gain entry to the saddling paddock for all Breeders' Cup World Championships races. Beginning Wednesday, November 1, paddock passes will be issued only through the Breeders' Cup Racing Office located at Santa Anita Park.

5. At the Breeders' Cup Participant Ticketing and Concierge Office (859) 422-2697:

- **a.** Inform the office of your E-mail, phone and fax numbers upon pre-entry.
- **b.** An invitation "Welcome Packet" including your seating, parking, credential and event invitation request forms and delivery preference will be E-mailed after pre-entry closes on Monday, October 23. 2023 Horsemen's Information Guide

c. Participant portfolios, including tickets, credentials, parking passes, and paddock passes, and hats, will be available beginning Wednesday November 1. The Participant Concierge team will directly confirm an appointment time to collect these from the Participant Ticketing and Concierge Office at Santa Anita Park (see map on page 159) or whether you would like these available at a hotel for collection.

Ticket Procedures for Breeders' Cup World Championships

Participants

All owners, trainers, jockeys, and breeders who are affiliated with horses that have pre-entered a Breeders' Cup World Championships race will be E-mailed a Welcome Packet, including a seat request form, following the taking of pre-entries on October 23, 2023. All requests must be submitted through this online procedure and a prompt return is requested.

Ticket Distribution

Participant tickets will be available to be picked up by appointment on Wednesday November 1 and Thursday November 2, beginning at 7:00 am. The Participant Concierge team will reach out directly to confirm either an appointment time, or whether you would like your tickets delivered to a hotel for collection. Please note the map on page 159 for the Breeders' Cup Participant Ticketing and Concierge Office.

Participant Seating

Friday, November 3 and Saturday, November 4:

On the day that your horse competes, you will receive the seating allocation as outlined below. Additional seating, above the allocation, can be purchased if available.

Participating Owners (a partnership, co-ownership or syndicate is considered one owner) may request up to six (6) complimentary seats per horse for the day the horse(s) compete(s). Owners may purchase additional seating if available and also for the day that is not complimentary.

Participating Trainers may request two (2) complimentary seats per horse for the day the horse(s) compete(s) up to a maximum of six (6) per day. Complimentary seating is only provided for the day the horse(s) competes. Trainers may purchase additional seating if available and also for the day that is not complimentary.

Participating Breeders (a partnership, co-breeder or syndicate is considered one breeder) may request two (2) complimentary seats for each day they participate, regardless of how many horses are entered. Breeders may purchase additional seating if available and also for the day that is not complimentary.

Participating Jockeys may request up to two (2) complimentary seats per day they compete, regardless of how many horses are entered. Jockeys may purchase additional seating if available and also for the day that is not complimentary.

Parking Passes

Automobiles: Parking can be requested via the welcome packet. Owners, trainers, jockeys and breeders may request one complimentary parking pass for their vehicle for the day they participate (this is not valid for limousines or motor coaches). Additional parking passes may be requested (limits do apply) and based on availability.

Limousines & Motor Coaches: Limousine and motor coach (bus) parking passes must be purchased in advance of the event and can be requested via the welcome packet. Limo and motor coach (bus) parking are each \$125 on Friday, and \$150 on Saturday. Limits do apply and are based on availability.

Rental Cars: Breeders' Cup has partnered with Enterprise and National to extend special rental rates to participants and official guests.. You can feel confident knowing Enterprise and National are taking every step to enhance safety for customers; the Complete Clean Pledge is their promise to go above and beyond already rigorous cleaning protocols to implement enhanced sanitizing procedures of vehicles, shuttles and branch locations. Please note any rental cars booked do not include parking passes and must be confirmed separately. Make your reservation early at www.elink.enterprise.com/en/20/08/breeders-cup-limited.html.

For more parking details and options please refer to page 126.

Please don't hesitate to contact the Participant Concierge team, located at the Breeders' Cup Participant Ticketing and Concierge Office at Santa Anita Park (859) 422-2697 or concierge@breederscup.com

Official Events for Participants

WINSTAR Statig-Tipton Participant Breakfast at the Breeders' Cup

All Breeders' Cup World Championships participants are invited to our Breakfast at the Breeders' Cup presented by WinStar Farm and Fasig-Tipton beginning Monday, October 30. This venue is located at Top O'Stretch by Clocker's Corner and will be open Monday-Thursday from 6:00 am to 11:00 am leading up to the World Championships. More detail, including request form, will be included in your welcome packet E-mailed following the close of pre-entries on October 23.

ROOD RIDDLE Breeders' Cup Post Position Draw

On Monday, October 30 at 4:00 pm, join us at the Pasadena Civic Auditorium for the live drawing of 14 Championship Races. 4:00 pm - Cocktails & Hors d'oeuvres, 4:45 pm - Draw. A post reception will take place immediately following in the Gold Room. Invitations Required.

Call to Post, a Welcome Celebration

An exclusive welcome celebration for the connections of all Championship runners will be held on Thursday, November 2 at the Langham beginning at 7:30 pm. Invitation and RSVP are required.

Champion's Terrace Presented by NETJETS

A celebration of the winning owner and connections of each winner will be toasted in our Champion's Terrace after the conclusion of each Championships race. A host will be on hand to guide winning connections accordingly.

The Owner & Trainer Enclosure

We are pleased to offer participants The Owner & Trainer Enclosure, situated alongside the Paddock. The Participant Concierge desk will be based at the entrance to help with any queries. Guests will enjoy complimentary champagne and a full cash bar will be available. We welcome participants and their guests across both days of the Championships. Proper credentials are required.

Breeders' Cup Participant Hotels

Breeders' Cup Limited has reserved blocks of rooms to accommodate Breeders' Cup World Championships participants at hotels listed below which are located in the vicinity of Santa Anita.

There is a three night minimum between November 2-5 for each of these properties. Breeders' Cup Limited will make every attempt to fulfill hotel requests based on a first-come, first-served basis. The 2023 Hotel Request form can be found at <u>www.members.breederscup.com</u>.

For assistance with owner and trainer reservations, contact the Breeders' Cup Racing Office at (859) 514-9422, E-mail: <u>hotels@breederscup.com</u>.

Le Meridien Pasadena Arcadia 130 West Huntington Drive Arcadia, CA 91007 marriott.com/en-us/hotels/

laxme-le-meridien-pasadenaarcadia/overview/ Rate: \$539

The Westin Pasadena 191 North Los Robles Avenue Pasadena, CA 91101

<u>marriott.com/en-us/hotels/laxpw-</u> <u>the-westin-pasadena/overview/</u> Rates: \$329 The Langham Huntington, Pasadena 1401 South Oak Knoll Pasadena, CA 91106 *langhamhotels.com/en/thelangham/los-angeles/*

Rate: \$525+

Hilton Pasadena 168 South Los Robles Avenue Pasadena, CA 91101 <u>hilton.com/en/hotels/pasphhfhilton-pasadena/</u> Rate: \$329 Embassy Suites by Hilton Arcadia - Pasadena Area 211 East Huntington Drive Arcadia, CA 91006

<u>hilton.com/en/hotels/laxhdes-</u> embassy-suites-arcadiapasadenarea/

Rate: \$349

For each ownership entity (a partnership is considered one) a \$1,500 hotel credit will be given if staying in an official Breeders' Cup participant hotel.

Executive car service is available to/from airport for each owner. Please call the Participant Concierge team on (859) 422-2697 to arrange.

Breeders' Cup Horsemen Hospitality Lounge (All Times PDT)

The Langham Huntington, Pasadena

Location: Lobby Lounge 1401 South Oak Knoll, Pasadena, CA 91106

Wednesday, November 1	12:00 pm - 8:00 pm
Thursday, November 2	5:00 am - 8:00 pm
Friday, November 3	5:00 am - 10:00 pm
Saturday, November 4	6:00 am - 12:00 pm; 5:00 - 10:00 pm
Sunday, November 5	7:00 am - 11:00 am (PST)
Sulluay, Novelliber 5	7:00 alli - 11:00 alli (FST)

Breeders' Cup Pasadena Hospitality Lounge (All Times PDT)

The Westin Pasadena

Location: Madera Room 191 North Los Robles Avenue, Pasadena, CA 91101

Thursday, November 2	6:00 am - 8:00 pm
Friday, November 3	6:00 am - 10:00 pm
Saturday, November 4	6:00 am - 12:00 pm; 5:00 - 10:00 pm
Sunday, November 5	6:00 am - 11:00 am (PST)

Important California Horse Racing Licensing Requirements and Pertinent Regulations

The following guidelines are designed to help expedite your licensing and registration procedures on arrival in California. For a complete set of California Horse Racing Board Rules and Regulations, contact the CHRB office at (626) 574-6358 or visit <u>www.chrb.ca.gov</u>.

Licenses

Owner and Employee Licensing

All owners, trainers, jockeys, grooms and others acting in any capacity within the stable area, or anywhere at Santa Anita Park, are required to procure the appropriate license or licenses and pay the fees required. All new applicants must be fingerprinted and photographed before licenses can be formally issued. The CHRB 4, Application for License, can be downloaded from the CHRB website (www.chrb.ca.gov/licensing.html) or obtained at any CHRB Licensing Office. Application can be in person, by mail, or by electronic submission. All licenses are issued at the office of the California Horse Racing Board (CHRB), located near the Stable Gate (see stable area map on page 159), at Santa Anita Park. Beginning October 25, the licensing office will be open Wednesday through Sunday, 8:30 am - 4:30 pm daily. During Breeders' Cup week the office will be open Tuesday, October 31 through Friday, November 3, 7:30 am - 4:30 pm. Phone: (626) 574-6358.

Every person who appears on the ownership papers of a horse must be licensed. If the legal owner of a horse is a corporation, the corporation shall appoint a responsible managing employee who may be granted a license as a horse owner. Said managing employee accepts and assumes all responsibilities of an owner.

All general partners are required to be licensed, irrespective of the percentage of partnership held. No limited partner may be granted a license as horse owner.

A. License Fees

All of the following \underline{MUST} be filed with your application.

I. Regular Fees

Horse Owner	3-yr. license
	\$150.00
Trainer, Assistant Trainer, Jockey, Jockey Agent	3-yr. license
	\$150.00
Veterinarian	3-yr. license
	\$150.00
Farrier	3-yr. license
	\$ 75.00

Exercise Riders & Pony Riders	3-yr. license \$ 75.00
Stable Employees (includes grooms, hot walkers, watchmen)	1-yr. license \$ 35.00
	(exp. 12/31)
Stable Name	3-yr. license \$300.00
Syndicate or Partnership (11 partners or more)	3-yr. license \$300.00

B. Fingerprint Requirement

Fingerprints are required for all new applicants. Those in California may visit any CHRB Licensing Office in order to have their electronic fingerprints (Live Scan) taken. Those who are out of state, or otherwise unable to visit a CHRB Licensing Office, should contact the CHRB via email at <u>Licensing HQ@chrb.ca.gov</u>, or (916) 263-6000, to request fingerprint cards. Additional information can be found on the CHRB website at <u>www.chrb.ca.gov/licensing.html</u>. Completed fingerprint cards should be submitted at least 14 days prior to issuance of the license.

C. CHRB License Photos

All individuals desiring access to the stable area must be licensed by the CHRB and have a valid CHRB license with photograph visible on their person at all times. Photographs can be obtained at the CHRB Licensing Office.

Validation

All licenses must be validated for the meet in the Santa Anita Park office by Stable Gate 8, all licensees need to be submitted to the essential employee list by the trainer. All trainer licenses must be approved and signed by the Racing Secretary, State Veterinarian, and Stewards. All assistant trainer and jockey licenses must be approved and signed by the Stewards.

Insurance

The California Horse Racing Board (CHRB) requires that a <u>signed, written</u> binder of Workers' Compensation or a Certificate of Insurance verifying proper coverage be in its file before a trainer's, owner's and/or stable employee's license can be issued or a horse permitted on the grounds.

<u>Important</u>: This process for Worker's Compensation Insurance takes time to complete. Please begin your application at least <u>three weeks prior</u> to your arrival.

All out-of-state policies must state "covers all racing operations in California." If an international trainer has a policy in America that states this, they are covered.

To be accepted all certificates must have the following racing codes: Racing Operations 8631, Jockeys 8278.

There will be no exceptions. Non-compliance with the insurance requirement will result in the horse being scratched.

No licenses will be issued without proof of insurance.

The following company may be used to apply for Workers' Compensation Insurance in the State of California:

Allie Rodriguez, Post Time Self Insurance Group 4961 Katella Ave., Los Alamitos, CA 90720 (714) 820-2743, Fax (714) 820-2813 E-mail: allie@flsig.com

Step-by-Step Instructions for those individuals who do not already have a Workers' Compensation Insurance Policy in their state or country that adhere to the rules above.

- 1. Fill out the Information Request Form and the Prospective Post Time Self Insurance Group Form from Post Time Self Insurance (forms can be downloaded at <u>www.members.breederscup.com/other/downloads.aspx</u>.
- 2. E-mail completed forms to Allie Rodriguez (<u>allie@flsig.com</u>) or Yelise Romo (<u>yeliser@sigsolutionsca.com</u>) at Post Time Self Insurance Group.
- 3. The cost of insurance is \$1,000 (US) plus an additional \$500 application fee if it is a new application, and can be paid by credit card or check, make check payable to **Post Time Self Insurance Group**.
- 4. Send check and application by **<u>courier/express</u>** post to Post Time Self Insurance Group, see address above.

Ownership Disclosure

Licensed owners and licensed trainers shall be held jointly responsible for making full disclosure of the entire ownership of each horse in their care. Every certificate of registration or eligibility certificate filed with the association and its Racing Secretary to establish the eligibility of a horse to be entered for any race shall accurately reflect the correct and true ownership of such horse, and the name of the owner that is printed on the official program for such horse shall conform to the ownership as declared on the certificate of registration or eligibility certificate unless a stable name has been registered for such owner or ownership with the CHRB.

Both seller and purchaser, or their agents or representatives, of a horse that is sold while within the enclosure of a racing association shall immediately notify the Stewards of such sale and transfer, and the Stewards may require a declaration under oath or under penalty of perjury of the facts of such sale or transfer. The CHRB Bill of Sale form must be completed and signed off by a Steward. No trainer transfers are allowed after entry.

1780. Registration of Colors

All racing colors carried in races shall be registered with the Clerk of the Course, located in the Racing Secretary's Office, and authority for the use of such colors must be sanctioned by the CHRB. There is no fee for the registration of colors.

1783. Registration of Stable Names

(a) A licensed owner may register a stable name with the CHRB by filing an application and paying the fee for such stable name. A stable name is subject to the approval of the CHRB. No person may register more than one stable name at the same time. No person may use the real name of any owner of race horses as his stable name. No stable name registration may be used for advertising purposes. A stable name which has already been registered may not be registered by another owner.

1784. Registration to Disclose All Partners

An application to register a stable name shall disclose the real names of all partnership or ownership interests participating in the stable and the percentage of ownership interest of each, including the interest owned by any corporation, limited liability company (Corporations Code section 17000 et seq.), general partnership, limited partnership, trust, estate, person or individual.

1786. Change of Stable Name Registration or Ownership of Stable

- (a) A stable name may be changed at any time by registering a new stable name and paying the fee as set by the CHRB.
- (b) A stable name registration may be abandoned, or an expired stable name registration may be transferred to a new owner by giving written notice to the CHRB and to the Stewards. A stable name registration that has expired and has remained unregistered for at least three consecutive years shall be considered abandoned.
- (c) Any change in ownership, in whole or in part, of a currently registered stable name shall be immediately reported in writing to the CHRB and to the Stewards. If the stable consists of multiple owners, the notification must be signed by at least the designated person responsible for the stable's conduct as listed on the stable name registration form and the person whose name is being removed or added. A signature must be notarized if the person who signs is not present when the notification is presented to the CHRB.

1787. Limitation of Use of Stable Name

No owner may use his real name for racing purposes if he has a registered stable name, except with approval of the Stewards or the CHRB.

Badges

Owners, trainers, and their employees are to wear identifying badges on their outer clothing at all times while in the stable area. Trainers are to submit a badge list of his/her owners to the CHRB and the Racing Secretary's Office.

Admittance to the stable area is restricted to owners, their guests, trainers, and their employees, racing officials and the working press upon presentation of proper credentials.

No visitors are permitted except with authorized passes issued by the Santa Anita Park Stable Gate Office or Breeders' Cup Limited.

Horseracing Integrity and Safety Authority

www.hisaus.org



Established when the Horseracing Integrity and Safety Act was signed into federal law in 2020, the Horseracing Integrity and Safety Authority (HISA) is responsible for drafting and enforcing uniform safety and integrity rules in Thoroughbred racing in the U.S. Overseen by the Federal Trade Commission (FTC), HISA was created to implement, for the first time, a national, uniform set of rules applicable to every Thoroughbred racing participant and racetrack facility. HISA comprises

two programs: the Racetrack Safety Program, which took effect July 1, 2022, and the Anti-Doping and Medication Control (ADMC) Program, which took effect May 22, 2023.

The Racetrack Safety Program includes operational safety rules and national racetrack accreditation standards that seek to enhance equine welfare and minimize equine and jockey injury. The Program expands veterinary oversight, imposes surface maintenance and testing requirements, expands jockey safety measures and resources, regulates riding crop use, and implements a void claim rule, among other important measures.

The ADMC Program establishes a centralized testing and results management process and applies uniform penalties for integrity violations efficiently and consistently across the United States. These rules and enforcement mechanisms are administered by a new independent agency, the Horseracing Integrity and Welfare Unit (HIWU), established by Drug Free Sport International (DFSI). HIWU oversees testing, educates stakeholders on the new system, accredits laboratories, investigates potential integrity violations and prosecutes rule breaches.

HISA took effect July 1, 2022. Have you registered?

Register now to avoid disruptions and make racing safer for our horses and jockeys. HISA and racing offices are ready to assist onsite as needed.

All racing participants, or "Covered Persons," are required to register themselves, and any horses in their care.

You are considered a Covered Person if you are a Trainer, Owner, Breeder, Jockey, Racetrack, Veterinarian, Person licensed by a State Racing Commission, and the agents, assigns, and employees of such Persons; any other persons who are required to be registered with HISA; and any other horse support personnel who are engaged in the care, treatment, training, or racing of Covered Horses.

HISA

To avoid potential disruptions to the entry process, Trainers must also register all Covered Horses in their care. Once you are registered with HISA as a Trainer, you will be prompted to sign in and register your horses.

Covered Horse Registration

Covered Horse: Any Thoroughbred horse during the period beginning on the date of the horse's first Timed and Reported Workout at a Racetrack that participates in Covered Horseraces or at a training facility, and ending on the date on which the horse is deemed retired pursuant to HISA Rule 3050.

The registration requirement for a horse is triggered by the occurrence of any of the events below:

- A timed and reported workout at a racetrack or training facility; or
- The horse's entry in a Covered Horserace; or
- The horse's nomination for a Covered Horserace.

Timed and Reported Workout means an officially timed and published running of a Thoroughbred horse over a predetermined distance that is not a horserace, as reported by Equibase or any official supplier of racing information and statistics recognized by the Authority. Official timed workouts shall have the same meaning as Timed and Reported Workouts. Any official timed workout by a Thoroughbred horse in any other jurisdiction shall be deemed a Timed and Reported Workout upon the earliest to occur of the following: (i) the horse is brought to the United States for purposes of participating in any Covered Horserace; or (ii) the horse is nominated for a Covered Horserace.

Responsible Person Requirements

Responsible Persons (generally Trainers) must:

Register all Covered Horses in their care; Maintain records of medication administration, therapeutic procedures, treatments and surgical procedures for Covered Horses in their care; and Make records available to Regulatory Vets, stewards, and HISA upon request only.

Changes to the Responsible Person for the Horse and transfer of associated records must be completed online with HISA before physical transfer takes place except in the case of claiming races, for which transfer must be recorded on the day of the race.

The foregoing information is not meant to replace or modify the applicable HISA laws and regulations, but to serve as a reference. In the event of a discrepancy in the information above and the HISA laws or regulations, HISA's statutes and regulations govern.

More information and infographics as well as a complete set of the current statutes and regulations are available at <u>www.hisaus.org</u> or by scanning this QR code.





REPRESENTING

the Thoroughbred industry, former U.S. Congressman and NTRA President and CEO Tom Rooney will ensure the industry's voice is heard by lawmakers in Washington, D.C., through the establishment of an office in our nation's capitol.

DRIVING

safety and integrity through active support of Horseracing Integrity and Safety Act (HISA).

SPEAKING

for the industry on national issues.

IMPROVING

the economics of the horse racing industry.

PROMOTING

Thoroughbred racing at the national level.

SERVING

as a catalyst for change.



National Thoroughbred Racing Association

201 W. Main Street, Suite 222 Lexington, KY 40507 50 F Street NW, Suite 430 Washington, D.C. 20001



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ALL HORSES ENTERED, INCLUDING UNDERCARD HORSES, ON NOVEMBER 3 AND 4 ARE PROHIBITED FROM RECEIVING LASIX (FUROSEMIDE) WITHIN 48 HOURS OF THEIR RESPECTIVE POST-TIME.

All administrations of Controlled Medication Substances are prohibited within 48 hours of the Covered Horse's Post Time, with limited exceptions (see page 99 or link for medications permitted to be administered up to 24 hours before Post Time or Vets' List Workout). A longer withdrawal interval may be warranted in consideration of multiple factors including, but not limited to: specific drug, dose, route of administration, individual horse's health, and other medications being administered. HISA's published Detection Times are available <u>HERE</u>. Withdrawal guidance for a number of Controlled Medication Substances has been published by the RMTC and can be accessed <u>HERE</u>.

All Covered Horses, including horses that are arriving from international locations, are subject to out-of-competition testing at any time when they are physically present within the United States.

Note: All international runners who will be stabled in the Santa Anita quarantine compound must arrive no later than 10:00 pm (PT) on Sunday, October 29.

Note: All Championships starters traveling to California from a location outside of North America will receive a minimum of US\$40,000 travel award. See complete rules on page 11.

International jockeys must comply with HISA regulations. See pages 92-96 of this Guide.

Mandatory Jog-Up

All runners must pass a mandatory jog-up exam by a BCWC veterinarian in the designated jogging lane prior to being allowed on any racing surface to train. See information on page A2.

Official Import Agency

Owners and trainers, or their appointed agents, of horses traveling from overseas are advised to contact Mersant International, Ltd., the official import agent for the Breeders' Cup World Championships, for timing and arrangements of shipping, including current international health and guarantine regulations.

Mersant International, Ltd. 10 Fifth Street, Suite 302 Valley Stream, NY 11581

International Air Transportation

Before Octo	ober 25
Phone:	(718) 978-8200
Fax:	(718) 712-0771
After October 25	
Phone:	(917) 561-2890
Fax:	(859) 514-9435
E-mail:	<u>chriss@mersant.com</u>

Breeders' Cup Limited in conjunction with Mersant International will help coordinate flight arrangements for horses pre-entered in the Championships. The aircraft will depart Saturday, October 28, from a city to be determined, depending on the location of the participants, and will arrive in California that evening at Los Angeles International Airport (LAX). LAX is approximately 30 minutes from Santa Anita Park. Due to space limitations, horses are not guaranteed their own stall. After the mandatory 42 hours quarantine, the horses will be able to train Tuesday, October 31. Breeders' Cup will provide a minimum of US\$40,000 travel award for each starter that travels to California to compete in a Breeders' Cup World Championships race from a location outside of North America. It is at the discretion of BCL if the award is paid directly to the owner or is used towards incurred shipping expense.

Horses pre-entered in a Breeders' Cup World Championships receive preference on the arranged flight over horses on the also-eligible list or horses nominated for undercard stakes at the host track. Challenge winners and group winners receive first preference.

Due to space seat limitations on the arranged flights, Professional grooms will only be permitted to accompany the runners, all trainer staff therefore must fly on passenger flights.

Please contact or have your shipping agent contact Mersant International to register your interest and to make your flight arrangements: (718) 978-8200.

Quarantine

Horses arriving from foreign countries by charter or scheduled aircraft will be flown directly to Los Angeles Airport. The horses will be escorted by United States Department of Agriculture personnel to the USDA quarantine facility Barns 117-121 (see stable map on page 159) at Santa Anita Park. There, a federal veterinarian will test horses under conditions that apply at the time of arrival.

All required testing procedures will be expedited but horses will not be able to clear quarantine before 42 hours after arrival at the quarantine facility. Each quarantine facility contains a walking area satisfactory for horses to utilize prior to being released from quarantine.

After negative test results are received and a mandatory 42 hours have passed, the horses will remain in Barns 117-121, which will then be dedicated as the isolation barns for purposes of permitting horses released from quarantine to meet re-entry requirements into their respective countries.

All horses arriving on any chartered or commercial aircraft must be pre-blood tested for all United States import requirements at the National Veterinary Services Laboratories (NVSL) prior to departure in order to be permitted access to the quarantine barns at Santa Anita Park.

Only those horses pre-entered in one of the Breeders' Cup World Championships races or nominated for Santa Anita Park's additional stakes races will be permitted in the quarantine barn. In addition, only those horses that have a groom waiting to meet them will be permitted in the quarantine barn.

Breeders' Cup Limited and/or Santa Anita Park reserve the right, in their sole discretion, to refuse the shipping and/or entry of any horse into the private quarantine barns at Santa Anita Park.

Since the quarantine barns are established on a temporary basis and contain only six units, <u>specific</u> shipping dates will be established. Owners and trainers and/or their appointed agents should contact Mersant International, Ltd. at (718) 978-8200.

The federal veterinarian in charge is Dr. Claire Dowdy. She will be available to assist owners and trainers whenever possible. Private veterinarians will also be available. Please make sure you have secured the services of a CHRB licensed practicing veterinarian while your horses are stabled in California. BCL has retained the services of Dr. Kandace DeBolt to assist with arrivals and veterinary treatments. Her contact details are: (205) 807-0525, <u>kbdebolt@lexingtonequinemedical.com</u>.

U.S.D.A. Federal Veterinarian in Charge

Name: Dr. Claire Dowdy Cell: (347) 633-3832 E-mail: <u>claire.dowdy@usda.gov</u>

Lads/ International Grooms Hotel

Doubletree by Hilton Monrovia-Pasadena Area 924 West Huntington Drive, Monrovia, CA 91016 Tel: (626) 357-1900 Rate: \$299 Mersant International will be booking these reservations. Please contact Chris Santarelli at (718) 978-8200.

International Racing Bureau

The International Racing Bureau (IRB) has been retained to provide coordination and liaison services for participants from overseas to the Breeders' Cup World Championships. For further information or assistance contact:

United Kingdom and Ireland

Adrian Beaumont Alton House, 117 High Street Newmarket Suffolk CB8 9WL ENGLAND Phone: +44 (1638) 668881 E-mail: <u>adrian@irbracing.com</u>

France

Chantal Chappuis 67, 14ème Avenue, 60260 LAMORLAYE, FRANCE Phone: +33 (6) 07 76 32 34 E-mail: <u>chantalchappuis@orange.fr</u>

Australia

Jack Petley 32 Clarence Street, Berry, NSW AUSTRALIA 2535 Phone: +61 409 392 777 Fax: (02) 9380 6435 E-mail: jackpetley@bigpond.com

Germany

Elena Delor Phone: E-mail:

+49 (1578) 7024-598 delor@besitzervereinigung.de

Italy

Franca Vittadini Parco di Oriano Piazza L Bobbio 4 21028 Sesto Calende, Varese ITALY Phone: +39 331 913178 E-mail: f.vittadini@gmail.com

New Zealand

Mike Dillon 8 Lilac Close Cambridge, 3434 NEW ZEALAND Phone/Fax: +64 (7) 827 6453 Mobile: +64 (21) 839 6453 E-mail: mikeddillon@gmail.com

Breeders' Cup International Field Representatives

Europe

Joshua Christian Mobile: +1 (859) 494-5419 E-mail: josh@breederscup.com

Ed Sackville Mobile: +44 7780 770400 E-mail: ed@sackvilledonald.com

Asia

Kate Hunter Marugai Racing 3-1127 Makuhari-cho, Hanamigawa-ku Chiba City, Chiba, Japan 262-0032 Mobile: +81-80-7894-7999 E-mail: keibakate@gmail.com

South America

John Fulton John Fulton Bloodstock Paraguay 41 [3450] Goya, Corrientes Argentina Mobile: +54-92326-495948 E-mail: juanfulton@gmail.com

See pages A1-A4 and the Medication rules pages 97-120 for important veterinary/medical information.

Insurance

See pages 49-50 of this guide for information on Workmen's Compensation Insurance.

Important:

U.S. Tax ID required for all international participants. See pages 35-36.

Imported Horses – Workout Requirement

Any horse imported from a country that has no provisions for timed workouts and is entered in a stakes within seven days of clearing USDA quarantine and runs within nine days will not be required to have a published workout if they have not started in the past 30 days.

Safety vests and helmets which are in compliance with HISA Regulations, are required for all jockeys, trainers, exercise riders and pony persons while riding or exercising horses, see page 81 of this guide.

Workout Permission

All runners MUST request **permission for a timed workout** from Santa Anita Park on any of the California surfaces and the runner must have an attending veterinarian exam during the <u>three</u> <u>days</u> preceding the work. This request must be on file with the Santa Anita Park Racing office, <u>BY NOON TWO DAYS BEFORE</u> the intended work. Complete and submit the form on page 141 to <u>workouts@santaanita.com</u>.

Paddock Schooling

There will be one schooling day during racing, Thursday, November 2. Breeders' Cup will implement a ranking system to award schooling spots during live racing. Breeders' Cup Championships starters receive preference. Submit your requests via email to <u>racing@breederscup.com</u> or by calling the Paddock Judge, Jesus Calvillo at (714) 470-2003 Tuesday, October 31 by Noon (PT).

During training hours, the paddock will be open every morning from 5:00 am - 10:00 am. only through entering by paddock horse path NOT from the racing surface. Horses wanting to go into the paddock after training hours will be allowed between 10:00 am - 11:00 am by entering through the paddock gap or horse path. Paddock schooling between 10:00 am -10:30 am on Thursday, November 2. No paddock schooling on Friday, November 3, or Saturday, November 4.

Mandatory Jog Up Exam

See page A2 for more detail.

Gate Schooling of International Horses

International trainers must comply with a mandatory schooling meeting with starter Jay Slender prior to starting in the BCWC.

The Starter and his assistants will school every day during Breeders' Cup week from 6:30 am - 9:00 am on the main track at the 6 1/2 furlong pole. Jay Slender mobile: (714) 307-0047.

There will be no gate schooling on Championships Friday and Championships Saturday.

Microchip Requirements (CHRB 1597.5)

If your horse has not been microchipped, you are allowed one start in California without it but you must file a waiver request (CHRB Form 237) with the CHRB stewards.

For complete Microchip requirements, visit Rules & Law at www.chrb.ca.gov.

Vaccine Exemption

International runners must fill out the vaccine exemption form on page 148 of this guide and E-mail completed form to: jennifer.durenberger@hisaus.org and ann.mcgovern@hisaus.org.

Training Hours (see page 79)

The International Racing Bureau will inform you of the training times for international runners and will schedule turf training with the trainer.

Medication Rules Begin on page 97

Covered Horses are prohibited from receiving Lasix (furosemide) within 48 hours of their Post Time. Intra-articular injections are prohibited within 14 days prior to Post Time and Vets' List work, and within 7 days prior to any Timed and Reported Workout.

The administration of nonsteroidal Anti-Inflammatory Drugs (NSAIDs) and corticosteroids is prohibited within 48 hours prior to Post Time or a Vet's List Work. If more than one NSAID or more than one corticosteroid is detected in a Post Work or Post Race sample of a Covered Horse, each NSAID and each corticosteroid above the applicable Screening Limit constitutes a Controlled Medication Rule Violation. If one or more NSAID, one or more corticosteroid, opioid analgesic, or local anesthetic is detected in a Post Work or Post Race sample of a Covered Horse, but each are below the applicable Screening Limits, they will together constitute a single Controlled Medication Rule Violation. Please refer to Withdrawal Guidance provided by the RMTC on page 105.

Pre-Entry Exam Form

All runners MUST have a **pre-entry exam** from their attending veterinarian stating that the horse is fit to compete (form on page 140). This form must be submitted to <u>ovsocalth@yahoo.com</u> or via EquiTAPS **prior** to ENTRY at 10:00 am (PDT) on Monday, October 30.

*30 Day Treatment Record must be on file, see page 143.

TRACK SPECIFICATIONS

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Santa Anita Park Track Specifications

Main Track:

3 1/2" cushion
12" sandy loam
4" decomposed granite

One mile oval with seven-eighths and 1 1/4-mile chutes. 5% banking on turns. 2 1/2% banking on stretches.

Distance from 6-furlong start to first turn: 1,650 feet. Distance from 7-furlong start to first turn: 2,310 feet. Distance from 1-mile start to first turn: 325 feet. Distance from 1 1/16-mile start to first turn: 660 feet. Distance from 1 1/8-mile start to first turn: 870 feet. Distance from 1 1/4-mile start to first turn: 1,650 feet. Distance from final turn to finish line: 990 feet.

Track is 100 feet wide at Classic start. Width of stretch: 85 feet; backstretch: 80 feet.

El Camino Real Turf Course:

Santa Anita Turf Course Section



Seven-eighths of a mile oval with a mile hillside chute that extends the turf course to one and three-quarters mile with 6.6% banking on Club House turn and 5% on final turn.

Distance from 5-furlong start to first turn: 1,372 feet.

Distance from 1-mile start to first turn: 1,122 feet.

Distance from 1-1/4 mile start to hillside turn: 300 feet.

Distance from 1-1/2 mile start to hillside turn: 665 feet.

Distance from final turn to finish line: 987 feet.

Width of track: 80 foot oval: 65 foot hillside

Training Track: Five-eighths of a mile, sandy loam and dirt.

Santa Anita Park Stable Area

- Stabling Capacity: 1,950
- Dormitory Capacity: 450 rooms

• Barns: 61

Tack Room Capacity: 200 rooms

TRACK SPECIFICATIONS

Gate Placement

Main Track

6F	85 feet from starting gate to point where timing begins.
7F	5 feet from starting gate to point where timing begins.
1 Mile	80 feet from starting gate to point where timing begins.
1 1/16	70 feet from starting gate to point where timing begins.
1 1/8	63 feet from starting gate to point where timing begins.
1 1/4	50 feet from starting gate to point where timing begins.
Turf Course	

5 F	70 feet from starting gate to point where timing begins.
1 Mile	108 feet from starting gate to point where timing begins.
1 1/4	57 feet from starting gate to point where timing begins.
1 1/2	9 feet from starting gate to point where timing begins.

Longines Breeders' Cup Classic Start & Finish – 1 1/4 M



TRACK SPECIFICATIONS

Big Ass Fans Breeders' Cup Dirt Mile Start & Finish – 1 M



Longines Breeders' Cup Distaff Start & Finish – 1 1/8 M



PNC Bank Breeders' Cup Filly & Mare Sprint Start & Finish - 7F



Maker's Mark Breeders' Cup Filly & Mare Turf Start & Finish - 1 1/4 M (T)



FanDuel Breeders' Cup Juvenile Start & Finish – 1 1/16 M



NetJets Breeders' Cup Juvenile Fillies Start & Finish - 1 1/16 M



Breeders' Cup Juvenile Fillies Turf Start & Finish – 1 M (T)



Prevagen Breeders' Cup Juvenile Turf Start & Finish - 1 M (T)



Breeders' Cup Juvenile Turf Sprint Start & Finish – 5 F (T)



FanDuel Breeders' Cup Mile Start & Finish - 1 M (T)



Qatar Racing Breeders' Cup Sprint Start & Finish - 6F



Longines Breeders' Cup Turf Start & Finish – 1 1/2 M (T)



Breeders' Cup Turf Sprint Start & Finish – 5 F (T)





SURFACE MAINTENANCE & TECHNOLOGY

TRACK CONDITIONING IS DONE MULTIPLE TIMES A DAY.

Factors that can be controlled in dirt and turf tracks are water, compaction and material composition/loss.

DIRT TRACK

The main track at Santa Anita is a natural dirt track that is a combination of several types of dirt and sand. In order to ensure the safest track conditions for the athletes, surface specialists use a number of maintenance techniques depending on weather conditions.



Various types of machinery are used by the track superintendent for grooming the track to ensure proper cushioning depth and surface consistency for each race.



Instruments are used to measure and record cushion depth as well as moisture content to provide the safest possible racing surface.



These same techniques are used several times each morning and during "renovation breaks" to ensure a consistent and safe surface while horses are exercising in preparation for future races.

TURF TRACK



To provide consistent and even footing, the track's inside safety rail can be repositioned to ensure that the horses are running on fresh and even turf.



Tamping of divots and aeration are two ways to ensure consistent and cushioned footing.



Moisture content is closely monitored to produce even footing while promoting turf growth.

TO LEARN MORE, HEAD OVER TO BREEDERSCUP.COM

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Mandatory Ship In Requirements

<u>All</u> horses who have entered in a Breeders' Cup World Championships race must be on the grounds of Santa Anita Park by 11:00 am (PDT) Tuesday, October 31, this includes horses that are also-eligibles. All <u>international</u> runners must be on the grounds of Santa Anita Park no later than 10:00 pm (PDT) Sunday, October 29 to be checked into the USDA quarantine facility.

Undercard runners must be on the grounds of Santa Anita Park or stabled at an <u>approved</u> California location 48 hours prior to post time in order to meet mandatory veterinary exams. All undercard runners must arrive at Santa Anita Park no later than five (5) hours prior to first post on the day of the race.

Stalls/Stabling

Breeders' Cup World Championships horses will be stabled throughout the Santa Anita Park stable area. Please contact Jeff Metz, Santa Anita Stable Superintendent, (626) 806-8794 or Steve Hargrave, Breeders' Cup Stable Superintendent, (502)541-8818, at your earliest convenience for stall locations. A complete list will be available in the Breeders' Cup Racing Office. The USDA Quarantine/Isolation facility will be barns 117-121.

Stable Personnel

Tack rooms, sleeping accommodations and bathroom facilities are available within the Santa Anita Park barn area. Requests for stabling and accommodations for stable personnel should be made to Jeff Metz, Santa Anita Stable Superintendent, (626) 806-8794 or Steve Hargrave, Breeders' Cup Stable Superintendent, (502) 541-8818, as early as possible.

Stable Equipment

Horsemen shipping to Santa Anita Park are reminded that they must provide stable equipment and utensils, including webbing, buckets, feed tubs, hay nets, pitchforks, screweyes, etc. Trainers are responsible for bedding the stalls they are assigned.

Notice of Arrival

At least 48 hours prior to arrival, horsemen shipping in to Santa Anita Park should advise Jeff Metz, Santa Anita Stable Superintendent, (626) 806-8794 or Steve Hargrave, Breeders' Cup Stable Superintendent, (502) 541-8818, of estimated time of arrival and any requirements concerning special bedding, feed, or bottled water.

VSV-Applies only to California based horses

Due to the threat of a potential outbreak of VSV, effective immediately, all horses shipping into Del Mar, San Luis Rey Downs, Los Alamitos, and Santa Anita Racetrack from within California, shall be accompanied by a certificate of veterinary inspection with the following statement until further notice:

"I have examined all the animals identified on this certificate within 14 days of the shipment date and have found them to be free from signs of vesicular stomatitis virus (VSV). During the last 14 days, these animals have not been exposed to VSV nor located on a VSV-confirmed or VSV-suspected premises."

Coggins (EIA) Test

All horses originating from outside of California that will be stabled or intend to run at Santa Anita Park will have to show evidence that they were tested for swamp fever (equine infectious anemia) using the Coggins test and found to be negative. This Coggins test will be valid for a **twelve-month period**. All Coggins certificates **MUST** be on file in the Santa Anita Park Racing Office prior to the time of entry and shall remain valid through the running of the race.

All horses must be in compliance with HISA Regulation 2143 Racehorse monitoring.

HISA Regulation 2143. Racehorse Monitoring

- (a) All Horses, including stable ponies, entering the Racetrack grounds must have proof of health certificate and required vaccinations, which shall include:
 - (1) Certificate of veterinary inspection within the prior 5 days or fewer days if high risk situations dictate;
 - (2) Verification of EEE/WEE/WNV (encephalitides), rabies, and tetanus vaccinations within the prior 12 months;
 - (3) Verification of Influenza and Rhinopneumonitis vaccinations within the prior 180 days or fewer days if high risk situations dictate; and
 - (4) Verification of Negative Equine Infectious Anemia (Coggins) Test within the calendar year or in a shorter period of time if high risk situations dictate.
- (b) Each Racetrack shall submit the following information to the Authority with respect to each Horse on its grounds:
 - (1) Horse identification;
 - (2) Origin of Horse;
 - (3) Date of entry;
 - (4) Verification of certificate of veterinary inspection; and
 - (5) Verification of vaccinations.
- (c) Each Racetrack shall submit the following information to the Authority with respect to each Horse leaving its grounds:
 - (1) Horse identification;
 - (2) Intended destination;
 - (3) Reason for departure;
 - (4) Date of exit;
 - (5) Vehicle license plate; and
 - (6) Transporter.
- (d) Horses moving interstate must meet the entry requirements of the destination State, the State Racing Commission in the destination State, and the individual Racetracks or Training Facilities to which the horse is being shipped in the destination State.

Horse Identification

Digital foal certificates must be transferred to the Santa Anita Racing Office prior to entry time. Paper foal certificates must be on file in the Santa Anita Racing Office prior to entry time. Foal certificates must bear the names of the current owner(s). No horse shall be permitted to start that has not been fully identified.

Training Information & Schedules

Breeders' Cup Pre-Race Inspections

Breeders' Cup Limited and the CHRB have assembled a team of impartial, experienced regulatory veterinarians who will be conducting a series of physical inspections, as well as observing each horse under tack during the week preceding the Breeders' Cup World Championships.

Horses will be inspected on multiple occasions in the days preceding their Championships races. Additional diagnostic imaging, at the owner's expense, may be required as the result of BC vet team exams before a horse will be allowed to start.

All BCWC runners and undercard runners are required to pass a mandatory jog-up exam in the designated jogging lane prior to being allowed on any surface to train. **See page A2 and map on page 161 for more information.**

The cooperation of the horsemen in scheduling and having horses available and prepared (no bandages) will aid these team members in their task. If you would like to schedule the visit in advance, please contact Dr. Will Farmer (260) 438-4151 or Dr. Deborah Lamparter (856) 625-5600.

Medications in Training

Horses that perform routine (non-Vets' List) works (breezes) are also subject to medication controls and may be subjected to sampling up to one hour following a work. Unless emergency treatment is required, it is not advisable to administer medication until one hour has elapsed after the horse's work. NSAIDs, local anesthetics, and analgesics are controlled consistently with post race requirements. Horses are prohibited from breezing within 7 days of an intra-articular injection of any kind. Corticosteroids may be administered by other routes at less than 7 days, and are controlled by the following Screening Limits: Betamethasone 10 pg/mL; Dexamethasone 5 pg/mL; Isoflupredone 100 pg/mL, Triamcinolone 100 pg/mL. Other Controlled Medication Substances (including, but not limited to, acepromazine, dantrium, methocarbamol, omeprazole) may be present in a horse's Post-Work sample. Covered Horses may receive Lasix (furosemide) during training but are prohibited from receiving it within 48 hours of their Post-Time.

Permission to Work

All runners MUST request permission for a timed workout from Santa Anita Park on any of the California surfaces and the runner must have an attending veterinarian exam during the <u>three days</u> prior to the work. This request must be submitted to the Santa Anita Park Veterinarian's office <u>BY</u> <u>NOON TWO DAYS BEFORE</u> the intended work, email request to <u>workouts@santaanita.com</u> (Form on page 141).

Workout Identification

All Breeders' Cup World Championships horses and undercard runners entering the track for training shall be identified by name, type of workout, distance, name of trainer, and shall wear the Breeders' Cup-provided saddle towels. Failure to report this information to the gap attendant will be referred to the Stewards. Breeders' Cup World Championships horses are required

to wear their personalized workout towels when training with no exceptions. Undercard runners must wear their numbered towel. Trainers who fail to send their runner to the track in the Breeders' Cup-provided workout towel will forfeit their complimentary seating on the days of the Championships.

Workout Requirements

A horse that has not started in the past thirty (30) days shall not be permitted to start unless it has at least one (1) published workout within thirty (30) days of the race at a distance satisfactory to the stewards of the meeting.

Any horse imported from a country that has no provisions for timed workouts and is entered in a stakes within seven (7) days of clearing USDA quarantine and runs within nine (9) days will not be required to have a published workout if they have not started in the past 30 days.

Training Hours

Main Track (during Breeders' Cup World Championships week)

The main track will be open for training each morning from 5:00 am to 10:00 am. Ponying is not allowed on the main track and can be done only on the training track.

The main track will break at 6:00 am, 7:15 am and 8:30 am each morning, with the exception of Friday, November 3, and Saturday, November 4. The training track will be renovated at 6:45 and 8:00 am.

The first 15 minutes of training after the main track opens and after each renovation break are now exclusively reserved for official timed workouts only.

Weather permitting, training hours for the main track for Friday, November 3 will be 5:30 am to 6:30 am and Saturday, November 4 will be 5:30 am to 6:30 am. The training track will be open each morning from 4:45 am to 10:00 am through Thursday, November 2, and closed Friday, November 3, Saturday, November 4 and Sunday, November 5.

Horses galloping on the track at less than a two-minute gallop will be required to stay 25 feet from the inside rail.

The outrider will give instructions as to the proper lane of traffic for entering and exiting the track.

All runners competing on November 3, and November 4 are required to enter the track through the paddock for a mandatory jog up exam in the tunnel prior to entering the racetrack. See page A2 for more details.

There will be outriders on the track every day to provide assistance; if at any time they can be of assistance, do not hesitate to call them.

Paramedic services and a horse ambulance will be parked at the 1/4 pole gap every day.

Use of crops in morning training, including works, are for safety purposes <u>only</u>. No striking allowed.

Turf Course (during Breeders' Cup World Championships week)

Runners entered in one of the seven Breeders' Cup World Championships turf races or Santa Anita Park turf stakes during Breeders' Cup week will be the only horses allowed to train on the turf course. International participants may train at 7:30 am daily, domestic participants may train on the turf at 10:00 am daily and must have an authorized turf slip requested 24 hours in advance from either the Santa Anita Park racing office or the International Racing Bureau. Any horses wishing to work prior to the Championships must submit a workout request form <u>BY NOON TWO</u> <u>DAYS BEFORE</u> the intended work.

On Friday, November 3, the turf course will be open from 7:15 am - 7:30 am only to Breeders' Cup entrants. On Saturday, November 4, the turf course will not be open.

HISA Shoe Policy

HISA Safety Rule 2276.

Horseshoes (a) Except for full rims 2 millimeters or less from the ground surface of the Horseshoe, traction devices are prohibited on forelimb and hindlimb Horseshoes during racing and training on dirt or synthetic racing tracks. (b) Traction devices are prohibited on forelimb and hindlimb Horseshoes during training and racing on the turf. (c) Traction devices include but are not limited to rims, toe grabs, bends, jar calks and stickers.

Rule 2276 shall not be enforced for horses racing on dirt surfaces that are shod on the hindlimbs with traction devices in the form of either a full outer rim shoe (up to 4 mm in height) or a toe grab (up to 4 mm in height). All other provisions of Rule 2276 shall remain in full force and effect. Enforcement of Rule 2276 will begin as previously announced on Monday, August 1, 2022.

Paddock Schooling

There will be one schooling day during racing, Thursday, November 2. Breeders' Cup will implement a ranking system to award schooling spots during live racing. Breeders' Cup Championships starters receive preference. Submit your requests via email to <u>racing@breederscup.com</u> or by calling the Paddock Judge, Jesus Calvillo at (714) 470-2003 Tuesday, October 31 by Noon (PT).

During training hours, the paddock will be open every morning from 5:00 am - 10:00 am only through entering by the paddock horse path NOT from the racing surface. Horses wanting to go into the paddock after training hours will be allowed between 10:00 am - 11:00 am by entering through the paddock gap or horse path. Paddock schooling between 10:00am -10:30am on Thursday, November 2. No paddock schooling on Friday, November 3, or Saturday, November 4.

Starting Gate Schooling

The Starter, Jay Slender, (714) 307-0047, and his assistants will school every day during Breeders' Cup week from 7:00 am to 9:00 am from the main track (6-1/2 furlong pole). There will be no gate schooling on Championships Friday and Championships Saturday. Prior to Breeders' Cup week, gate schooling is every day from 7:30 am to 9:30 am except Tuesday.

Security

Security for the stable area will be provided on a 24-hour basis by Santa Anita Park. Any special security needs should be addressed to the Breeders' Cup Racing Office. Breeders' Cup World Championships horses will be under Breeders' Cup Equine Security Team surveillance beginning Tuesday, October 31 at 11:00 am (PDT) and continues to post time.

Stable Area Guest Pass

Admittance to the stable area is restricted to owners, their guests, trainers and their employees, racing officials and the working press upon presentation of proper credentials.

No visitors are permitted except with authorized passes issued by the Stable Gate Office.

The Breeders' Cup Racing Office will issue stable area guest passes upon request to the guests of Breeders' Cup World Championships owners and trainers.

Health & Safety

In accordance with the Horse Racing Integrity and Safety Authority rules:

HISA 2293. Equipment

(a) Helmets.

(1) Any person mounted on a Horse or stable pony anywhere on racetrack grounds shall always wear a properly secured safety helmet.

(2) All starting gate personnel shall always wear a properly secured safety helmet while performing their duties or handling a Horse.

(3) The safety helmet may not be altered in any manner and the product marking shall not be removed or defaced.

(4) The stewards, or their designees, shall inspect safety helmets at the beginning of a Race Meet and randomly throughout the Race Meet.

(5) The Clerk of Scales shall report to the stewards any variances of safety helmets seen during the course of their work.

(6) The helmet must comply with one of the following minimum safety standards or later revisions:

- (i) American Society for Testing and Materials (ASTM 1163);
- (ii) European Standards (EN-1384 or PAS-015 or VG1);
- (iii) Australian/New Zealand Standards (AS/NZ 3838 or ARB HS 2012); or
- (iv) Snell Equestrian Standard 2001.
- (b) Vests.

(1) Any person mounted on a Horse or stable pony on the racetrack grounds must wear a properly secured safety vest at all times.

(2) All starting gate personnel must wear a properly secured safety vest at all times while performing their duties or handling a Horse.

(3) The safety vest may not be altered in any manner and the product marking shall not be removed or defaced.

(4) The stewards shall inspect safety vests at the beginning of a Race Meet and randomly throughout the Race Meet.

(5) The clerk of scales shall report to the stewards any variances of safety vests seen during their course of work.

(6) The safety vest must comply with one of the following minimum standards, as the same may be from time to time amended or revised:

(i) British Equestrian Trade Association (BETA):2000 Level 1;

(ii) iEuro Norm (EN) 13158:2000 Level 1;

- (iii) American Society for Testing and Materials (ASTM) F1781-08 or F1937;
- (iv) Shoe and Allied Trade Research Association (SATRA) Jockey Vest Document M6-3; or
- (v) Australian Racing Board (ARB) Standard 1.1998.

Riding Crops

In accordance with the Horse Racing Integrity and Safety Authority rules:

HISA 2281. Riding Crop Specifications

(a) Riding crops are subject to inspection by the Safety Officer, stewards, and the clerk of the scales.

(b) All riding crops must be soft- padded.

(c) Riding crops shall have a shaft and a smooth foam cylinder and must conform to the following dimensions and construction:

(1) The maximum allowable weight shall be 8 ounces;

(2) The maximum allowable length, including the smooth foam cylinder attachment, shall be 30 inches;

(3) The minimum diameter of the shaft shall be three-eighths of one inch; and

(4) The shaft, beyond the grip, must be smooth, with no protrusions or raised surface, and covered by shock absorbing material that gives a compression factor of at least one millimeter throughout its circumference.

(5) There shall be no binding within 7 inches of the end of the shaft.

(6) The smooth foam cylinder is the only allowable attachment to the shaft and must meet the following specifications:

(i) Shall have no reinforcements;

(ii) Shall have a maximum length beyond the shaft of one inch;

(iii) Shall have a minimum diameter of 0.8 inches and a maximum width of 1.6 inches;

(iv) There shall be no other reinforcements or additions beyond the end of the shaft;

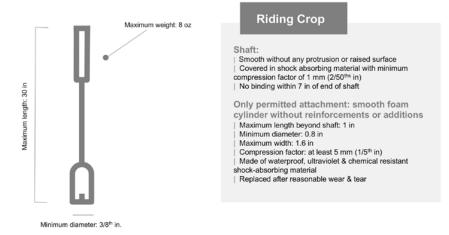
(v) Shall be made of shock absorbing material with a compression factor of at least 5 millimeters throughout its circumference;

(vi) Shall be made of a waterproof, ultraviolet, and chemical resistant foam material that is durable and preserves its shock absorption in use under all conditions; and

(vii) Shall be replaced after reasonable wear and tear is visibly evident.

(7) Riding crops shall not be altered and shall have an appropriate label or marking designating that the riding crop meets the required standards as established by the Authority.

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HISA Announcement Concerning Riding Crops Permitted under HISA Rule 2281 June 15, 2023

The following riding crops are permitted for use by jockeys in Covered Horseraces:

- 1) The GT 360 Custom Crop by RydersUp
- 2) The SB 100 by Scott Bethke
- 3) The EZ Strike by Alex Birzer
- 4) The Alpha IXI by Adam Marthaler
- 5) The Diego Crop by Diego Morales
- 6) The Soft Crop II by Fowler Custom Crops
- 7) The Cush Cush Riding Crop by Garrett Broussard

The Authority will not enforce Rule 2281 rule violations for the following riding crop: The ProCush Flat Race Whip by Old Mill Saddlery

Per HISA Rule 2181(6), riding crops shall not be altered. The stewards at Racetracks will inspect riding crops on a regular basis, and will enforce violations for the possession of altered riding crops or of any riding crops not listed above.

The ProCush Flat Race Whip includes a padded shock-absorbing end that does not conform with the current rules as written. However, HISA is developing new rules that will permit the use of riding crops with a padded shock-absorbing end. Until those rules go into effect, HISA will not enforce the current Rule 2281 as written to prohibit use of the Pro-Cush Flat Race Whip.

Feed Vendors at Santa Anita Park

626-442-2680
909-629-4340
310-925-8301

CHRB Inclement Weather Policy

The California Horseracing industry recognizes that inclement weather may temporarily prevent the normal operations of the racetrack and that coordinated decision making promotes the health and safety of all participants, including the equine athletes and riders.

In anticipation of inclement weather events, the following policy and protocols will drive the decision- making process to either delay or cancel racing and training. The concern for the equine athletes, riders and all other participants' safety serves as the main driver when these decisions are made, and decisions should always strive to err on the side of caution. Each weather incident is unique, and each will demand individual attention and analysis.

Visit <u>http://www.chrb.ca.gov/misc_docs/Inclement-Weather-Policy.pdf</u> for the full CHRB Inclement Weather Policy.

Extreme Temperatures

Symptoms of heat stress in Thoroughbreds:

- Rapid shallow breathing (i.e., panting);
- Very high body temperature;
- Flared nostrils;
- A congestion appearance of the gums;
- Staggering or weakened gait;
- Abnormal, irrational behavior;
- In extreme circumstances collapse.

Circumstances contributing to Heat Stress:

The susceptibility of a horse to heat stress may not solely be influenced by temperature or the prevailing conditions. Certain other factors may adversely affect an individual horse's ability to withstand racing in hot conditions.

These include:

- A horse traveling long distances prior to or on the same day as the race;
- The temperament of the horse;
- Younger horses less acclimatized to heat;
- Heavy sweating;
- Withholding drinking water on the day of the race.

Requirements of Racetrack/Safety Steward:

It shall be the responsibility of the Track Superintendent/Safety Steward on any race day to;

- Obtain and monitor the forecast conditions for the race day;
- Initiate the Extreme Temperature Weather Policy when necessary, and ensure the provisions are appropriately observed, where applicable;
- Communicate with racetrack personnel regarding requirements;

- During the race day monitor the air temperature provided by commercial weather service (e.g., Universal Weather Service);
- Throughout the race day communicate and take advice from the Official Veterinarian regarding current conditions and the condition of any horse;
- Consider whether modifying the racing program may provide improved conditions; and
- Minimize the time horses are required to be in post parade.

Modification to the race day program may include advancement or delay in the races if it appears possible the extreme conditions might ease or can be avoided.

In the event a trainer is concerned with their horse coping with the current conditions and requests to be withdrawn from the race, the stewards shall consider all requests on merit and the stewards may seek the opinion of the Official Veterinarian before adjudicating any request.

Postponement and Cancellation:

In the event of a forecasted extreme temperature as defined below the decision to cancel racing shall be made by the General Manager of the Association and confirmed by the Board of Stewards by applying the Extreme Temperature Standard and Policy. Notification of cancelation shall be communicated to the following:

- Jockey's Guild Representative;
- Board of Stewards;
- TOC and CTT

Heat Stress Index:

Horses generate heat during relatively short episodes of intense exercise, such as racing. Heat and humidity can affect horses more than humans. An important calculation when determining the risk to a horse during hot and humid conditions is the Heat Stress Index (HSI), which is a simple way to estimate the ability of a horse to dissipate heat naturally.

Horses' ability to dissipate heat begins to decrease at HSI 130; horses cannot dissipate heat at or above HSI 180. HSI is calculated by adding the current temperature (in Fahrenheit) and the relative humidity (in % RH) and subtracting wind speed (in mph). The total determines the conditions. For example, if it is 77 degrees out and the relative humidity is 65%, and the windspeed is 8 mph, the HSI is 134.

Heat mitigation precautions should be in place whenever HSI is expected to exceed 130. These precautions should include the following:

- 1. strategically placed hoses for both before and after the race;
- 2. ice buckets with sponges in the unsaddling area;
- 3. ice blankets in coolers in ambulances/ track veterinarian vehicles; and
- 4. additional monitoring of horses post-race for heat-related problems.

180 or more:

The horse has no natural means to cool off, and the rider/handler must provide aggressive cooling.

150 or more:

The horses cooling mechanisms are reduced and supplemental cooling must be provided.

130 or more:

The horse's cooling is mildly diminished, and some horses may need assistance.

<u>Less than 130</u>: The horse can cool itself.

In the event HSI exceeds 160, any determination to proceed with racing or training requires the approval of the stewards based upon a recommendation from the Official or Association Veterinarian.

No training or racing shall be permitted when HSI exceeds 175.

Post-Race Attention:

All Trainers shall ensure that post-race, horses are cooled down promptly and adequately. Trainers should be alerted that unless appropriate care is provided, a horse's core temperature may continue to RISE for up to 20 minutes post-race.

Precipitation

The following protocols will assist with the decision-making process to either suspend or cancel racing until the track is deemed safe after an extreme precipitation event. The first priority of all decisions made shall be the well-being and safety of the equine athletes and riders.

These protocols are consistent with Association of Racing Commissioners International model rule **ARCI- 007-025(G)**.

Weather Monitoring:

The Racing association shall subscribe to a commercial weather service (e.g., Universal Weather Service) and install a professional weather station that will provide the following information:

- Temperature;
- Relative Humidity;
- Rainfall amount;
- Wind Speed;
- Wind Direction;
- Barometric Pressure;
- Solar Radiation.

Weather Data Collection:

All weather data shall be maintained on a daily basis by the Track Superintendent and recorded in electronic format that shall be made available to racetrack management and Board of Stewards. Any precipitation event during racing and training will require the rainfall data to be logged in 15-minute increments.

Dirt Track:

Sealed or Floated Track: is a track surface that has been smoothed and compacted utilizing specialized equipment. A sealed dry track allows water to run off the track, reducing the amount of precipitation absorbed. Wet tracks are sealed to provide a safe and even racing surface. Periodically, a "float," which is a lighter slab of metal, will be pulled over the track to squeeze off surface water.

When precipitation is forecasted the Track Superintendent will analyze the following:

- Total amount of rainfall;
- Duration of rainfall;
- Predicted timing relative to training or racing.

Based on data received, the Track Superintendent will make a determination if the track needs to be sealed and or floated. When the track is sealed, horses will **NOT** be permitted to train on the surface. Any decision to race on a sealed or floated track will be made based upon a decision by the Track Superintendent that in his or her professional opinion the resulting track surface is safe for the conduct of races. That decision shall also provide that the conduct of racing will not jeopardize the safe conduct of racing or training on days subsequent to the inclement weather event. That decision will require the unanimous consent of a committee consisting of the Track Superintendent, Association General Manager, Jockeys Guild Representative, and Safety Steward subject to confirmation by the Board of Stewards. Notice of that decision will be provided to the following:

- Board of Stewards;
- Jockeys Guild;
- TOC;
- CTT

When the rain event has ended the Track Superintendent will determine the optimal time to harrow the track using the float rake or conditioning harrows. Once the track is harrowed after being sealed, only jogging will be permitted over the harrowed track for at least 24 hours. The type of training activity in the 24-48 hours following the harrowing of a sealed track will be determined by the track superintendent based upon the condition of the track surface, the amount of rainfall received, and predicted weather conditions that may affect the track surface.

No Racing or Training shall be permitted on any surface deemed unsafe by the Track Superintendent or Board of Stewards.

Turf Track:

When deciding to take racing off the turf the safety of the equine athletes and rider must be the driving factor.

During a rain event the Turf Track Supervisor will continually monitor turf course to determine the amount of moisture in the track by using the following methods:

- Visual inspections;
- Walking the course;
- Using a GoingStick or probe.

If the Turf Track Supervisor determines there is too much moisture in the track and could be unsafe, he will notify the General Manger immediately. The decision to take races off or leave races on the turf shall be made by the General Manager of the association subject to confirmation by the Board of Stewards. Notification shall be made to the following:

- Jockey's Guild;
- TOC;
- CTT

Air Quality

The Air Quality Index will be monitored, and information will be relayed to trainers if necessary.

Daily AQI Color	Levels of Concern	Values of Index	Description of Air Quality
Green	Good	0 to 50	Air quality is satisfactory, and air pollution poses little to no risk.
Yellow	Moderate	51 to 100	Air quality is acceptable. However, there may be a risk for some people, particularly those who are unusually sensitive to air pollution.
Orange	Unhealthy for Sensitive Groups	101 to 150	Members of sensitive groups may experience health effects. The general public is less likely to be affected.
Red	Unhealthy	151 to 200	Some members of the general public may experience health effects; members of sensitive groups may experience more serious health effects.
Purple	Very Unhealthy	201 to 300	Health alert: The risk of health effects is increased for everyone.
Maroon	Hazardous	301 and higher	Health warning of emergency conditions: everyone is more likely to be affected.

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Important to Note:

HISA's ADMC rules prohibit the administration of Banned Substances and Banned Methods at any time. The ADMC rules also prohibit the use of Controlled Medication Substances and Controlled Medication Methods within 48 hours of Post-Time or Vet's List Work, with certain exceptions. See the Banned Substances list <u>HERE</u> and Controlled Substances list <u>HERE</u> for more information. Only water may be administered on race day to wash a horse's mouth. Throat flushes, no matter how innocuous their ingredients, are prohibited. This includes old-time remedies containing menthol, oil of wintergreen, camphor, peppermint, or any similar products, "natural" or otherwise. Horses will be scratched for violation of this rule.

Race Day Inspections

The Horse Identifier and the Horseshoe Inspector shall examine each horse immediately prior to its race. No horse shall be eligible to start in a race and shall be scratched by the Stewards if it is found not to be in serviceable, sound racing condition, not properly identified or improperly shod.

Racing Soundness Examination

CHRB 1846. Racing Soundness Examination.

Each and every horse entered to race shall be subjected to a veterinary examination for racing soundness and health on race day not later than two hours prior to official post time for the race in which the horse is to compete. Such an examination shall be referred to as the "Racing Soundness Exam."

- (a) The examination of each horse shall include at a minimum, the following:
 - (1) Identification of the horse.
 - (2) Ascertainment of the sex of the horse.

(3) Performance of an overall inspection of the entire horse, assessing general appearance, behavior, disposition, posture, and body condition.

(4) Observation of the horse jogging in hand, moving towards and away from the veterinarian so that both hind-end and front-end motion can be evaluated.

(5) Performance of a digital palpation and flexion on both distal forelimbs.

- (b) The trainer of each horse or a representative of the trainer who is knowledgeable about the horse and able to communicate with the regulatory veterinarian must present the horse for examination. Horses presented for examination must have bandages removed, and the legs must be clean and dry. Prior to examination, horses may not be placed in ice and no device or substance shall be applied to the horse that impedes veterinary clinical assessment.
- (c) All such examinations shall be conducted by a regulatory veterinarian who shall have the authority to determine the location of the examination.
- (d) All such examinations shall be documented in the InCompass Solutions Inc. Track Manager System.
- (e) The Official Veterinarian shall keep or cause to be kept a continuing health and racing soundness record of each horse so examined.

Race Day Assembly Barn Policy

In order to expedite the transit of horses from the barn area to the paddock for saddling and to draw blood for TCO2 testing, the Breeders' Cup and Santa Anita Park requires that all horses report 45 minutes prior to post in the assembly barn (Round Barn). Upon arrival at the assembly barn, the horse identifier will verify each horse's identity and the association farrier will examine each horse's shoes and the CHRB veterinarian will supervise the blood draw for TCO2 testing. At approximately 25 minutes to post, horses shall proceed to the paddock (in program number order).

Change of Equipment

Trainers must be consistent in the use of equipment (e.g., blinkers, cheek pieces, screens, closed cups). Permission to change that equipment must be obtained from the Stewards and must be obtained before entry time. All equipment changes must be declared at entry. All articles of equipment in which a horse races must be in proper position when the horse leaves the paddock for the post.

All horses will carry their equipment to post.

Blinkers & Cheek Pieces

Permission to add blinkers or cheek pieces or to discontinue the use of them must be approved by the starter before being granted by the Stewards, and declared at entry.

Pony Persons and Grooms

All grooms should be neatly dressed when taking horses to the paddock. Breeders' Cup jackets will be provided by the Breeders' Cup Racing Office prior to the Breeders' Cup World Championships. Pony riders taking horses to the post in the public parade on Championships days must wear Breeders' Cup saddle towels, cap covers and jackets provided from the Breeders' Cup Racing Office and must keep themselves and their mounts well-groomed and their tack clean. It is requested that pony riders wear khakis and white shirts on each Championships day. **Ponies will not be allowed on the turf course.**

A **Breeders' Cup Best Turned Out Award** will be decided before each Championships race, each winning groom receives \$500.00, a custom throw blanket, and a certificate of honor.

Scratches

Scratch time for Breeders' Cup World Championships Future Stars Friday will be 8:00 am (PDT) on Friday, November 3. Scratch time for Breeders' Cup Saturday is 8:00 am (PDT) on Saturday, November 4. Please see section on Refunds of Horses of Racing Age Nominations on page 16, and refunds of pre-entry and entry fees on page 18.

Any horse scratched after scratch time must file, in writing, such intention with the Stewards. Upon receiving a scratch from a stakes race, the Stewards shall promptly notify the pari-mutuel manager and shall cause a public announcement of the same to be made.

Saddling Paddock Passes

Individual saddling paddock passes will be required for each horse in all 14 of the Breeders' Cup World Championships races and the undercard. BCWC paddock passes will be issued <u>only</u> through the Breeders' Cup Racing Office located at Santa Anita Park beginning Wednesday, November 1, 7:00 am. All persons accompanying a horse to the saddling paddock will be issued a paddock pass. Paddock Passes are race and horse specific. Limits apply. Access to the saddling stalls will be reserved for trainers and their staff.

Jockeys

Duty to Fulfill Engagements

Every rider shall fulfill his duly scheduled riding engagements, unless excused by the Stewards. A rider shall not be required to ride a horse he believes to be unsound, nor over a racing strip he believes to be unsafe, but if the Stewards find a rider's refusal to fulfill a riding engagement is based on a personal belief unwarranted by the facts and circumstances, the rider may be subject to disciplinary action.

Jockey Appearance and Attire

In riding, a jockey must be neat in appearance. He or she must wear conventional attire and the racing colors of the owner for whom he or she is riding.

Safety Helmets and Safety Vests Required.

Refer to pages 81-82 for safety helmet and safety vest requirements and regulations

CHRB Rule 1682. Weighing Out.

All jockeys taking part in a race must be weighed out by the clerk of scales no more than one hour preceding the time fixed for the race. Any overweight in excess of one pound shall be declared by the jockey to the clerk of scales, who shall have such overweight and any change to jockeys posted immediately for public information and announced over the public address system.

CHRB Rule 1701. Weighing In.

A jockey shall weigh in after the finish of the race and he shall not weigh less than one pound of his proper weight nor two pounds over his proper weight except that the Stewards may take into account any excess weight caused by rain or mud. The stewards may disqualify any horse whose jockey weighs in at less than one pound of his proper weight, and shall discipline the persons responsible therefor.

CHRB Rule 1683. Maximum Overweight.

No horse shall carry more than two pounds overweight without consent of its owner, his agent, or his representative, but shall not carry more than seven pounds overweight in any race.

CHRB Rule 1684. Items Included in Weight.

A jockey's weight includes his riding clothing, saddle and pad. It shall not include the jockey's safety helmet, safety vest, whip or the horse's bridle.

CHRB Rule 1686. Responsibility for Weight.

The trainer and owner shall be responsible for the weight carried by the horse after the jockey has been weighed out for the race by the clerk of scales. The trainer or owner may substitute a jockey when the engaged jockey reports an overweight in excess of two pounds.

CHRB Rule 1687. Deposit of Jockey Fee.

The minimum jockey mount fee (currently \$237) for a losing mount in the race must be on deposit

with the paymaster of purses, except for a jockey riding for his contract employer, prior to the time for weighing out, and failure to have such minimum fee on deposit is cause for disciplinary action and cause for the stewards to declare the horse for which such fee is to be deposited. The association assumes the obligation to advance the minimum jockey fee to the engaged jockey in the absence of a declaration of the horse from the race, and any such advanced fee is a lien upon the horse.

Riding Crop Rule

In accordance with the Horse Racing Integrity and Safety Authority rules:

HISA 2280. Use of Riding Crop.

- (a) A Jockey or exercise rider who uses a crop during a Race or Workout shall do so only in a professional manner consistent with maintaining focus and concentration of the Horse for safety of Horses and riders, or for encouragement to achieve optimal performance.
- (b) A rider may:
 - (1) Use the crop on the hindquarters to activate and focus the Horse a maximum of 6 times during a race. The 6 permitted uses shall be in increments of 2 or fewer strikes. The rider must allow at least 2 strides for the Horse to respond before using the crop again.
 - (2) Tap the Horse on the shoulder with the crop while both hands are holding on to the reins and both hands are touching the neck of the Horse. Contact by the crop to the shoulder is permitted ONLY as a TAP to the shoulder, and not as a STRIKE. If a jockey uses the crop on the shoulder while both hands are holding on to the reins and both hands are touching the neck of the horse, that use is considered a TAP.
 - (3) Show or wave the crop to the Horse without physically contacting the Horse.
 - (4) Use the crop to preserve the safety of Horses and riders.
- (c) A rider may not:
 - (1) Raise the crop with the rider's wrist above the rider's helmet when using the crop;
 - (2) Injure the Horse with the crop or leave any physical marks, such as welts, bruises, or lacerations;
 - (3) Use the crop on any part of the Horse's body other than the shoulders or hindquarters;
 - (4) Use the crop during the post parade or after the finish of the race other than to avoid a dangerous situation or preserve the safety of Horses and riders;
 - (5) Use the crop if the Horse has obtained its maximum placing;
 - (6) Use the crop persistently even though the Horse is showing no response;
 - (7) Use a crop on a 2-year-old Horse in races before April 1 of each year other than to avoid a dangerous situation or preserve the safety of Horses and riders; or
 - (8) Strike another Horse or person with the crop.
- (d) In any Race in which a Jockey will ride without a crop, that fact shall be declared at entry, included in the official program, and an announcement of that fact shall be made over the public address system.

Penalties

Classification	Violation	Penalty
3	1-3 strikes over 6-strike limit	Fine: \$250 or 10% of jockey's portion of purse, whichever is greater Jockey suspension: 1+ day 3 Points (expire after 6 mos)
2	4-9 strikes over 6-strike limit	Fine: \$500 or 20% of jockey's portion of purse, whichever is greater Horse disqualified from purse earnings Jockey suspension: 3+ day 5 Points (expire after 9 mos)
1	10 or more strikes over 6-strike limit	Fine: \$750 or 30% of jockey's portion of purse, whichever is greater Horse disqualified from purse earnings Jockey suspension: 5+ day 10 Points (expire after 1 yr)
Multiple	11-15 points	7-day jockey suspension
Multiple	16-20 points	15-day jockey suspension
Multiple	20+ points	30-day jockey suspension

HISA 2273. Other Devices.

An electrical or mechanical appliance, other than a riding crop, shall not be used to affect the No electrical or mechanical device or other expedient designed to increase or retard the speed of Covered Horse, other than the riding crop permitted under these regulations, shall be possessed by anyone, or applied by anyone, to a Covered Horse at any time on Racetrack grounds or during a Workout.

HISA 2274. Other Device Penalties.

Penalties for violations of Rule 2273 shall be as follows:

- (a) The penalty for a first offense shall be loss of eligibility to obtain a racing license in all racing jurisdictions for 10 years.
- (b) For any subsequent violation, the penalty shall be loss of eligibility to obtain a racing license in all racing jurisdictions for the life of the Covered Person.

HISA 2275. Communication Devices.

The use of a hand-held communication device by a rider is prohibited while the rider is on the racing track.

Jockey Advertising

CHRB Rule 1691. Colors, Number, and Advertising.

- (a) A jockey shall be properly attired for riding in a race, wearing the colors of the owner of the horse he or she is riding, and exhibiting a number on the saddlecloth that corresponds to the number of the horse on the official program.
- (b) Advertising, including logos, labels, or product endorsements shall be permitted on jockey attire, owner silks, and track saddlecloths from the point of weighing out for a race to weighing in after its conclusion.
- (c) A copy of the advertisement signage must be submitted for review, for compliance with the provisions of this rule, to the stewards at the track where the advertisement will be worn before the horse is entered to race.

- (d) Advertisement on jockey clothing is limited to:
 - (1) A maximum of 32 square inches on each thigh of the pants on the outer sides between the hip and knee and 10 square inches on the rear at the base of the spine.
 - (2) A maximum of 24 square inches on boots and leggings on the outside of each nearest the top of the boot.
 - (3) A maximum of 6 square inches on the front center in the neck area.
- (e) Advertisement on owner silks is limited to:
 - (1) A maximum of 32 square inches on the chest area.
 - (2) A maximum of 1.5 inches by 4 inches on each collar.

(f) Advertisement on track saddlecloths is not limited to size or placement.

The Stewards must approve all advertising and promotional materials before the horse is entered to race. Form on page 142.

Objections/Inquiries

For objections or other immediate inquiry, please contact the Stewards from the Winner's Circle phone.

Jockey Health and Wellness

In accordance with the Horse Racing Integrity and Safety Authority

HISA 2190. Jockey Health.

HISA 2191. Jockey Drug and Alcohol Testing.

Subject to the applicable State Racing Commission electing to enter into an agreement with the Authority, the State Racing Commission shall develop and implement a testing program for drugs and alcohol for Jockeys. The program shall include provisions for medications prescribed by licensed medical doctors that do not affect mental and physical abilities. If a State Racing Commission does not elect to enter into an agreement with the Authority, the Racetracks in such States shall develop and implement a testing program for drugs and alcohol for Jockeys, subject to the approval of the Authority.

HISA 2192. Concussion Management.

State Racing Commissions, or Racetracks if the applicable State Racing Commission does not enter into an agreement with the Authority, shall implement a Concussion management program for Jockeys containing the following elements:

- (a) Each Jockey shall acknowledge in writing that they have been made aware of the Concussion protocols in place for the facility at which they are riding;
- (b) A minimum assessment shall include a current Concussion assessment tool examination;
- (c) A return-to-ride guideline shall be established in order to clear a Jockey who has been concussed, or is believed to have been concussed, once the Jockey is declared fit-to-ride; and

(d) The stewards shall be notified when a Jockey is not permitted to ride and when the Jockey has been authorized to return to riding.

HISA 2193. Insurance.

In States where workers compensation benefits are not afforded to Jockeys by State statute or regulation, Racetracks shall maintain a minimum standard of One Million Dollars (\$1,000,000) per incident worth of accident medical expense coverage for all Jockeys.

HISA 2290. Requirements for Safety and Health of Jockeys.

HISA 2291. Jockey Eligibility.

- (a) A Jockey shall pass a physical examination given within the previous 12 months by a licensed physician affirming the Jockey's fitness to participate as a Jockey, as well as a baseline Concussion test using a current Concussion testing protocol. The results of the physical examination and the baseline Concussion test shall be submitted to the State Racing Commission and the Authority.
- (b) The stewards may require that any Jockey be reexamined and may refuse to allow any Jockey to ride in a race or Workout pending completion of such examination.

HISA 2292. Jockey and Exercise Rider.

Medical History Information

- (a) At all times while mounted on a Horse at a Racetrack, a Jockey or exercise rider shall securely attach to his or her safety vest one or more medical information cards describing his or her medical history and any conditions pertinent to emergent care, including a listing of any previous injuries, drug allergies and current medications.
- (b) The stewards shall confirm compliance during their safety vest inspections at the beginning of the season and with random inspections throughout the Race Meet.
- (c) The stewards may, in their discretion, take disciplinary action against, suspend, make ineligible to race, or fine any Jockey or exercise rider found in violation of Rule 2292.

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ALL HORSES ENTERED, INCLUDING UNDERCARD HORSES, ON NOVEMBER 3 AND 4 ARE PROHIBITED FROM RECEIVING LASIX (FUROSEMIDE) WITHIN 48 HOURS OF THEIR RESPECTIVE POST-TIME.

All administrations of Controlled Medication Substances are prohibited within 48 hours of the Covered Horse's Post Time or Vet's List Work, with limited exceptions (see page 99 or link for medications permitted to be administered up to 24 hours before Post Time or Vets' List Workout). A longer withdrawal interval may be warranted in consideration of multiple factors including, but not limited to: specific drug, dose, route of administration, individual horse's health, and other medications being administered. HISA's published Detection Times are available <u>HERE</u>. Withdrawal guidance for a number of Controlled Medication Substances has been published by the RMTC and can be accessed <u>HERE</u>.

Note: All Covered Horses, including horses that are arriving from international locations, are subject to out-of-competition testing at any time when they are physically present within the United States by HISA and/or BCL. See all rules beginning on page 97. All BCWC runners must comply with the Condition of Integrity which may be found on page 98 of this Guide.

The Breeders' Cup World Championships will be run in accordance with the rules of racing of the State of California and as promulgated by the California Horse Racing Board (CHRB) and in accordance with the Horseracing Integrity and Safety Authority (HISA) regulations and Anti-Doping and Medication Control (ADMC) rules.

Prohibited Substances and Methods and Penalties for Covered Persons

Breeders' Cup is proud to support the national rules of the Horseracing Integrity and Safety Authority, Inc. ("HISA") and its Anti-Doping and Medication Control ("ADMC") Program, which prohibit the use of certain substances and methods and provide for uniform results management for alleged Anti-Doping and Controlled Medication rule violations. Responsible Persons are strictly liable for any Banned or Controlled Medication substances or methods in relation to his or her Covered Horse(s). For violations of the ADMC Program, sanctions include: disqualification; suspensions; periods of ineligibility, up to and including lifetime bans; fines; and forfeiture of purses, prizes, trophies, points, rankings, and all other compensation. For repeat offenders or in cases where aggravating circumstances are present, Covered Persons may be subject to increased periods of ineligibility and additional fines. Please review the ADMC Program rules carefully, available here: https://hisaus.org/regulations.

Breeders' Cup Condition of Integrity

- If any connection of a pre-entered horse has been notified by HISA, a regulatory body, racetrack or racing association (i) about a pending or potential anti-doping or medication control violation involving the connection, or (ii) that such connection is currently banned, ejected or denied from participating in racing in its jurisdiction or facility, such connection must immediately report the same to Breeders' Cup.
- 2. BCL may, in its sole and absolute discretion, declare ineligible any horse in any BCWC race if any connection (e.g., owner, trainer, jockey) of such horse has engaged in any conduct that is unlawful, unethical or may otherwise compromise the integrity or reputation of the BCWC.

Breeders' Cup Out-of-Competition Testing

Breeders' Cup eligible or nominated horses are subject to out-of-competition testing. Sampling and/or testing may be performed by HIWU or by international regulatory authorities in cooperation with HIWU, or by any delegee of the foregoing. This testing will be in accordance with the Horseracing Integrity and Authority, Inc.'s (HISA) rules. The Breeders' Cup OOC testing will be performed by The Kenneth L. Maddy Equine Analytical Chemistry Laboratory at UC Davis or such other laboratory or laboratories as the Horseracing Integrity and Welfare Unit ("HIWU") may direct. All routine testing meets or exceeds the protocols established by HISA and/or HIWU, and is required by Breeders' Cup World Championships to ensure that the highest possible level of testing is performed on all samples and to preserve and protect the integrity and reputation of the Championships. HIWU or its designee conducts OOC testing in accordance with HISA rules, expressly incorporated herein as the same may be now or hereinafter in effect, including HISA Rules 3132 (Authority to test), 3135 (Ownership of Samples), and 3137 (Purpose of Sample Analysis).

Important HISA Medication Rules for Horsemen

Intra-articular Injections

Prohibited within 14 days prior to Post-Time or Vets' List work, and within 7 days prior to any Timed and

Reported Workout. While racing or participating in a Vets' List work is prohibited within 14 days of an intra-articular injection, a longer withdrawal interval may be warranted depending on multiple factors including, but not limited to, the drug(s) and total body dose administered, the number of joints treated, and the specific joint(s) treated.

The prohibitions of substances injected into any articular space, include, but are not limited to:

- Orthobiologics (such as IRAP, PRP, stem cell therapy)
- · Corticosteroids (such as betamethasone acetonide, triamcinolone, isoflupredone)
- Hyaluronic Acid (HA) products (such as Legend I.A., Hy-Visc, Hylartin)
- Antibiotics
- Medical devices (such as Spryng, Arthramid Vet*, Noltrex Vet*)
 - * Intra-articular injections of polyacrylamide hydrogels are prohibited within 180 days prior to post-time.
- Anesthetics (such as mepivacaine, lidocaine, bupivacaine)
 - * The intra-articular administration of any Banned Substance, such as stanozolol, constitutes an Anti-Doping Rule Violation.

These stand-down times do NOT apply to injections in or around the following structures:

- Sacro-iliac areas
- Bursae
- "Splints"
- Proximal suspensories
- Tendon sheaths
- Epaxial muscles
 - 1. For many of these administrations, a withdrawal interval greater than 14 days may be necessary to avoid detection in a treated horse's collected sample.
 - 2. The day of administration is considered day 1 (one). A horse may breeze on day 8 (eight) following administration and may enter to race at any time, provided the race is on day 15 (fifteen) or later.
 - 3. A horse injected on a Saturday is eligible to breeze on the following Saturday, and eligible to race two Saturdays after the administration.
 - 4. The horse receives an intra-articular administration on Saturday, January 1st. The horse is eligible to breeze on Saturday, January 8th, or later, and to race on Saturday, January 15th, or later.

Controlled Medication Substances

All administrations of Controlled Medication Substances are prohibited within 48 hours of a race with the following exceptions. These substances may be administered \geq 24 hours before a race or Vets' List workout:

- 1. Orally administered vitamins
- 2. Vaccines
- 3. Anti-ulcer medications (e.g., Cimetidine, Omeprazole, and Ranitidine)
- 4. Unsupplemented isotonic electrolyte solutions by oral or IV administration

- 5. Altrenogest in female horses
- 6. Antimicrobials (antibiotics) and other anti-infective agents, excluding procaine penicillin or other antimicrobial/anti-infective agents containing or metabolizing to Prohibited Substances.
- 7. Antiparasitic/anthelmintics approved and registered for use in horses, excluding levamisole or other antiparasitic/anthelmintics metabolizing to and/or containing other Prohibited Substances.

Veterinarian's List

Horses placed on the Veterinarians' List in accordance with Rule 2240 shall remain on the Veterinarians' List as follows:

- (a) Horses placed on the Veterinarians' List for unsoundness or Epistaxis shall remain on the list for 14 days.
- (b) Horses placed on the Veterinarians' List multiple times for unsoundness within the previous 365 days shall remain on the Veterinarians' List for 45 days for the second time, 75 days for the third time, and shall be barred from further racing after the fourth time.
- (c) Horses placed on the Veterinarians' List multiple times for Epistaxis within the previous 365 days shall remain on the Veterinarians' List for 30 days for the second time, 180 days for the third time, and shall be barred from further racing after the fourth time.
- (d) Horses placed on the Veterinarians' List for illness shall remain on the list for 7 days.
- (e) Horses on the Veterinarian's List for Injury shall remain on the list for a minimum of 7 days and require an examination by the Attending Vet and a Regulatory Vet prior to removal.
- (f) Horses treated with Shock Wave Therapy shall be placed on the Veterinarians' List for 30 days.
- (g) If before, during, or after the workout for removal from the Veterinarians' List, the Horse is deemed to be unsound or to have Bled, the stay on the Veterinarians' List shall be extended an additional 14 days, and further diagnostic testing may be required as determined by the Regulatory Veterinarian.

HISA 2272(a). Shock Wave Therapy.

HISA Rule 2272, Shock Wave Therapy, states in Section (a):

- (a) The use of Shock Wave Therapy shall be disclosed to the Regulatory Veterinarian no less than 48 hours prior to use and shall not be permitted unless the following conditions are met:
 - (1) Any Shock Wave Therapy may only be performed with machines that are:
 - (i) Registered and approved for use by the State Racing Commission; and
 - (ii) used at a previously disclosed location that is approved by the State Racing Commission.
 - (2) The use of Shock Wave Therapy shall be limited to licensed Veterinarians and must be reported to the Regulatory Veterinarian within 48 hours of treatment to the Authority.
 - (3) Any treated Horse shall be placed on the Veterinarians' List and shall not be permitted to Race or breeze for 30 days following treatment.

HISA will not enforce the requirement under HISA rule 2272(a) that Shock Wave Therapy must be disclosed to the Regulatory Veterinarian no less than 48 hours prior to use. Additionally, HISA will not enforce the requirements in Rule 2272(a)(I) that shockwave therapy may only be performed

with machines that are registered and approved for use by the State Racing Commission, and that the machines must be used at a previously disclosed location that is approved by the State Racing Commission.

Horseracing Integrity and Welfare Unit: Anti-Doping and Medication Control Rule Facts

Under HISA's Anti-Doping and Medication Control (ADMC) Program, it is the responsibility of attending veterinarians and Responsible Persons, i.e., trainers, to determine appropriate with-drawal guidance for a substance administered to a Covered Horse based on factors including, but not limited to, that individual horse's health and metabolism, other prescribed medications the horse may be receiving, a veterinarian's and/or trainer's risk aversion level, and published Detection Times.

The attached table should be consulted as a guide when determining appropriate withdrawal guidance for the medications listed.

Empty boxes indicate that there is not information available regarding dosing protocol, Detection Time, or Screening Limit for that specific substance.

All administrations of Controlled Medication Substances are prohibited within 48 hours of a race (restricted administration time), with the following exceptions as per HISA's ADMC Program Prohibited List. These substances may be administered \geq 24 hours before a race or Vets' List workout:

- Orally administered vitamins
- Vaccines
- Anti-ulcer medications (including, but not limited to Cimetidine, Omeprazole, Sucralfate and Ranitidine)
- Unsupplemented isotonic electrolyte solutions by oral or IV administration
- Altrenogest in female horses
- Antimicrobials (antibiotics) and other anti-infective agents, excluding procaine penicillin or other antimicrobial/anti-infective agents containing or metabolizing to Prohibited Substances
- Antiparasitic/anthelmintics approved and registered for use in horses, excluding levamisole or other antiparasitic/ anthelmintics metabolizing to and/or containing other Prohibited Substances



HIWU Terms To Know:

• Banned Substance: prohibited at all times from prescribing, possessing, distributing, administering, and/or presence in a horse's sample controlled by testing, document review, surveillance, vehicle or premise inspections, intelligence received, or by investigations.

- Controlled Medication Substance: permitted for use or administration in a Covered Horse, except in close proximity to a race or Vets' List workout. As specified in the Prohibited List, certain Controlled Medication Substances are also prohibited from being present in samples collected following an official timed workout.
- Covered Horse: a Thoroughbred that has completed at least one official timed workout.
- Detection Time (DT): the earliest time after administration of a medication to a group of test horses that the medication's concentration is below the Limit of Detection (i.e., the lowest concentration of a substance that can be identified by a laboratory) or defined Screening Limit in a specific matrix (e.g., serum, plasma, urine, or hair) in all of the test horses. The number of test horses used to determine a DT will be specified.
- Prohibited List: identifies Banned Substances/Methods and Controlled Medication Substances/ Methods.
- Responsible Person: a Covered Horse's trainer; if the horse doesn't have a trainer, the Responsible Person is the Covered Horse's owner; included as a Covered Person.
- Restricted Administration Time (RAT): an interval prior to a race during which the administration of a substance, or substances, is strictly prohibited. RATs can be enforced by drug testing, as well as by surveillance or the acquisition of evidence that an administration occurred during the prohibited period.
- Screening Limit (SL): An internal trigger for laboratories. If initial testing finds a concentration of a substance in a sample from a Covered Horse that is below the SL, the laboratory will not pursue the possible presence of a Prohibited Substance. If initial testing finds a concentration of a substance to be above the SL, the laboratory will pursue confirmatory analysis to solidify the positive finding. An SL value can be but is not always a Limit of Detection.
- Withdrawal Guidance: a recommendation traditionally provided by regulators or veterinarians as to the minimum interval between administration of a medication, including specific dosage and route of administration, and a race or timed workout. Under HISA's ADMC Program, it is the responsibility of attending veterinarians and Responsible Persons, i.e., trainers, to determine appropriate withdrawal guidance for a substance administered to a Covered Horse. Such guidance will not be provided by HISA or HIWU.

HISA Screening Limits

Substance	Dosage	Detection Time Unless Specified as Restricted Administration Time (RAT)(#Horses')	Screening Limit
Acepromazine	1. 0.15 mg/kg single oral dose 2. 0.05 mg/kg single IV dose (20 horses)	1. 72 hours (6) 2. 48 hours (20)	10 ng/mL as 2-(1- hydroxyethyl) promazine sulfoxide (HEPS) in urine or 0.02 ng/mL in serum or plasma
Albuterol ¹ (Salbutamol)	5 x 100 µg actuations per dose for 2 days dosed every 4 hours	72 hours	0.5 ng/mL in urine
Betamethasone ⁴			0.2 ng/mL in urine
Butorphanol	0.1 mg/kg single IV dose	72 hours (6)	1 ng/mL in urine or 0.01 ng/mL in serum or plasma
Cetirizine	0.4 mg/kg twice daily for 5 doses.	48 hours (9)	3 ng/mL in serum or plasma
Ciclesonide	5.5 mg/day x 5 days, then 4.1 mg/ day x 5 days via inhalation (Aservo Equihaler).	48 hours (6)	
Cimetidine	20 mg/kg orally twice daily for a total of 7 doses	RAT: 24 hours (9)	400 ng/mL in serum or plasma
Dantrolene	500 mg orally once daily for 3 days	48 hours (12)	3 ng/mL of 5-hydroxydantrolene in unhydrolyzed urine or 0.1 ng/mL in serum or plasma as 3-hydroxydan- trolene
Detomidine	0.02 mg/kg single IV dose	48 hours (10)	2 ng/mL 3-carboxydetomidine in urine or 0.02 ng/mL in serum or plasma
Dexamethasone⁴	Single 20 mg IV, IM, or oral dose	72 hours (20)	0.2 ng/mL in urine
Dexamethasone Sodium phosphate ⁴	0.06 mg/kg single IV dose	72 hours (6)	
Diclofenac ²			50 ng/mL in urine
Dipyrone ²	30 mg/kg single IV dose	72 hours (10)	1,000 ng/mL of 4-methylaminoanti- pyrine in urine
Firocoxib ²	100 mcg/kg orally once daily for total of 7 doses	360 hours (20)	2 ng/mL in serum or plasma
Flunixin ^{2,3}	1. 1.1 mg/kg single IV dose 2. 500 mg single IV dose	1. 48 hours (16) 1. 48 hours (12)	4 ng/mL in serum or plasma
Furosemide		RAT: 48 hours (6)	50 ng/mL in urine or 0.1 ng/mL in serum or plasma

Substance	Dosage	Detection Time Unless Specified as Restricted Administration Time (RAT)(#Horses')	Screening Limit
Glycopyrrolate	1 mg single dose IV	48 hours (20)	0.003 ng/mL in serum or plasma
Guaifenesin (glycerol guaiacolate)	2 grams total body dose, orally twice daily for 5 doses	48 hours (9)	1 ng/mL in serum or plasma
Hydroxyzine	190 mg twice daily for a total of 9 doses	96 hours (2)	
Ipratropium	5.5 mcg/kg once daily via nebuliza- tion for 3 total doses	120 hours (6)	0.25 ng/mL in urine
Isoftupredone*		Serum concentrations associated with an experimental dose of 8 mg IA single joint (6 horses) were all below Limit of Detection by 14 days	
Ketoprofen ^{z,3}	2.2 mg/kg single IV dose	48 hours (24)	2 ng/mL in serum or plasma
Lidocaine	200 mg of lidocaine, as lidocaine hydrochloride, administered subcutaneously	48 hours (6)	10 ng/mL as 3-hydroxylidocaine in urine or 0.02 ng/mL as 3-hydroxyli- docaine in serum or plasma
Medetomidine			5 ng/mL as 3-hydroxymedeto- midine in urine or 0.02 ng/mL as 3-hydroxymedetomidine in serum or plasma
Mepivacaine	40 mg (2 mL) single dose SQ distal limb	72 hours (6)	10 ng/mL as 3-hydroxymepivacaine in urine or 0.05 ng/mL in serum or plasma
Methocarbamol	15 mg/kg single IV dose	48 hours (20)	1 ng/mL in serum or plasma
Misoprostol	5 mcg/kg orally twice daily for 14 days		
N-Butylscopolammonium	0.3 mg/kg single IV dose	48 hours (6)	25 ng/mL in urine or 0.05 ng/mL in serum or plasma
Omeprazole	2.2 g orally once daily for 4 doses	RAT: 24 hours (9)	10 ng/mL in serum or plasma as omeprazole sulfide
Phenylbutazone ^{2,3}	4.4 mg/kg single IV dose	48 hours (17)	0.2 mcg/mL in serum or plasma
Procaine	17 mg (~17,000 IU) per kg IM	48 hours	25 ng/mL in serum or plasma
Ranitidine	8 mg/kg orally twice daily for 7 doses	RAT: 24 hours (9)	40 ng/mL in serum or plasma
Romifidine	80 mcg/kg single IV dose	60 hours (6)	1 ng/mL in urine
Triamcinolone ⁴			0.5 ng/mL in urine
Xylazine	200 mg single IV dose	72 hours (6)	10 ng/mL in urine (as 4-hydroyxyla- zine) or 0.05 ng/mL in serum or plasma

RMTC Withdrawal Guidance Advisory: RMTC withdrawal guidance of HISA's Anti-Doping and Medication (ADMC) Control Detection Times (from March 20, 2023).

The RMTC's Scientific Advisory Committee (SAC) has performed an analysis and, where data permits, developed withdrawal guidance associated with the ADMC Screening Limits and Detections Times of the schedule of RMTC Controlled Therapeutic Substances (CTS). Withdrawal guidelines are for informational purposes only. It is advisable that this information should be used by horsemen in consultation with their attending veterinarian. The withdrawal guidelines do not constitute a guarantee or warranty.

Terms to know:

<u>Screening Limit (SL)</u> - Considered the decision limit used by the laboratories. If initial testing finds a concentration of a substance in a sample that is below the SL, the laboratory will not pursue the possible presence of a prohibited substance. If initial testing finds a concentration of a substance to be above the SL, the laboratory will pursue confirmatory analysis to solidify the positive finding.

<u>Limit of Detection (LOD)</u> – The lowest concentration of a substance that can be identified by the laboratory.

<u>Restricted Administration Time (RAT)</u> – A specified interval prior to a race during which the administration of a substance(s) is prohibited. RATs can be enforced by surveillance, review of medical records, and drug testing.

<u>Detection Time (DT)</u> - Is the first time point after administration of a substance that all test horses in an administration study are at a concentration below the Limit if Detection or defined Screening Limit in a specific matrix (e.g., serum, plasma, urine, or hair). Note: Detection Times are not equivalent to Withdrawal Times.

<u>Withdrawal Time (WDT)</u> – A recommendation as to the minimum interval between administration of a single medication, including specific dosage, route of administration, and treatment schedule, and a race or timed workout. Withdrawal time provides a margin of safety added to a Detection Time to help guide treatment decisions and avoid an adverse finding.

Methodology:

The elimination half-life of a drug is a pharmacokinetic parameter that is defined as the time it takes for a concentration of the drug in the plasma or the total amount in the body to be reduced by 50%; (1) half-life = 50% of drug is eliminated from the body, (2) half-lives = 75% of drug is eliminated from the body, (3) half-lives = 87.5% of drug is eliminated from the body, and (4) half-lives = 93.75% of the drug is eliminated from the body.

Considering most administration studies used to determine detection times of these substances contain small numbers of horses, these sample sizes may not be an accurate representation of the entire population and the detection times do not account for all the variabilities that contribute to the elimination of a drug. The SAC has determined that four (4) half-lives, 94% (93.75% exactly)

elimination of a drug from the body, accounts for the margin of safety the SAC has determined necessary to account for these variables and to provide this withdrawal guidance.

The **methodology** for providing this withdrawal guidance is based on determining the average terminal half-life (from published studies and/or RMTC administration study data) of each Controlled Therapeutic Substance (CTS) and applying the proposed Anti-Doping and Medication Control (ADMC) program screening limits to determine the detection times. Four (4) half-lives of a given substance are added to the calculated detection time; this number may be rounded up to the nearest whole number as agreed upon by the Scientific Advisory Committee (SAC). At four (4) half-lives, 94% (93.75 exactly) of the remaining medication in the horse's system, already at or below the agreed-upon screening limit, will be eliminated.

Note: This methodology may not apply to substances outside of the RMTC's schedule of Controlled Therapeutic Substances in consideration of available data or the number of horses in a particular study. There are different risk factors associated with applying this methodology to substances lacking administration data or smaller sample sizes.

		1	
Substance	Dosage/Route	Detection Time ¹ Unless specified as a Restricted Administration Time (RAT) (#Horses ²)	Withdrawal Time (WDT) Unless specified as a Restricted Administration Time (RAT) or Stand Down Time
Acepromazine (HEPS)	0.05 mg/kg single IV dose	48 hours (20)	WDT: 72 hours (IV)
Albuterol	5 x 100 μg actuations per dose for 2 days dosed every 4 hours Inhalation ³	72 hours ³⁴	
Butorphanol	0.1 mg/kg single IV dose	72 hours (6)	WDT: 96 hours
Cetirizine	0.4 mg/kg orally twice daily for 5 doses	48 hours (9)	WDT: 72 hours
Cimetidine	20 mg/kg orally twice daily for a total of 7 doses	RAT: 24 hours	WDT: 24 hours
Dantrolene	500 mg orally once daily for 3 days	48 hours (12)	WDT: 96 hours
Detomidine	0.02 mg/kg single IV dose	48 hours (10)	WDT: 48 hours ⁴
Dexamethasone ⁸	Single 20 mg IV, IM, or oral dose	72 hours (20)	WDT: 96 hours (IV) WDT: 96 hours (Oral)
Dexamethasone Sodium Phosphate ⁸	0.06 mg/kg single IV dose	72 hours (6)	WDT: 96 hours (IV) WDT: 96 hours (Oral) ¹³
Furosemide	1 mg/kg IV single IV dose (where permitted by exemption)	RAT: 4 hours	WDT: 4 hours Where permitted by HISA's furosemide exemption.
Furosemide		RAT: 48 hours (6)	WDT: 48 hours
Glycopyrrolate	1 mg single dose IV	48 hours (20)	WDT: 96 hours
Guaifenesin	2 grams total body dose, orally twice daily for 5 doses	48 hours (9)	WDT: 72 hours
Lidocaine (Lidocaine HCL 2%)	200 mg of Lidocaine, as Lidocaine Hydrochloride, administered subcutaneously	48 hours (6)	WDT: 72 hours

Lidocaine (Lidocaine HCL 2% and Epinephrine			WDT: 72 hours
1:100,000)			
Mepivacaine	40 mg (2mL) single dose SQ distal limb	72 hours (6)	WDT: 120 hours ¹⁵
Methocarbamol	15 mg/kg single IV dose	48 hours (20)	WDT: 72 hours (IV) 5
Omeprazole	2.2 g orally once daily for 4 doses	RAT: 24 hours	WDT: 24 hours
Prednisolone ⁹		No Detection Time ⁸	
Ranitidine	8 mg/kg orally twice daily for 7 doses	RAT: 24 hours	WDT: 24 hours
Xylazine	200 mg single IV dose	72 hours (6)	WDT: 96 hours
	Non-Steroidal Anti-	nflammatory Drugs (NSAIDs)	
Samples collected may	contain one of the NSAIDs below de	etected at a concentration less	than the Regulatory Screening Limit.
Flunixin Meglumine ^{6, 7}	1.1 mg/kg single IV dose	RAT: 48 hours	WDT: 96 hours
Ketoprofen ^{6, 7}	2.2 mg/kg single IV dose	RAT: 48 hours	WDT: 72 hours
Phenylbutazone ^{6, 7}	4.4 mg/kg single IV dose	RAT: 48 hours	WDT: 72 hours (IV) WDT: 120 hours (Oral) ¹⁴
	Substances with As	sociated Stand Down Times	· · ·
	14-day stand down fo	llowing intra-articular injection	
Betamethasone ^{9,10,12}			
Isoflupredone9,10,12	These substances are controlled by treatment reporting and a mandatory 14- day stand down following intra-articular injection.		
Triamcinolone ^{9,10,12} Methylpredni- solone ^{9,10,11,12}			
Other associated stand down times			
Clenbuterol	Controlled by treatme	nt reporting, veterinary list wo	ork, and clearance testing.

Table Endnotes:

- ¹ Known Detection Times published by the Anti-Doping and Medication Control program (ADMC).
- ² Number of horses in an administration study to determine Detection Time.
- ³ According to ADMC regulation, Albuterol administered by any route other than inhalation is a Banned Substance.
- ^{3A}Based on currently available data, the RMTC is unable to provide withdrawal guidance for Albuterol. Out of an abundance of caution, it may be advisable to apply IFHA's Detection Time of 72 hours as a basis for determining a withdrawal interval. The 72-hour Detection Time strictly applies to an inhalation route of administration.
- ⁴ Following the review of Detomidine administration study data, applying the ADMC screening limit, the RMTC Scientific Advisory Committee (SAC) recommends a Detection Time of 24 hours. Applying the four (4) half-life methodology, the SAC suggests a Withdrawal Time of 48 hours.

- ADMC's Detection Time for Detomidine is 48 hours which is the same as RMTC's suggested Withdrawal Time, this acknowledgement only applies for this substance unless specified otherwise.
- ⁵Oral treatments of Methocarbamol require additional time for clearance.

⁶The detection of more than one NSAID in a horse's Post-Race or Post-Work blood sample constitutes a Stacking Violation (detection of more than 1 NSAID in a blood sample).

⁷Three NSAIDs (Flunixin, Ketoprofen, and Phenylbutazone) are associated with Detection Times (DTs) of 48 hours. Only one of the three may be administered using a withdrawal guidance based on the 48-hour DT. See ADMC's secondary DTs to avoid Stacking Violation.

- ⁸ Based on currently unavailable data, the RMTC is unable to provide withdrawal guidance for Prednisolone.
- ⁹ The detection of more than one corticosteroid in a horse's post-race or post-work blood sample constitutes a stacking violation.
- ¹⁰The RMTC 7-day withdrawal guidelines for corticosteroid intra-articular substances apply for testing associated post-work or out of competition samples, i.e., Betamethasone, Isoflupredone, and Triamcinolone.
- ¹¹ If methylprednisolone is administered by any route, clearance testing is advisable.
- ¹²Intramuscular administration will result in plasma or serum concentrations in excess of the Regulatory Screening Limits for an extended period.
- ¹³At a single 40 mg oral dose of Dexamethasone SP in the RMTC data
- ¹⁴At a single 2-gram oral dose of Phenylbutazone in the RMTC data
- ¹⁵This withdrawal guidance is limited to a 40 mg (2mL) single dose administered subcutaneously in a distal limb.

Disclaimer:

The Withdrawal Guidance listed in this document is provided as a guide to horsemen and their veterinarians. It is neither endorsed nor reviewed by the Horseracing Integrity and Safety Agency (HISA). Moreover, the Withdrawal Guidance does not represent a guarantee or warranty by the RMTC that following the information will prevent a positive finding. Nor does this document relieve the trainer of the responsibility as the absolute insurer for medication overages. This document is solely meant to provide information to guide horsemen and their veterinarians as they perform an independent risk analysis.

The information contained herein is subject to change. As new research becomes available for each medication it may extend or decrease the time listed in the Withdrawal Guidance. Any subsequent change in this information based upon new research will be provided upon review by the Scientific Advisory Committee and approval by the RMTC Board.

The Detection Times listed in this document are based upon experimental data. That data is derived from as few as six (6) horses. The horses involved in these experiments were provided a single medication in a controlled environment and tested for only the presence of that medication

at subsequent timepoints. The Withdrawal Guidance is derived from these Detection Times based upon a statistical analysis. Neither of these timepoints are intended to cover every situation in which a medication is administered.

Horses in these experiments are healthy. Sick horses may metabolize medications differently than healthy horses and this may result in prolonged Detection Times and make Withdrawal Guidelines irrelevant. Experimental horses may be subjected to different exercise plans, diets, and general husbandry which can potentially affect Detection Times and recommended Withdrawal Guidelines when compared to a horse in race training.

Use of a different formulation or concentration of the prescribed medication will likely change the elimination of the medication causing alterations to the Detection Times making the Withdrawal Guidelines inapplicable. The use of compounded medications represents another risk as these substances are produced absent regulatory oversight, and the concentration of drug, its stability and purity, have not been verified.

Furthermore, Detection Times and associated Withdrawal Guidelines are tied to the specified route of administration. In general, medications that are accidentally administered extravascular or outside of the joint space will have significantly different pharmacodynamics making the guidance in this document irrelevant. Additionally, oral administration of medication(s) or combining different medications or supplements may alter the Detection Time affecting the applicability of the Withdrawal Guidance. Extra caution must be taken to clean buckets, feed tubs, and stall environment after oral treatments have been administered.

The RMTC advises horsemen and veterinarians to use these findings as guidelines; they are not intended as guarantees of regulatory compliance. Though meant to be helpful, these are in no way intended to be strict principles that match all real-life situations. The RMTC cautions veterinarians and trainers that exact repetition of these medication dosages may still lead to unpermitted levels when an equine is tested. If horsemen or veterinarians have any concerns about a specific treatment or horse, they should request Clearance Testing from the Horseracing Integrity and Welfare Unit (HIWU).

HISA 8400. Investigatory Powers

- (a) The Commission, the Authority, or their designees:
 - (1) Shall have free access to:
 - (i) With regard to Covered Persons, books, records, offices, racetrack facilities, and other places of business of Covered Persons that relate to the care, treatment, training, and racing of Covered Horses; and
 - (ii) With regard to any person who owns a Covered Horse or performs services on a Covered Horse, books, records, offices, facilities, and other places of business that relate to the care, treatment, training, and racing of Covered Horses.
 - (2) May seize any medication, drug, substance, or paraphernalia in violation or suspected vio-

lation of any provision of 15 U.S.C. Chapter 57A or the regulations of the Authority, and any object or device reasonably believed to have been used in furtherance of the violation or suspected violation.

- (b) Upon final resolution of a violation, the Commission, the Authority, or their designees shall return seized property, including but not limited to phones, computers, and other repositories of electronic data, the possession of which is not specifically prohibited by the Act or the rules of the Authority.
- (c) A Covered Person shall:
 - (1) Cooperate with the Commission, the Authority, or their designees during any investigation; and
 - (2) Respond truthfully to the best of the Covered Person's knowledge if questioned by the Commission, the Authority, or their designees about a racing matter.
- (d) A Covered Person or any officer, employee, or agent of a Covered Person shall not hinder a person who is conducting an investigation under or attempting to enforce or administer any provision of 15 U.S.C. Chapter 57A or the regulations of the Authority.
- (e) The Commission or the Authority may issue subpoenas for the attendance of witnesses in proceedings within their jurisdiction, and for the production of documents, records, papers, books, supplies, devices, equipment, and all other instrumentalities related to matters within the jurisdiction of the Commission or the Authority.
- (f) Failure to comply with a subpoena or with the other provisions of this Rule may be penalized by the imposition of one or more penalties set forth in Rule 8200.
- (g) The Commission or the Authority may administer oaths to witnesses and require witnesses to testify under oath in matters within the jurisdiction of the Commission or the Authority.

Note: This provision requires any prescription medication to have a label by a CHRB licensed veterinarian. Otherwise the medication is contraband. Any trainer bringing any prescription medications should contact their CHRB licensed attending veterinarian.

TCO2 Testing - HISA 5430 Collection of Blood Samples

In addition to the collection of a Post-Race Sample, blood samples may be collected prior to racing from a Covered Horse for the purpose of testing for total carbon dioxide (TCO2). The Prohibited List specifies the TCO2 levels that will be considered prima facie evidence of alkalinization or administration of an alkalinizing agent (i.e. a Controlled Medication Method).

Nasogastric Tubing of Electrolytes

Nasogastric (tubing) administration of any substance or medication is prohibited within 24 hours of post time of the race in which a horse is entered. Unsupplemented isotonic electrolyte solutions may be consumed by free choice at any time.

Water Only on Race Day

This is a very strict and clear rule. Nothing other than water can be used to flush a horse's mouth on race day. Wind Aid, glycerin or anything other than water will result in a scratch. Horses have been scratched from Grade I events for this violation and the policy will be the same for the Breeders' Cup World Championships.

Prescription Medications

The only prescription medications allowed at CHRB racing enclosures are prescriptions by CHRB licensed veterinarians that have been labeled according to CHRB regulations and properly reported to the official veterinarian. All other prescription drugs are prohibited. For international participants, please remember that your products, although potentially prescribed by your veterinarians, may not be FDA approved and therefore will be considered a Banned Substance under the ADMC rules. Please contact the CHRB licensed practicing veterinarian attending your horse concerning any prescription drugs you may need or may have brought with you.

Geldings

Even though highly unlikely in Breeders' Cup races, trainers are reminded a first-time gelding must be reported to the racing office and Horse Identifier when entered.

CHRB Medication Rules & Regulations

CHRB Chief Official Veterinarian, Dr. Tim Grande: Santa Anita (626) 574-6355; cell (626) 476-8743
CHRB Equine Medical Director, Dr. Jeff Blea: (626) 862-6149
CHRB Safety Steward, David Nuesch: (626) 975-0925
CHRB Safety Steward, Paul Atkinson: (626) 253-2545
Board of Stewards: (626) 574-6432 AM or (626) 574-6558 PM

CHRB 1846.1. Veterinary Records for Horses Shipping into an Inclosure to Race or to Work for Removal from Veterinarian's List.

(a) If a horse has not been stabled at a facility under the jurisdiction of the Board for 30 days prior to a race, or for 30 days prior to working off the Veterinarian's List, the trainer shall submit to the official veterinarian, using a form prescribed by the Board, the previous 30-day veterinary treatment record within 24 hours of arriving at a licensed inclosure and before any scheduled race or workout. The veterinary treatment record shall include: (1) the name and identification number of the horse treated, (2) any medication, drug, substance, diagnostics, or procedure administered or prescribed by a veterinarian during the previous 30 days, (3) the name of the prescribing or treating veterinarian, (4) the dates of examinations by the treating veterinarian, and (5) the type of housing (stall, pen, pasture). This treatment record should be sent to the Official Veterinarian by email: <u>ovsocaltb@yahoo.com</u> or via EquiTAPS (form and complete rule on page 143).

CHRB Rule 1853. Examination Required.

- (a) The official veterinarian shall examine each horse that is scheduled to race to determine its fitness to start. The horse identifier shall examine each horse to identify such horse from the Board's identification record and the photographs, record of pedigree, tattoo or brand number and such other points of identification as may be available. The horseshoe inspector shall inspect the horseshoes of each horse. No horse shall be eligible to start in a race, and shall be declared by the stewards, if it is found to be unfit to race, not properly identified, or improperly shod.
- (b) A thoroughbred horse that is not shod is eligible to start in a race if the trainer declares at the time of entry that the horse will race unshod.
 - (1) At the time of entry a trainer shall declare if a thoroughbred horse that raced unshod in its previous start will race shod.
 - (2) Any declaration made under subsections (b) or (b)(1) above shall be noted in the official program, and shall state if the horse will race without horseshoes in the front or back or all around.
 - (3) For the purposes of this regulation "unshod" means running without horseshoes in the front or back or all around.

CHRB Rule 1847. Blocking of Legs.

Blocking may be defined as the administration of any local anesthetic, or other agent, to desensitize a portion of a leg either locally by infiltration of the tissues, regionally by administration directly over a nerve, or by injection directly into a joint space, tendon sheath, or bursa for the purpose of desensitization of a painful condition. These practices are prohibited after a horse is entered to race. The use of ice is not prohibited.

X-Rays or Other Diagnostic Imaging on Request

Business & Professions Code 19583.9. (d) allows the examining veterinarian to require diagnostic imaging. The use of diagnostic imaging shall be an accepted component of prerace examinations by an examining veterinarian. The official veterinarian may order the diagnostic imaging if they believe that the use is warranted which will be at the onwer's expense.

CHRB Rule 1848. Bandages.

Only bandages approved by the official veterinarian shall be used on a horse during a race and all other leg coverings shall be removed before the horse leaves the saddling paddock to enter the race course.

CHRB Rule 2271. Prohibited Practices

The following are prohibited practices:

- (a) Use of physical or veterinary procedures to mask the effects or signs of injury so as to allow training or racing to the detriment of the Horse's health and welfare.
- (b) Use of extracorporeal shock wave therapy in a manner that may desensitize any limb structures during racing or training.

- (c) Surgical or chemical neurectomy to cause desensitization of musculoskeletal structures associated with the limbs.
- (d) Thermocautery including but not limited to pin firing and freeze firing, or application of any substance to cause vesiculation or blistering of the skin, or a counter-irritant effect.
- (e) Use of a device to deliver an electrical shock to the Horse including but not limited to cattle prods and batteries.
- (f) Use of electrical medical therapeutic devices including magnetic wave therapy, laser, electro-magnetic blankets, boots, electro-shock, or any other electrical devices that may produce an analgesic effect within 48 hours of a training activity or of the start of the published post time for which a Horse is scheduled to race. Note on HISA Rule 2271(f) Medical therapeutic devices that require an external power source are prohibited in the 48- hour period prior to a scheduled post time. This includes, but is not limited to, electromagnetic blankets, whirlpool boots, lasers, pulsed electromagnetic field therapies, and nebulizers.

CHRB Rule 1852. Reporting to Receiving Barn.

A horse shall not be qualified to start in a race unless his presence at the receiving barn at the time designated by the stewards is reported to the official veterinarian, and no trainer shall fail to cause a horse in his care to report to the receiving barn at the designated time.

CHRB Rule 1854. Exclusion From Receiving and Detention Barn.

The official veterinarian shall exclude from the receiving and detention barn all horses not participating in a race or being schooled to race and all persons who are not required for attendance on such horses. No person shall enter the stall in the receiving barn of a horse scheduled to race except with permission of the custodian of the barn or the official veterinarian. No person shall inspect any horse in the receiving barn which is not owned, trained or cared for by him, nor refuse to leave when ordered to do so by the custodian or the official veterinarian.

Breeders' Cup Pre-Race Inspection

Breeders' Cup Limited, the CHRB, and 1/ST Racing have assembled a team of impartial, experienced regulatory veterinarians who will be conducting a series of physical inspections, as well as observing each horse under tack during the week preceding the Breeders' Cup World Championships.

Horses will be inspected on multiple occasions in the days preceding their Championships races. Additional diagnostic imaging may be required as the result of BC vet team exams before a horse will be allowed to start.

All BCWC runners and undercard runners are required to pass a mandatory jog-up exam in the designated jogging lane prior to being allowed on any surface to train. For more information see page A2 and map on page 161.

The cooperation of the horsemen in scheduling and having horses available and prepared (no ban-

dages) will aid these team members in their task. If you would like to schedule the visit in advance, please contact Dr. Will Farmer (260) 438-4151 or Dr. Deborah Lamparter (856) 625-5600.

Race Day Inspections

The Horse Identifier and the Horseshoe Inspector shall examine each horse immediately prior to its race at the Race Day Assembly Barn (Round Barn). No horse shall be eligible to start in a race and shall be declared (scratched) by the Stewards if it is found not to be in serviceable, sound racing condition, not properly identified or improperly shod.

Racing Soundness Examination

On race day each horse shall be examined by a CHRB Veterinarian for racing soundness at some time during the morning. This examination will include observing the horse move at a trot. An attendant must remain with the horse until the examination has been completed. The Stewards may exclude the horse from racing if the CHRB Veterinarian finds the horse is not in serviceable, sound racing condition. Additional information regarding this exam will be provided to each trainer.

Post-Race Drug Testing

Every horse within the enclosure or entered in any Breeders' Cup World Championships race is subject to testing at any time, and no owner, trainer or other person having the care of a horse shall refuse to submit such horse for testing. All horses entered and all finishers in each Breeders' Cup World Championships race will be subject to Post Race Sample Collection.

Analysis of all samples will be performed by one of six (6) RMTC accredited laboratories. Routine testing at these laboratories meets or exceeds the protocols established by HISA or HIWU, and is required by Breeders' Cup World Championships to ensure that the highest possible level of testing is performed on all samples and to preserve and protect the integrity and reputation of the Championships.

A general description of the process can be found on HIWU's website (www.hiwu.org).

Refusal, non-compliant behavior, or evasive actions may be considered an Anti-Doping Rule Violation and result in the corresponding penalties for the Responsible Person, including a fine and/or period of Ineligibility.

For more information regarding medication, testing, veterinary, and safety rules and regulations, there are multiple resources that will be happy to assist you.

Please contact any of the following:

CHRB Equine Medical Director Dr. Jeff Blea (626) 862-6149 CHRB Chief Official Veterinarian Dr. Tim Grande (858) 792-4330 HIWU Chief of Science, Dr. Mary Scollay (859) 489-7677 HIWU Director of Equine Medication Resources, Dr. Patricia Marquis (305) 206-7034 HISA Director of Equine Safety & Welfare, Dr. Jennifer Durenberger (516) 680-3199 CHRB Safety Stewards David Nuesch (626) 975-0925 and Paul Atkinson (626) 253-2545 are also familiar with CHRB policies and related stable procedure regulations.

BCWC Condition of Integrity stipulates that if any connection of a pre-entered horse has been notified by a regulatory body, race track or racing association (i) about a pending or potential medication violation involving the connection, or (ii) that such connection is currently banned, ejected or denied from participating in racing in its jurisdiction or facility, such connection must immediately report the same to Breeders' Cup.

BCL may, in its sole and absolute discretion, declare ineligible any horse in any BCWC race if any connection (e.g., owner, trainer, jockey) of such horse has engaged in any conduct that is unlawful, unethical or may otherwise compromise the integrity or reputation of the BCWC.

Breeders' Cup Veterinary Team Leaders

Name	Cell
Deborah Lamparter, VMD	(856) 625-5600
Will Farmer, DVM	(260) 438-4151

Practicing Veterinarians at Santa Anita Park

Name	Cell	Office
Anderson, Elizabeth	(626) 808-2508	
Araujo, John	(626) 893-7612	(626) 355-2924
Baker, Vince	(714) 269-1301	(714) 952-1134
Bergstrom, Tom	(951) 522-0722	
Birch, Sarah	(614) 783-1237	(909) 980-0170
Blue, Melinda	(626) 233-9922	(866) 299-2294
Bradley, Sam	(310) 339-7666	(714) 952-1134
Buerchler, Sabrina	(626) 536-8080	
Byrd, Wade	(310) 245-7000	(714) 952-1134
Canfield, Cathy	(626) 372-4571	
Carpenter, Ryan	(805) 320-4811	(714) 952-1134
Dowd, Joe	(818) 400-7498	(909) 980-0170
Finely, Jennifer	(626) 422-6412	(626) 836-1688
Hardgrove, Nicola	(310) 617-6727	
McAfoos, Jessica	(618) 927-0908	
Valko, Karen	(951) 317-7935	(626) 966-0117
VonBluecher, Helmuth	(626) 862-6147	(626) 836-1688

Attending Veterinarian HISA Guidebook

A guide has been assembled for attending veterinarians on the most current HISA safety and medication rules, you may download a copy of the guide <u>HERE</u>.





BREEDERS' CUP VETERINARIAN EXAMINATIONS

At the Breeders' Cup, veterinarians do everything possible to ensure the **SAFETY OF OUR ATHLETES.**

SECURITY & VETERINARIAN CHECK IN TIME



All Breeders' Cup Friday and Saturday Championship runners must report to Santa Anita for security check in on **TUESDAY, OCT 31.**

At this time, blood, hair or urine samples will be taken for additional testing. Runners are carefully monitored by the equine security team 24 hours a day.

EXPERIENCED

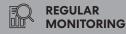
The Breeders' Cup employs experienced, regulatory and highly respected veterinarians to assemble at Santa Anita to begin daily inspections of all runners by assigned veterinary teams. The teams pair Breeders' Cup veterinarians, the Santa Anita safety veterinarians and California Horse Racing Board (CHRB) regulatory veterinarians.

FLEXIBILITY & JOGGING

Veterinary teams go to every trainer's barn to examine each horse, which includes a combination of feeling its legs, testing for flexibility, and jogging to demonstrate the horse's natural movement. All horses will pass a jog up exam before being allowed on the track to exercise.

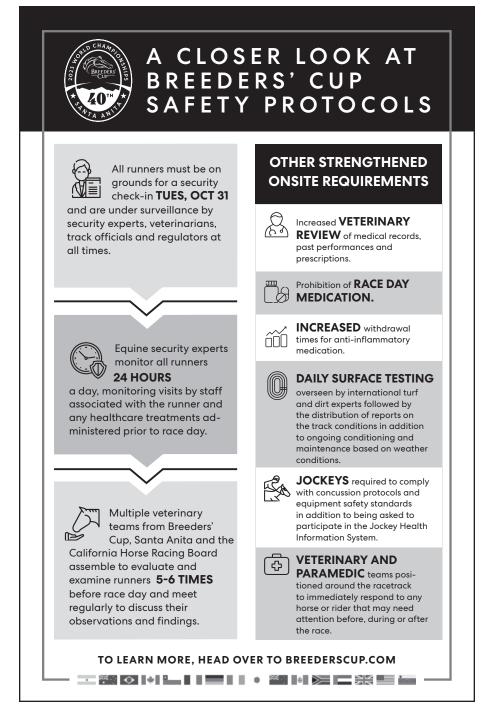
OBSERVE ON THE TRACK

Veterinary teams are stationed around the racetrack to observe Breeders' Cup horses during training in the mornings. All runners must undergo a mandatory trot up exam prior to training. This ensures that the horses show no outward signs of injury and shows how well the horse moves over the racing surface.



The Breeders' Cup horses are closely observed, with multiple examinations done from the time they arrive at Santa Anita up until race day. Each day, the veterinary team meets to discuss each participating horse.







A CLOSER LOOK AT BREEDERS' CUP SAFETY PROTOCOLS

OUT-OF-**COMPETITION TESTING**

JUNE

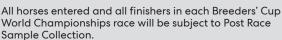
Around the world, Breeders' Cup veterinarians examine and take blood and hair samples to ensure Challenge winners and potential starters have not used Performance Enhancing Substances or banned medications under the authority of the Horseracing Integrity & Welfare Unit (HIWU).



Most of the pre-tests take place when contenders are stabled at tracks with their trainers, but in Europe, samples are taken by traveling to individual stables due to different stabling/shipping protocols.



NOVEMBER



Testing continues until race day.



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Expanded Coverage of 2023 World Championships on Network Television

NBC will present three and a half hours of network coverage of the 2023 Breeders' Cup World Championships. NBC Universal will provide 9.5 hours of coverage from Santa Anita Park throughout the week.

Breeders' Cup World Championships Television Schedule (PDT):

Friday, November 3 1:00 pm - 5:00 pm **U**a Saturday, November 4 10:30 gm - 12:30 pm Usa Saturday, November 4 12:30 pm - 4:00 pm 📲

Digital Media

www.breederscup.com will once again showcase the Breeders' Cup Simulcast Players' Show via a live video stream for all fans around the world. The robust website will continue to provide all pertinent information relative to the Breeders' Cup World Championships including entries, results, replays, charts, photographs and videos from all 39 previous Championships. Additional live streams will be distributed to bring horsemen and women, fans and bettors closer to the action than ever before. All digital content is also available on mobile devices through the Official Breeders' Cup mobile app which can be downloaded at www.breederscup.com/app.

The Breeders' Cup Facebook page is frequently updated with the latest Breeders' Cup news, videos, photos, and more. For real-time coverage of the food, fashion, celebrities, and top class racing that make Breeders' Cup a one-of-a-kind event, become a fan today at www.Facebook.com/breederscup.



To get the latest news on the Breeders' Cup World Championships on and off the track, follow the Breeders' Cup on Twitter. Access news directly from the source and interact with major players in racing, entertainment, fashion, and lifestyle at www.Twitter.com/breederscup. Follow the conversation by using and searching #breederscup #BC23.



Watch as key moments for horses, jockeys, fans and more come to life on your Instagram feed at www.Instagram.com/breederscup. Capture and share your own Breeders' Cup moments using #breederscup and #BC23.



The Breeders' Cup TikTok combines entertaining videos featuring the latest trends with educational information on horse racing and the Breeders' Cup as a whole. Join the fun and follow along at www.TikTok.com/breederscup.

Breeders' Cup Players' Show presented by 👽 FANDUELTV

Watch and wager worldwide on all races simulcast live from Santa Anita Park on Breeders' Cup Friday and Saturday. The Players' Show presented by FanDuel TV will provide in depth handicapping analysis, wagering information, odds and updates.

Friday, November 3 11:00 am - 6:00 pm (PDT) Saturday, November 4 10:00 am - 6:00 pm (PDT)

The Championships will also be broadcast live in approximately 150+ territories around the world, including through Equidia in France and ITV, Sky Sports Racing, and RacingTV in the UK and Ireland. Final worldwide tune-in details will be found at <u>www.breederscup.com/watch</u>.

Breakfast at the Breeders' Cup presented by 📢 FANDUELTV

From Friday, October 27 through Thursday, November 2, Breakfast at the Breeders' Cup presented by FanDuel TV will bring live coverage of morning workouts from Keeneland, Santa Anita, Belmont at Aqueduct and Churchill Downs. The daily three-hour show from 7:00 am - 10:00 am (PDT) will be shown live on FanDuel TV as well as on <u>www.breederscup.com</u> and select Breeders' Cup social channels.

Photo/Video

For Breeders' Cup Photo Team photography, please visit <u>www.breederscup.com/bcracing</u>. To view or purchase OFFICIAL Winner's Circle photos you may also contact track photographer Benoit Photo at (626) 574-6463 or <u>www.BenoitPhoto.com/Store</u> (click Breeders' Cup 2023), or E-mail <u>benoitphoto@earchlink.net</u>.

Race Replays

Owners and trainers of Championship participants will be E-mailed a video link for their race after the finish of each Championship race. To be added to this E-mail distribution, please contact racing@breederscup.com or call (859) 514-9422 prior to Friday, November 3.

Charities

Breeders' Cup Charities will support a variety of important causes during the 2023 Breeders' Cup World Championships including the Thoroughbred Aftercare Alliance, Permanently Disabled Jockeys Fund, Race Track Chaplaincy of America, among others. Breeders' Cup Charities was founded in 2008 as the official charity outreach program for the Breeders' Cup World Championships. The program is designed to support a variety of important causes and has raised over \$2.16 million to date. If you would like to participate in Breeders' Cup Charities, please visit www.breederscup.com/about/charities or see page 153 for a donation form and see page 152 for more information on the Thoroughbred Aftercare Alliance.

Breeders' Cup Championship Breeder Trophy presented by THREE CHIMNEYS



Each of the winning Breeders' Cup World Championships Breeders will be presented with a full-size bronze Ecorche trophy in recognition of their achievement, this full size bronze is presented by Three Chimneys Farm. Three Chimneys is proud to sponsor the Breeders' edition of the 2023 Breeders' Cup World Championships trophy. The trophy is a tribute to the pinnacle of success in our sport and is presented to the Breeder of a Breeders' Cup Champion, Cheers to the Breeder!

The Breeders' Cup World Championships Trophy



The Breeders' Cup World Championships Trophy is an authentic bronze reproduction of the original Torrie horse that was created in Florence by Giovanni da Bologna in the late 1580s. The horse is an ecorche, showing the muscles of the animal in detail. Its original purpose is unknown, though it may have been made as a study for the equestrian statue of Duke Cosimo I of Florence, cast in 1591. It reveals the influence and example of Leonardo da Vinci.

The most recent monumental equestrian sculpture in Florence, before that of Duke Cosimo I, had been da Vinci's never-completed monument to Giangiacomo Trivulzio. The Torrie horse bears a close relationship to several drawings by da Vinci related to this project in the collection of Her Majesty the Queen

at Windsor. Other artists, too, knew and learned from da Vinci's study of the horse, but none came so close as Giovanni da Bologna to his vision of the beauty of the animal celebrated by art and

science together. The original ecorche bronze horse of Giovanni da Bologna is in the Museum of Fine Arts at the University of Edinburgh in Scotland. The Edinburgh horse was acquired by Sir James Erskine of Torrie from the Villa Mattei in Rome, probably in 1803. He bequeathed it to the University of Edinburgh with the rest of his collection of old master paintings and bronzes, and it came into the possession of the University in 1836.

The Breeders' Cup Trophy was cast from the original and was directly supervised and approved by the University of Edinburgh for the use of Breeders' Cup Limited. The largest version of the trophy is permanently owned by the Breeders' Cup and will be displayed at Santa Anita Park this year. Replicas are presented to the owners and breeders of the winners of each of the Breeders' Cup World Championships races every year. Trophies also are presented to the winning trainer and jockey.



The Breeders' Cup Flower Garland



Each Breeders' Cup Champion is draped in a one-of-a-kind floral blanket.

This official flower garland is comprised of the rare combination of Beauty Asters, Golden Asters, Cremons and Catteleya Orchids, which have been grown exclusively for the Breeders' Cup since 1988.

Participant Blanket & Champions Blankets

All participating runners will receive a personalized blanket and each Championship race winner will receive a commemorative Champions blanket.

Championship Groom

Each winning groom will receive a commemorative custom duffle bag.

Parking and Transportation

NETJETS Effortless Access to Everywhere

NetJets, the worldwide leader in private aviation and a Berkshire Hathaway company, provides safe, seamless private travel for the world's most discerning travelers. As a racing patron, you need a travel partner you can count on to get you to the meets you look forward to year after year. With NetJets, you will simply select your departure time and fly with peace of mind to the 40th Annual Breeders' Cup World Championships in Santa Anita – and anywhere else you can dream of. To explore ownership options, visit <u>www.netjets.com</u> or contact Melissa Dombro at (614) 266-5862 or <u>mdombro@netjets.com</u> today.

Parking at Santa Anita Park

Onsite parking credentials will have a dedicated entry gate and specific routing. Routes will be directly supported by onsite parking staff, traffic control and local law enforcement to facilitate and lessen the anticipated heavy traffic on surrounding roads. Routing will be communicated in advance and onsite via onsite signage, parking credentials, ticket inserts and "Know Before You Go" emails. All traffic will be supported in real-time thru Google Traffic and Waze Maps.

ADA Accessible Parking

ADA accessible parking is available onsite at Santa Anita Park, with an official pre-paid parking credential combined with either an official ADA hangtag displayed from your rear-view mirror, or an official State issued ADA license plate. Both must be displayed for onsite parking attendants to direct you to the ADA designated area of the respected lot. Mobility assistant carts will be available onsite at Santa Anita Park for assistance from the parking lots to the admission gates. ADA parking spaces will be first-come.

Private Charter/For Hire Vehicles

Transportation Management Services (TMS) is the official transportation provider for the 2023 Breeders' Cup World Championships. TMS is available to provide private charter bus, sedan, limo and SUV services to guests throughout the week at preferred rates. Please note that any services booked directly through TMS do not include parking passes and must be confirmed separately. For any inquiries, please contact TMS directly at (800) 869-3696 or at www.BreedersCupTransportation@tms.com and reference BreedersCup23.

Breakfast at the Breeders' Cup

Breeders' Cup's official transportation provider, TMS, will provide roundtrip ground transportation to/ from the host hotels to the Breakfast at the Breeders' Cup, located at Santa Anita. VIP shuttles will be provided daily from the Langham to Santa Anita on Monday, October 30 through Thursday, November 2 and will be provided from the Westin to Santa Anita <u>only</u> on Thursday, November 2. These VIP hotel shuttles will operate on-demand style and will drop guests close to the main entry. Hotel shuttles will be available during the breakfast hours of Mon 7:00am-11:00am and Tues-Thurs 5:30am-11:00am. During breakfast hours, golf carts will be on a continuous loop connecting the breakfast area to and from the Breeders' Cup Racing/Ticketing Office Trailer, located in Santa Anita's Owner/Trainer Valet Lot.

Frontside self-parking will be available to horsemen in Santa Anita's Owner/Trainer Lot, with easy access to the West Battery Admission Gate. Mobility assistance golf carts will also be available to support all routes and patrons as needed.

Complimentary VIP Services

Breeders' Cup will work with Transportation Management Services (TMS) to provide roundtrip ground transportation to/from the host hotels to all official Breeders' Cup events, as determined by Breeders' Cup Ltd. VIP shuttle transportation will be provided from the Langham, Westin, Courtyard Marriott and Hilton Pasadena to/from Santa Anita Park on Friday, November 3 and Saturday, November 4. Service will include shuttles to the Post-Position Draw & Reception on Monday, October 30 and to the A Call To Post Reception on Thursday, November 2.

Rideshare & Taxi

A dedicated onsite RideShare & Taxi zone will be setup at Santa Anita to provide ticket patrons with easy and safe access. All ticket patrons will be directed to this dedicated zone for all drop-offs and pick-ups. For RideShare, all other areas of the track and surrounding areas will be blacked-out and supported with specific geofence technology to direct drivers and riders onsite. All ticket patrons will be directed onsite to the dedicated zone. A marked pedestrian walkway and mobility assistance golf carts will be available.

Rental Car

Breeders' Cup has partnered with Enterprise and National to extend special rental rates to participating VIPs and horsemen. You can feel confident Enterprise and National are taking every step to enhance safety for customers; the <u>Complete Clean Pledge</u> is their promise to go above and beyond already rigorous cleaning protocols to implement enhanced sanitizing procedures of vehicles, shuttles and branch locations. Please note that any rental cars booked do not include parking passes and must be confirmed separately. Make your reservation early at <u>www.elink.enterprise.com/en/20/08/breeders-cup-limited.html</u>.

Private Aviation

For passengers flying privately, LA has multiple dedicated private jet terminals, including:

- Los Angeles Airport, Signature Flight Support, www.singatureflight.com, (310) 410-9605
- Hollywood Burbank Airport, Atlantic Aviation, <u>www.atlanticaviation.com</u>, (818) 841-2966
- Ontario Airport, Guardian Jet Center, <u>www.guardianjetcenter.com</u>, (909) 605-6366
- Van Nuys Airport, Castle & Cooke Aviation, <u>www.castlecookeaviation.com</u>, (818) 988-8385
- John Wayne Orange County Airport, Clay Lacy, www.claylacy.com, (714) 999-8000
- Long Beach Airport, Signature Flight Support, www.singatureflight.com, (562) 997-0700

Helicopter/Jet Services

San Gabriel Valley Airport, located at 4233 Santa Anita Ave, El Monte, CA 91731, offers easy access to the I-10 and 210 Freeways. This airport safely and efficiently accommodates a wide range of fixedwing and rotary-wing general aviation aircraft ranging from small two-seat, single-engine propeller aircraft up to much larger and higher capacity turbo-prop and jet aircraft. Among other features, the airport has an Air Traffic Control Tower operating daily and a single runway. For questions regarding the airport, please contact the San Gabriel Valley Airport at (626) 448-6129.

Metro Gold Line

Take advantage of the Metro Gold Line light rail to get to Arcadia. Metro Bus Line 79 and Arcadia Transit Green Line buses are available between the Arcadia Gold Line Station and Santa Anita Park. For more information on Metro Gold Line and Bus Line 79, please visit Metro's official website

<u>https://www.metro.net/</u> or call (323) GO METRO (323-466-3876). For Arcadia Transit Green Line, please visit <u>https://www.arcadiaca.gov/home</u>.

Arcadia Transit

Arcadia Transit provides local transit services through three bus lines – Green, Red and Blue. The Green Line connects Santa Anita Park with the Arcadia Gold Line Station as well as other points of interest in Arcadia. The Green Line service will run on a regular schedule (7:30 am - 7:30 pm) on Friday, November 3 and Saturday, November 2. For detailed schedule and route maps of Arcadia Transit, please visit https://www.arcadiaca.gov/transit, or download Arcadia Transit phone App.

The City of Arcadia will also provide free bus shuttles to/from several local area hotels and Santa Anita Park. The service will run from 8:30 am - 6:00 pm on Friday, November 3 and from 7:30 am - 6:30 pm on Saturday, November 4. For more information, please call the City of Arcadia's Transportation Department directly at (626) 574-5435.

Participating Hotels:

- ARCADIA: Embassy Suites, Extended Stay America, Hampton Inn, Hilton Garden Inn, Resident Inn by Marriott, Springfield Suites by Marriott, Le Meridien Hotel
- MONROVIA: Oak Tree Inn, Courtyard by Marriott, Doubletree Hotel

Directions to Santa Anita Park from Area Airports

Los Angeles International Airport

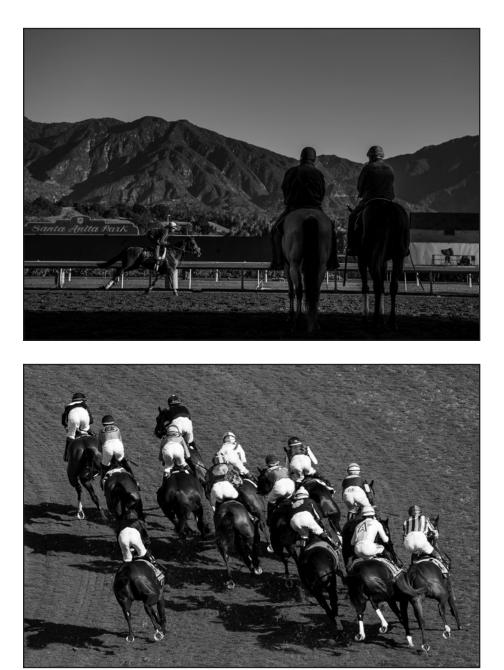
Santa Anita is 30 miles from the Los Angeles International Airport. To reach Santa Anita from LAX take the Century Freeway (105) east to the Harbor Freeway (110). Then take the Harbor Freeway north to Orange Grove in Pasadena. Turn left on Orange Grove and take it to the I-210 Freeway east. Exit at Baldwin and turn right.

Hollywood-Burbank Airport

Santa Anita is 21 miles from the Hollywood-Burbank Airport. To reach Santa Anita from the airport take the Golden State Freeway (I-5) south to the 134 Freeway east. The 134 will become the I-210 Freeway east. Exit at Baldwin and turn right.

<u>Ontario Airport</u>

Santa Anita is 37 miles from the Ontario Airport. To reach Santa Anita from the airport take the San Bernardino Freeway (I-10) west to the I-210 Freeway west. Exit at Baldwin. Turn right on Foothill and right again on Baldwin.





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RESTAURANTS

Pasadena's dynamic dining scene continues to evolve in exciting and delicious ways. With restaurants representing nearly every kind of cuisine, the options are endless.

American

Alexander's Steakhouse 111 N. Los Robles Ave. (626) 486-1111 www.Alexanderssteakhouse.com

The Arbour 527 S. Lake Ave. #120 (626) 396-4925 www.Thearbourpasadena.com

Arroyo Chop House 536 S. Arroyo Pkwy. (626) 577-7463 www.Arroyochophouse.com

Bacchus' Kitchen 1384 E. Washington Blvd. (626) 594-6377 www.Bacchuskitchen.com

Beer & Claw 61 S. Fair Oaks Ave. #130 (626) 460-8511 www.Beerandclaw.com

BJ's Restaurant / Brewhouse 400 E. Huntington Dr. (626) 462-1494 www.Bjsrestaurants.com

The Derby 233 E. Huntington Dr. (626) 447-2430 www.thederbyarcadia.com

Craft by Smoke and Fire 30 W. Green St. (626) 529-5584 www.Craftsbysmokeandfire.com

The Derby Arcadia 233 E. Huntington Dr. (626) 447-2430 www.Thederbyarcadia.com

Edwin Mills by Equator 22 Mills Pl. (626) 564-8656 www.Edwinmills.com Fishwives

88 N. Fair Oaks Ave. (626) 219-6199 www.Fishwives.com

Fleming's Steakhouse and Wine Bar 179 E. Colorado Blvd. (626) 639-0490 www.Flemingsteakhouse.com

Foothill Restaurant 2835 E. Foothill Blvd. (626) 449-2337 www.Foothill.co

Gale's Restaurant 452 S. Fair Oaks Ave. (626) 432-6705 www.Galesrestaurant.com

Granville 270 S. Lake Ave. (626) 360-7644 www.Granvillerestaurants.com

Green Street Restaurant 146 S. Shoppers Ln. (626) 557-7170 www.Greenstreetrestaurant.com

Kathleen's Restaurant 595 N. Lake Ave. (626) 578-0722 www.Kathleensrestaurant.com

La Grande Orange & The Luggage Room Pizzeria 260 S. Raymond Ave. (626) 356-4444 www.Lgostationcafe.com

Longitude 118 130 W Huntington Dr. (626) 412-8683 www.Marriott.com/en-us/hotels/ laxme-le-meridien-Pasadenaarcadia

Matt Denny's Ale House 145 E. Huntington Dr. (626) 462-0250 www.Mattdenny.com

2023 Horsemen's Information Guide

Magnolia House 492 S. Lake Ave.

(626) 584-1126 www.Themaghouse.com

Nick's Pasadena 336 S. Lake Ave. (626) 787-1444 www.Nicksrestaurants.com

Outback Steakhouse 166 E. Huntington Dr. (626) 447-6435 www.Outback.com

Parkway Grill 510 S. Arroyo Pkwy. (626) 795-1001 www.Theparkwaygrill.com

Pasadena Sandwich Company 259 Sierra Madre Villa Ave. (626) 578-1616 www.Pasadenasandwich company.com

The Raymond 1886 1250 S. Fair Oaks Ave. (626) 844-8900 www.Theraymond.com

The Royce Wood-Fired Steakhouse 1401 S. Oak Knoll Ave. (626) 585-6410 www.Roycela.com

Ruth's Chris Steak House 369 E. Colorado Blvd. (626) 583-8122 www.Ruthschris.com

Smitty's Grill 1101 S. Lake Ave. (626) 792-9999 www.Smittysgrill.com

Stoney Point Restaurant 1460 W. Colorado Blvd. (626) 449-9715 www.Stoneypointrestaurant pasadena.com

RESTAURANTS

Asian

The Barn 815 W. Naomi Ave. (626) 348-8688 www.Thebarntogo.com

Boiling Point Concept 18 W. Green St. (626) 219-6935 www.Bpgroupusa.com

Bone Kettle 67 N. Raymond Ave. (626) 795-5702 www.Bonekettle.com

Chef Tony 2 E. Colorado Blvd. (626) 803-0028 www.Cheftonydimsum.com

Chim! Thai Street Food 910 E. Union St. (626) 796-3636 www.Chimthai.com

Din Tai Fung – Westfield Santa Anita 400 S Baldwin Ave. #M5 (Level 2) (626) 446-8588 www.Dintaifungusa.com

Janejira Thai Bistro 754 E. Colorado Blvd. (626) 345-5445 www.Janejirathaibistro.com

Kaviar Sushi Bar 70 N. Raymond Ave. (626) 605-0330 www.Kaviarsushi.com

Lunasia Dim Sum House 239 E. Colorado Blvd. (626) 793-8822 www.Lunasiadimsum.com

Obe Sushi Izakaya 181 E. Glenarm S. #106 (626) 799-8543 www.**Obasushi.com**

Osawa Shabu Shabu Sushi 77 N. Raymond Ave. (626) 683-11530 www.Theosawa.com Ramen Tatsunoya 16 N. Fair Oaks Ave. (626) 432-1768 www.Tatsunoyausa.net

Sushi Enya 124 E. Colorado Blvd. (626) 365-3512 www.Sushienya.com

Sushi Kisen 1108 S. Baldwin Ave. #B6 (626) 623-6033 www.Sushikisen.com

Sushi Roku 33 Miller Ally (626) 683-3000 www.Sushiroku.com

Yuzu Shabu & Grill 45 S. Fair Oaks Ave. (626) 415-9898 www.Yuzushabu.com

European

Bistro 45 45 S. Mentor Ave. (626) 795-2478 www.Bistro45.com

Brooklyn Square 61 S. Fair Oaks Ave. #110 www.Bksq.com

Celestino Ristorante & Bar 141 S. Lake Ave. (626) 795-4006 www.Celestinopasadena.com

The Diplomat 109 E. Lemon Ave. (626) 239-0100 www.Diplomattavern.com

Entre Nous French Bistro 119 W. Green St. (626) 844-4500 www.Entrenousfrenchbistro.com

Mi Piace 25 E. Colorado Blvd. (626) 795-3131 www.Mipiace.com The Peach Café 141 E. Colorado Blvd. (626) 599-9092 www.Thepeachcafe.com

Perle Restaurant 43 E. Union St. (626) 460-8819 www.Perlerestaurant.com

Saso Bistro 37 S. El Molino Ave. (626) 808-4976 www.Sasobistro.com

Settebello Pizzeria Napoletana 625 E. Colorado Blvd. (626) 765-9600 www.Settebello.net

Sorriso Ristorante & Bar 46 E. Colorado Blvd. (626) 405-1000 www.Sorrisopasadena.com

Tarantino's Pizzeria Inc. 784 E. Green St. (626) 796-7836 www.Tarantinospasadena.com

Tardino Bros. Italian Kitchen 40 N. Mentor Ave. (626) 334-4433 www.Tardinobrositaliankitchen.com

U Street Pizza 33 E. Union St. (626) 605-0340 www.Ustreetpizza.com

Union Restaurant 37 E. Union St. (626) 795-5841 www.Unionpasadena.com

Latin

Anayas 630 E. Colorado Blvd. (626) 460-8893 www.Los-anaya.com

Cabrera's Mexican Restaurant 655 N. Lake (626) 795-0230 www.Cabreras.com

members.breederscup.com

RESTAURANTS

El Cholo Café 300 E. Colorado Blvd. #214 (626) 795-5800 www.Elcholopasadena.com

El Metate Café 12 N. Mentor Ave. (626) 229-0706 www.Elmetate-café.com

El Portal Yucatan / Mexican Cuisine 695 E. Green St. (626) 795-8554 www.Elportalrestaurant.com

Fogo de Chao 234 E. Colorado Blvd. (626) 556-2577 www.fogodechao.com Gaucho Grill 455 S Lake Ave. #102 (626) 657-8700 www.Gauchogrill.com

Homestate 1992 Lincoln Ave. (323) 982-8600 www.Myhomestate.com

iX Tapa Cantina 119 E. Colorado Blvd. (626) 304-1000 www.Cantinaixtapa.com

Maestro Restaurant 110 E. Union St. (626) 787-1512 www.Maestropasadena.com Malbec 1011 E. Green St. (626) 693-0550 www.Malbeccuisine.com

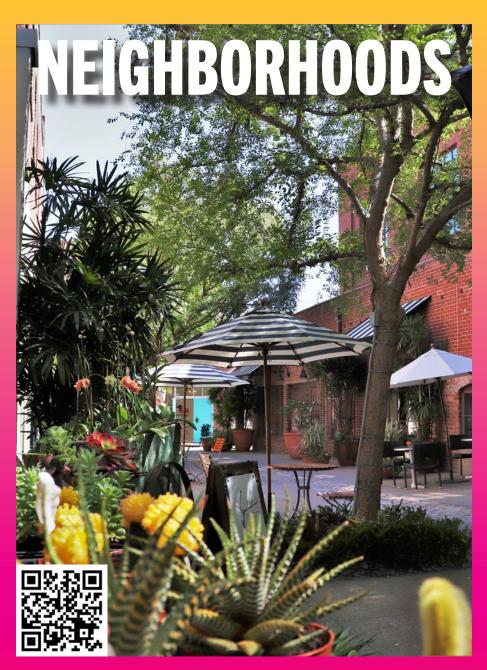
Paco's Mexican Restaurant 200 E. Foothill Blvd. (626) 357-7270 www.Pacosmexicanrestaurant.com

Urbano Mexican Kitchen 147 W. California Blvd. #3005 (626) 578-1160 www.Urbanomexicankitchen.com

Yuca's 1075 S. Fair Oaks Ave. (626) 788-7448 www.Yucala.com







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Old Pasadena

Pasadena's original downtown is 22 block trip down memory lane. Victoria- era architecture and brick alleyways provide a charming setting for specialty boutiques, world-class dining, and open-air shopping plazas. Discover delightful live music, and late-night fun in Old Pasadena.

Shop:

Maum Cozy Stylish Chic Maude Woods House of Intuition Kicksneaker Gameology Twigzz Lululemon Restoration Hardware One Colorado – home to Anthropologie, Apple, Crate&Barrel etc.

Play:

Central Park Incycle Bicycles Armory Center for the Arts The Bunker Experience IPIC Theaters Kidspace Children's Museum Neon Retro Arcade Norton Simon Museum Rose Bowl Stadium

Nightlife:

Blind Monkey Barney's Beanery Rocco's Tavern iX Tapa Cantina Lucky Baldwin's

Playhouse Village

The artsy Playhouse Village district is the heart of Pasadena's creativity and expression, home to the renowned Pasadena Playhouse, inspiring museums, colorful galleries, and a robust public art collection. With quaint, tree-lined street, the neighborhood welcomes you with the new Playhouse Village Park, family-owned eateries, and unique shopping adventures.

<u>Shop</u>:

Mercadito Monarca Banter & Bliss Candle Co. Wolhaus Artisan Yarn & Tools My Zero Waste 7 Store Vroman's Bookstore Canterbury Records The Battery Books & Music Aarnun Gallery-Fine Picture Framing World Hats Mart Mimi et Cie

<u>Play</u>:

Pasadena Playhouse Boston Court Pasadena USC Pacific Asia Museum Laemmle Theatres' Playout Playhouse Village Park Southern California Children's Museum Landmark Theatres

Nightlife:

The Mixx The 1984 Monopole Wine T. Boyle's Tavern Ice House Comedy Show

LOCAL BUSINESSES

South Lake Avenue

South Lake Avenue is Pasadena's premiere shopping district a 12-block stretch of specialty boutiques, name brand stores, and delightful mom-and-pops. After your shopping spree, discover cut-edge health and wellness purveyors, nail salons, and barbershops, fitness studios, and massage boutiques – all coming together to satisfy your self-care needs.

<u>Shop</u>:

The Shops on South Lake Avenue – anchored by Macy's & Trader's Joes Kimono No Kobeya's Moda Optique Ten Thousand Villages The Bee Cottage Salutations Home Pashgian Brothers

Play:

The Commons Soul Cycle Facile DryBar Alexandria II Floyd's 99 Barber Shop Float Coffee Shop Pasadena Beauty Spa Barry's

Nightlife:

Smitty's Grill Granville Magnolia's House

Civic Center District

South Lake Avenue is Pasadena's premiere shopping The Civic Center District is a vibrant zone of beautiful Beaux-Arts and Mediterranean Revivals landmark buildings that have set the stage for many Hollywood films and television shows.

<u>Shop</u>:

The Paseo – an open air, contemporary shopping and dining experience Pasadena Antique Mall

Play:

Pasadena Civic Auditorium Pasadena Skating Center City Hall Robinson Memorial

Nightlife:

The Rose The Yard House Cornier Craft Kitchen + Bar Charlie's Bar

Orange Grove Boulevard

Explore the architectural treasures on Orange Grove Blvd's mansion-lined streets.

Attractions:

Gamble House Feynes Mansion Mary E. Denham House Bissell House

ATTRACTIONS



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ATTRACTIONS

Los Angeles Area

Museums
California Science Center 700 Exposition Drive, Los Angeles.
The Getty Museum 1200 Getty Center Drive, Los Angeles (323) 857-6300 www.Getty.edu (323) 857-6300
Hollywood Wax Museum 6767 Hollywood Blvd., Los Angeles(323) 462-5991 www.Hollywoodwaxmuseum.com
Huntington Library & Gardens 1151 Oxford Road, San Marino
Los Angeles County Museum of Art 5905 Wilshire Blvd., Los Angeles
The Museum of Contemporary Art (MOCA) 250 S. Grand Avenue, Los Angeles www.Moca.org
Activities for All Ages
Los Angeles Zoo 5333 Zoo Drive, Los Angeles
Disneyland Park and Disney's California Adventure 1313 S. Harbor Blvd., Anaheim
Six Flag Magic Mountain 26101 Magic Mountain Parkway, Valencia
Universal Studios Hollywood 100 Universal City Plaza, Hollywood
Warner Bros. Studio Tour 3400 Warner Blvd., Burbank
Echo Park Recreation Center 1632 Bellevue Avenue, Los Angeles

ATTRACTIONS

Golf Courses

Bel Air Country Club (Members Only) 10768 Bellagio Road, Los Angeles www.bel-aircc.golf	.(210) 472-9563
El Dorado Park Golf Course 2400 N Studebaker Road, Long Beach	.(562) 430-5411
Rancho Park Golf Club 10460 W Pico Blvd., Los Angeles www.bel-aircc.golf	.(310) 839-4374
Scholl Canyon Golf Course 3800 E Glenoaks Blvd., Glendale www.Schollcanyongc.com	.(818) 243-4100



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HOTELS

Hotels

For information regarding Official Championship Participant Hotels, for the connections of horses competing, see page 47.

Please visit <u>www.breederscup.com/experience/plan-your-visit/where-stay</u> and click "View Hotels" for a complete list of available accommodations in the Arcadia/ Pasadena area.

Pre-Entry Exam Form

Race Day (check one):

Friday, Nov. 3 <u>or</u> Saturday, Nov. 4

Due before 10:00 am (PDT) entry day, Monday, October 30, 2023

Date Entered:

10:00 am (PDT), Monday, October 30, 2023

Trainer:

Location:

E-mail to: ovsocaltb@yahoo.com or via EquiTAPS.

HORSE(S):

"I have examined the above horse(s) and found it to be sound at the trot and I am unaware of any issues that would preclude it from entering to race."

Attending Veterinarian: Dat	te:
Attending Veterinarian Signature:	



Timed Workout Request Form



Trainer:

Barn #

Request must be received in the Santa Anita Park Veterinary Office, **<u>BY NOON</u> <u>TWO DAYS BEFORE</u>** the intended work. E-mail form to **Dr. Laurie Bohannon**, **E-mail:** <u>Workouts@SantaAnita.com</u>.

HORSE NAME(S)	WORKOUT DATE:

*Applies to all racing surfaces in California.

Jockey Advertising Request Form

Jockey request to wear advertising and promotional material

November 3-4, 2023 Santa Anita Park To Be Submitted No Later Than 10:00am (PDT) Monday, October 30 (Entry Deadline)

Email signed form to: racing@breederscup.com

Race Number

Name of Breeders' Cup World Championships Race

Name of jockey wearing the material

Description of the material to be worn: (Use separate sheet if necessary)

Name of the advertiser, promotional brand or sponsor

1. _____ Signature of the Jockey or Agent

Approvals

1. _____

Approving Steward

Date

Date



Trainer Horse Daily Treatment Log

Trainer Name

attending veterinarian are not required to be documented by trainers. Medical or surgical procedures include, but are not limited to, shock wave, acupuncture, Trainers, as the Responsible Persons, are required to maintain a record of daily treatments for each Covered Racehorse in their care (Rule 2252 excerpts listed on next page), and for transfer of the last 60 days of all trainer and veterinary examination and treatment records to the new Trainer of a claimed Horse (Rule 2261 listed on next page). Trainers may use the following HISA template which includes HISA required information. Note that medical treatments given by an chiropractic, other physiotherapies, and surgeries. E-mail form to: <u>ovsocaltb@yahoo.com</u> or viaEquiTAPS.

Daily Horse Treatment Log

	Reason for	Treatment			<insert reason=""></insert>								
	Medical or Surgical	Procedure											
		Times/day		Onco Ju	011LE, 2X,	3X, 4X, IIIUIE							
		Route		Mouth,	Muscle,	Skin, Other							
Medication		Amount	<insert< td=""><td>amount></td><td>and unit</td><td>cc/ml, tabs,</td><td>mgs, other</td><td></td><td></td><td></td><td></td><td></td><td></td></insert<>	amount>	and unit	cc/ml, tabs,	mgs, other						
	Drug	Name											
					None								
		Veterinarian		<insert< td=""><td>name>, or</td><td>None</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></insert<>	name>, or	None							
Person	50	Task		tionary									
		Date	[Day	of	Week	ø	Date]						
		Horse											

(continued on back)



2252. RESPONSIBLE PERSONS' RECORDS.

- (a) In addition to the information required to be submitted by Responsible Persons under Rule Series 3000, a Responsible Person is responsible for maintaining a record of medical, therapeutic, and surgical treatments and procedures for every Covered Horse in his or her control
 - (b) For purposes of this Rule, the term treatment:
- includes the administration of medications that are prescribed by a Veterinarian but administered by the Responsible Person or his or her designee, (1) means the administration of any medication or substance containing a medication to a Horse by a Responsible Person or his or her designee; (2)
 - specifically excludes medications or procedures directly administered by a Veterinarian licensed by the State Racing Commission or that or medications prescribed or administered by a Veterinarian not licensed by the State Racing Commission; and Veterinarian's employees. 3)
- (c) Records must include the information outlined in paragraphs (1) and (2) below.
- (d) In addition to the uses of records set forth in the Rules Series 3000, records may be used by Regulatory Veterinarians in the performance of their duties at the Racetrack, for transfer of sixty (60) day medical records to the new Owner of a claimed Horse, and for purposes of research to enhance the safety and welfare of racehorses. Records may also be accessed by the State Racing Commission or the stewards.

2261. TRANSFER OF CLAIMED HORSE RECORDS.

- (a) Entry of Horses subject to being claimed in a Claiming Race implies Owner (Trainer as the agent of the Owner) consent for transfer of all Trainer and veterinary examination and treatment records for the last sixty (60) days to the new Trainer of the claimed Horse.
- (b) If a Horse is successfully claimed by a new Trainer, the previous Trainer must transfer Trainer records and authorize transfer of veterinary records to the new Trainer within three (3) days of transfer of the Horse to the new Trainer.

CHRB - 134 (1/02)

State of California, California Horse Racing Board Notification of Exclusion to Trainer 10% Program

To the Paymaster of Purses at:_____ Track The undersigned hereby notifies the paymaster to not deduct from my owner's account and deposit into the account of any trainer. 10% of the purse on any horse in which I have an interest. Horse Owner: ٥r Stable Name: Mailing Address: Street Number _____ City_____ State_____ Zip_____ Phone _____ FAX _____ List all Racing Partnerships in which you have an interest. Horseman's Account Numbers The undersigned understands that this notification is effective only at the racing facility indicated

above, and applies to all horses in which the person or entity indicated above has an interest, until revoked in writing. The undersigned also declares that he/she is authorized to act on behalf of all entities listed above.

Signature of authorized licensed horse owner

Date

Print Name

CHRB - 206 (6/18)

State of California, California Horse Racing Board Notification of Exclusion of CARMA CONTRIBUTION CHRB 206 (Rev. 06/18)

To the Paymaster of Purses at: _____

Name of Racetrack

Pursuant to Rule 1467, Paymaster of Purses, the undersigned hereby notifies the paymaster of purses that he or she elects **NOT** to deduct:

- 1. From my owner's account for deposit into the California Retirement Management Account (CARMA), 0.3 percent of the net purse earned by any thoroughbred horse in which I have an interest.
- 2. From my jockey or trainer account, for deposit into the California Retirement Management Account (CARMA), 0.3 percent of the purse money I have earned from any thoroughbred race.

NAME AND CHRB LICENSE NUMBER

*Note: If licensed as an owner and a trainer, print your name on the line for each license class.

Horse Owner or Stable Name and CHRB License Number*

Jockey name and CHRB License Number*

Trainer name and CHRB License Number*

CONTACT INFORMATION

Mailing Address:		
Street Number:		
City:	State:	Zip:
Contact Telephone Number:		
Fax No:	Email:	

(Continued on back)

CHRB - 206 (6/18) (continued)

List All Thoroughbred racing entities in which you have an interest, including Partnerships, Corporations and Limited Liability Companies:

Paymaster Account Numbers: _

The undersigned understands that **this notification is effective only at the racing facility indicated above**, and applies to all horses and/or purses in which the person or entity indicated above has an interest, until revoked in writing. The undersigned also declares that he/she is authorized to act on behalf of all entities listed above.

Signature of Authorized Licensed Horse Owner, Trainer, or Jockey

Date

Print Name

<u>Distribution</u>: Paymaster of Purses Licensed Horse Owner, Trainer or Jockey CARMA **D0 NOT** forward a copy to CHRB.

> Email form to: Dan Landers (<u>dan.landers@santaanita.com</u>) or Sandy Linares (<u>sandy.linares@santaanita.com</u>).

HISA Vaccine Exemption

SOP VE V2 P1

Vaccine Exemption



Instructions:

- 1. Email this completed from to: jennifer.durenberger@hisaus.org and ann.mcgovern@hisaus.org
- 2. In your email, please copy the individuals that should receive a copy of the approved form.

Horse Information							
Horse Name:	HISA ID (if known):						
Sire:							
Dam:							
Year of Birth: Country of Origin:							
Trainer Informatio	n						
Trainer Name:	HISA ID (if known):						
Location and Race Inform	nation						
Racetrack:							
Anticipated Arrival Date (at track) (mm/dd/yyyy):							
Anticipated Departure Date (mm/dd/yyyy):	Check here if staying in the U.S.						
Specific Race or Races Date(s) (mm/dd/yyyy):							
Race Name/Track/Number:	Date (mm/dd/yyyy):						
Shipping Informatio	on						
Shipping Company:							
USDA/APHIS Import Permit Number:							
HISA Approval:							
Name:	Title:						
Date by which horse MUST receive any missing vaccinations (mm/dd/yyyy):	Date (mm/dd/yyyy):						

Disclaimer Relating to Covid-19 and Other Incidents

Breeders' Cup Limited (BCL) has put in place preventative measures to maintain the safety of all BCWC Attendees, including, without limitation, COVID-19 or any other infectious disease related measures such as hand washing and sanitizing, and face mask and social distancing measures ("COVID-19 Measures"); however, BCL cannot guarantee that Attendee will not become infected with COVID-19 or any other infectious disease. Attendee understands that the risk of becoming exposed to or infected by COVID-19 or any other infectious disease at the BCWC may result from the actions, omissions, or negligence of BCL and others. In addition, Attendee is warned and recognizes that participating in stabling, racing, training and related activities is inherently dangerous, and further recognizes that hazards and risks inherent in such activities may cause the injury, illness, or death, including specifically, but not limited to, the danger of being injured by horses, falling objects, and other Attendees as well as the danger of being exposed to or infected by COVID-19 or any other infectious disease. By participating in such activities, Attendee assumes the risks associated therewith. In consideration of participating in stabling, racing, training and related activities associated with BCL, Attendee hereby releases, waives against and discharges BCL, to the fullest extent permitted under applicable law, from any claims, losses, obligations, costs and expenses arising from or due to personal injuries, illness, or property damages of any kind or description to the person, property or horses owned or controlled by Attendee occurring on the race track premises. Attendee agrees that all risk of loss, injury, illness, damage or destruction to persons or property, including but not limited to, the horses brought onto the race track premises by or at the direction of Attendee his agents or employees, arising from, due to, caused by, resulting from or in any way, directly or indirectly, related to any cause, including but not limited to accident, theft, fire or otherwise, whether or not caused by or contributed to by or related to any fault or negligence of BCL or the condition of the race track premises, are assumed in full by Attendee, except as to acts or conditions caused by the intentional, willful, or reckless conduct of BCL. Attendee agrees that the release and waiver and discharge provisions hereof are intended to be as broad and inclusive as permitted by the law. Attendee further agrees that the foregoing provisions hereof regarding such release and waiver and discharge shall not be deemed waived or affected in any way by the fact that BCL does or does not, may or may not, in the future carry insurance coverage against claims where loss is caused by or resulting from damage or injury to property, persons and/or horses while competing on the race track, using the training tracks, stables, roads or any other facilities over the race track premises or in transit thereon. The foregoing waiver and release is given in consideration of BCL permitting Attendee to participate in the BCWC.

In consideration of BCL permitting the Attendee to participate in or attend the BCWC, Attendee voluntarily assumes all risks of illness, injury, or death inherent in such activities, including those risks disclosed in the Horsemen's Information Guide incorporated fully herein by this reference, and specifically including, but not limited to, the danger of being injured by horses, falling objects, and other Attendees as well as the danger of being exposed to or infected by COVID-19 or any other infectious disease; furthermore, Attendee hereby releases, waives against and discharges BCL, to the fullest extent permitted under the law, from and against any and all claims, losses, obligations, costs and expenses arising from or due to personal injuries, illness, death, or property damages related thereto.

November 3-4, 2023 Breeders' Cup World Championships Rules and Regulations

- 1. Pre-entry and entry fees are accepted subject to approval by Breeders' Cup Limited (BCL), in BCL's sole and absolute discretion. The propriety of the amount of the enclosed fee will be determined by BCL and pre-entry and entry fees if determined to be other than that which accompanies this document shall be paid in full before a horse is permitted to start in a Breeders' Cup World Championships (BCWC) race. Pre-entry and entry fees will not be added to purses but will become the property of BCL. BCWC purses will be distributed in accordance with the information as set forth on pages 19-32 of the 2023 Breeders' Cup Horsemen's Information Guide, which information is incorporated herein, and in accordance with applicable law.
- 2. Horses which start in any BCWC race will earn a foal nominator award that will be paid to the person who nominated the horse. A stallion nominator award will be paid to the person who nominated the sire of the horse to Breeders' Cup. Stallion nominator awards will be paid to nominators under the International Breeders' Cup program in accordance with the rules of that program, which are expressly incorporated herein as they may be now or hereinafter in effect. Nomination in this sense means registering the horse or sire in the Breeders' Cup program and does not mean preentry or entry in BCWC races. Nominator awards shall not be transferable without authorization of BCL. Purses and nominator awards shall be distributed after certification that the purse is distributable. Purses shall be distributed by the host track and nominator awards shall be distributed by BCL.
- 3. Pre-entry and entry in BCWC races are received only upon the condition of compliance with the applicable rules and regulations governing Thoroughbred horse races adopted by the state or other regulatory authority where the races are run, the rules and regulations of the track, the rules and regulations of BCL and compliance with any decision of the state racing officials and/or officers of the track and/or BCL, regarding the interpretation and application of their respective rules and regulations. BCL shall have no liability for the actions of any officials or employees of any such track or for any matter under the control of such track, its officials or employees. Purses shall be payable to the rightful parties recognized as such by state racing officials.
- 4. A horse entered in a BCWC race may be scratched by authorized racing officials if (i) such horse's equipment malfunctions, is damaged or lost and cannot be remedied within sufficient time, in the judgment of authorized racing officials, to allow the race in which such horse is entered to start at post time for such race or (ii) if any other unavoidable event occurs with respect to a horse entered in a BCWC race, or to its jockey, which cannot be remedied within sufficient time, in the judgment of authorized racing officials, to allow the race in which such horse or jockey is scheduled to participate to start at post time for such race. In the event of a scratch under such circumstances, the preentry fee and entry fee due at entry which were paid in respect of such horse may, in the sole and absolute discretion of BCL, be refunded.
- 5. BCWC races are limited to 14 starters, and in some cases 12 starters. A horse may not double-enter BCWC races. Entries will be selected in accordance with the Breeders' Cup Field Selection System or by such other method as BCL may determine in its sole and absolute discretion. The Breeders' Cup Field Selection System is set forth on pages 33-34 of the 2023 Breeders' Cup Horsemen's Information Guide and is expressly incorporated herein as such rules may be now or hereinafter in effect. BCL reserves the right to transfer any turf race to the main course and to modify the distance of any race to accommodate the track selected for every race. BCL reserves the right to cancel any, or all, BCWC races without notice prior to the actual running thereof, without liability, except for the return of pre-entry and entry fees. Entries must satisfy the minimum entry qualifications of BCL as set forth on page 17-18 of the Breeders' Cup Horsemen's Information Guide and expressly incorporated herein as now or hereinafter in effect.
- 6. Breeders' Cup eligible or nominated horses are subject to out-of-competition testing. Sampling and/or testing may be performed by HIWU or by international regulatory authorities in cooperation with HIWU, or by any delegee of the foregoing. This testing will be in accordance with the Horseracing Integrity and Authority, Inc.'s (HISA) rules. The Breeders' Cup OOC testing will be performed by The Kenneth L. Maddy Equine Analytical Chemistry Laboratory at UC Davis or such other laboratory or laboratories as the Horseracing Integrity and Mulfare Unit ("HIWU") may direct. Routine testing at the Maddy Lab meets or exceeds the protocols established by HISA and/or HIWU, and is required by Breeders' Cup World Championships to ensure that the highest possible level of testing is performed on all samples and to preserve and protect the integrity and reputation of the Championships. HIWU or its designee conducts OOC testing HISA rules, expressly incorporated herein as the same may be now or hereinafter in effect, including HISA Rules 3132 (Authority to test), 3135 (Ownership of Samples), and 3137 (Purpose of Sample Analysis).
- 7. Breeders' Cup Condition of Integrity is in full effect: A: If any connection of a pre-entered horse has been notified by a regulatory body, racetrack, or racing association (i) about a pending or potential anti-doping or medication control violation involving the connection, or (ii) that such connection is currently banned, ejected or denied from participating in racing in its jurisdiction or facility, such connection must immediately report the same to Breeders' Cup. B: BCL may, in its sole and absolute discretion, declare ineligible any horse in any BCWC race if any connection (e.g., owner, trainer, jockey) of such horse has engaged in any conduct that is unlawful, unethical or may otherwise compromise the integrity or reputation of the BCWC.
- 8. All BCL rules and policies are in effect. Please refer to the Horsemen's Information Guide for these rules which are expressly incorporated herein, as such rules may be now or hereinafter in effect. The BCWC will be operating under HISA and HIWU's safety and medication rules and regulations.
- 9. In making any application for participation in Thoroughbred racing, it is understood that an investigation may be made by the appropriate authority as to the character, reputation, mode of living and financial standing of the Owner(s), which investigation may involve interviews with family, personal or business acquaintances.
- 10. Owner hereby expressly and irrevocably grants BCL the exclusive right to use, in connection with the promotion of the Breeders' Cup program, the name and likeness of the Owner, any horse pre-entered, entered or nominated and of any co-owners, jockeys, trainers, colors, logos, silks and any other associated or identifying characteristics as well as any other matter relating the participation of the horse in the Breeders' Cup program. Promotion by BCL may include, without limitation, telecasting, broadcasting, photographing and/or recording in any manner the races which are a part of the Breeders' Cup program and the activities incident to them, and broadcasting, exhibiting and/or exploiting the same by any means now or hereafter known, including, without limitation, licensing such rights to others for uses approved by BCL. Owner hereby expressly, irrevocably and perpetually waives on his behalf and on behalf of all Owner Parties any and all rights any of them may have in connection with any matter referred to in this paragraph,

Rules and Regulations (continued)

including, without limitation, any claim to invasion of the right to privacy, the right of publicity, for misappropriation, for infringement of trademark, trade dress and other intellectual property rights, or for any remuneration therefor. Upon demand, Owner shall cause any of the Owner Parties to deliver releases to BCL permitting it to use and exhibit such material. Owner Parties understand and agree that BCL reserves the right to use names, trademarks, service marks, copyrights, symbols, logos, slogans, results, still and motion pictures, audio reports, trailers, promos and other identifying characteristics relating to BCL and the Breeders' Cup program and that any such use by Owner Parties of any of them is prohibited without the express consent of BCL. BCL reserves all rights to advertise the Breeders' Cup program in any manner deemed appropriate by BCL. Neither Owners nor trainers shall display commercial advertising, before, during or after the BCWC in which the horse is entered without prior approval of BCL. Jockeys shall not display commercial advertising, before, during or after the BCWC race in which the horse is entered except in accordance with the jockey advertising rules in effect for the BCWC and approved by the Stewards. Please refer to the jockey advertising rules set forth on page 94-95 of the Horsemen's Information Guide.

- 11. All claims and objections arising out of the application or interpretation of any rules or conditions of BCL shall be decided solely by BCL in its sole and absolute discretion. Information regarding the Appeals Procedure for BCL shall be furnished to any person submitting a written request for such Information to Breeders' Cup Limited, Attn: Appeals Board, 215 W. Main St., Suite 250, Lexington, Kentucky 40507. Any person wishing to object to any action or decision by BCL in the application of its rules or conditions must, within thirty (30) days of being advised of such action or decision, submit to the Appeals Board a written objection in compliance with the Appeals Procedure and shall be entitled to an oral hearing upon making a written demand as set forth in the Appeals Procedure. The Appeals Procedure shall not be applicable to matters arising with respect to the field selection for the BCWC races or to the Condition of Integrity. All matters concerning field selection or the Condition of Integrity are decided by BCL in its sole and absolute discretion. Owner agrees that any dispute with BCL regarding any BCL rule or policy subject to arbitration herein shall, following the exhaustion of all administrative remedies available under the laws and regulations of the applicable state or other regulatory authority in which the BCL races are conducted, be settled by arbitration administered by the American Arbitration Association in accordance with its Commercial Arbitration Rules and the award rendered by the arbitrator(s) shall be recognized by Owner and BCL and judgment thereon may be rendered in any court having jurisdiction thereof. BCL reserves the right to waive or modify its rules and regulations on a case by case basis at any time. Any waiver, failure to enforce or modification by BCL of any of the terms and conditions of the Horsemen's Information Guide at any time shall not in any way affect, limit, or waive BCL's right thereafter to enforce and compel strict compliance with every term and condition of the Horsemen's Information Guide.
- 12. Owner agrees to indemnify and hold harmless BCL (or if for any reason indemnification is not available, to contribute to BCL's losses), to the fullest extent permitted under the law, from and against any and all claims, damages, liabilities, losses, costs or expenses, including reasonable attorneys' fees (should BCL select its own counsel which it may do in its sole and absolute discretion), to which BCL may become subject or liable as a result of or arising out of directly or indirectly: (i) any action or conduct of any horses owned by or under the control or direction of Owner while on the race track premises, and (ii) any acts, or failure to act by the undersigned Owner, any Co-owners, or any of their agents, employees or invitees while on the race track premises; or (iii) any become by Owner of the terms and conditions contained herein.
- 13. Owner agrees that BCL may inspect and copy for its own use all documents, electronic or otherwise, containing reports by official racing veterinarians of pre-race, post-race examinations (including without limitation necropsy reports) and/or any records of procedures required by regulatory agencies or under applicable industry safety accreditation organizations of Owner's horse(s) nominated, pre-entered or entered in BCWC races. Owner further authorizes HIWU to share with BCL any and all information about all pending or potential positive tests, out-of-competition tests, atypical tests or findings, or violations related to Trainer or any Subject Horse in furtherance of this Agreement and waives any objection or claim related thereto against HISA, HIWU, BCL, or any of their respective affiliates.
- 14. Owner recognizes that participating in stabling, racing, training and related activities is inherently dangerous, and further recognizes that hazards and risks inherent in such activities may cause the injury or death. By participating in such activities, Owner assumer the risks associated therewith. In consideration of participating in stabling, racing, training and related activities associated with BCL, Owner hereby releases, waives against and discharges BCL, to the fullest extent permitted under the law, from any claims, losses, obligations, costs and expenses arising from or due to personal injuries or property damages of any kind or description to the person, property or horses owned or controlled by Owner occurring on the race track premises. Owner agrees that all risk of loss, injury, damage or destruction to persons or property, including but not limited to, the horses brought onto the race track premises by or at the direction of Owner, his agents or employees, arising from, due to, caused by, resulting from or in any way, directly or indirectly, related to any cause, including but not limited to accident, theft, fire or otherwise, whether or not caused by or contributed to by or related to any fault or negligence of BCL or the condition of the race track premises, and including, without limitation, the danger of being exposed to or infected by COVID-19 or another infectious disease, are assumed in full by Owner, except as to acts or conditions caused by the intentional, willful, or reckless conduct of BCL. Owner agrees that the release and waiver and discharge provisions hereof are intended to be as broad and inclusive as permitted by the law. Owner further agrees that the foregoing provisions hereof regarding such release and waiver and discharge shall not be deemed waived or affected in any way by the fact that BCL does or does not, may or may not, in the future carry insurance coverage against claims where loss is caused by or resulting from damage or injury to property, persons and/or horses while competing on the race track, using the training tracks, stables, roads or any other facilities over the race track premises or in transit thereon.
- 15. Whenever the term "Owner" is used herein, it shall include the undersigned and all Owner Parties.
- 16. In consideration of BCL's agreement to pay the purses described hereinabove, Owner hereby consents to allow BCL and/or the host track to contract with any domestic or foreign licensed wagering entity for the purpose of accepting wagers on the BCWC races pursuant to the Interstate Horseracing Act of 1978, 15 U.S.C. sections 3001, et seq. and warrants that no third party or organization has or will be given the right or authority to assert any claim, demand or cause of action inconsistent with such consent.
- 17. The terms and provisions of the 2023 Breeders' Cup Horsemen's Information Guide are incorporated into these Rules and Regulations, except to the extent of any disagreement of inconsistency between them, in which event the express terms of these Rules and Regulations shall govern.



BREEDERS' CUP OFFICIAL AFTERCARE PARTNER

Good luck to all Breeders' Cup World Championships participants!

HELP SECURE A SAFE FUTURE FOR ALL OUR EQUINE ATHLETES



The Thoroughbred Aftercare Alliance accredits, inspects, and awards grants to approved aftercare organizations to retrain, retire, and rehome Thoroughbreds using industry-wide funding.





Yes, I want to contribute a percentage of my 2023 Breeders' Cup World Championships earnings to Breeders' Cup Charities, Inc., a 501 (c)(3) charitable organization, for the further benefit of the Thoroughbred Aftercare Alliance, Permanently Disabled Jockeys Fund, the Race Track Chaplaincy of America, and other worthy causes.

In the event I do not have a horse running in the Championships I pledge \$________to Breeders' Cup Charities, Inc. benefitting the Thoroughbred Aftercare Alliance, Permanently Disabled Jockeys Fund, the Race Track Chaplaincy of America, and other worthy causes.

 (circle)
 Breeders' Cup intends to nationally and locally recognize contributors to Breeders' Cup

 Yes_or No
 Charities, Please indicate if you wish to be named publicly as a contributor to the Breeders' Cup Charities.

Signature:	Date:
Name (please print):	
Phone:	
Fax:	
E-mail:	

Return to:Breeders' Cup Charities
Attn: Controller
215 W. Main Street, Suite 250
Lexington, KY 40507
(859) 223-5444
Fax: (859) 223-3945
Tel: (800) 722-3287
E-mail: racing@breederscup.com

Your pledge will be greatly appreciated. A Breeders' Cup Charities representative will be in contact after the 2023 Breeders' Cup World Championships.



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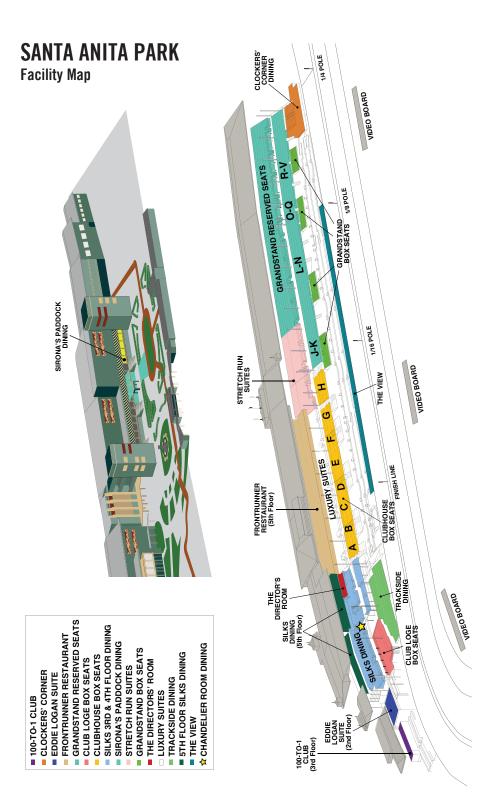


Don't Forget Prevagen Improves Memory!*

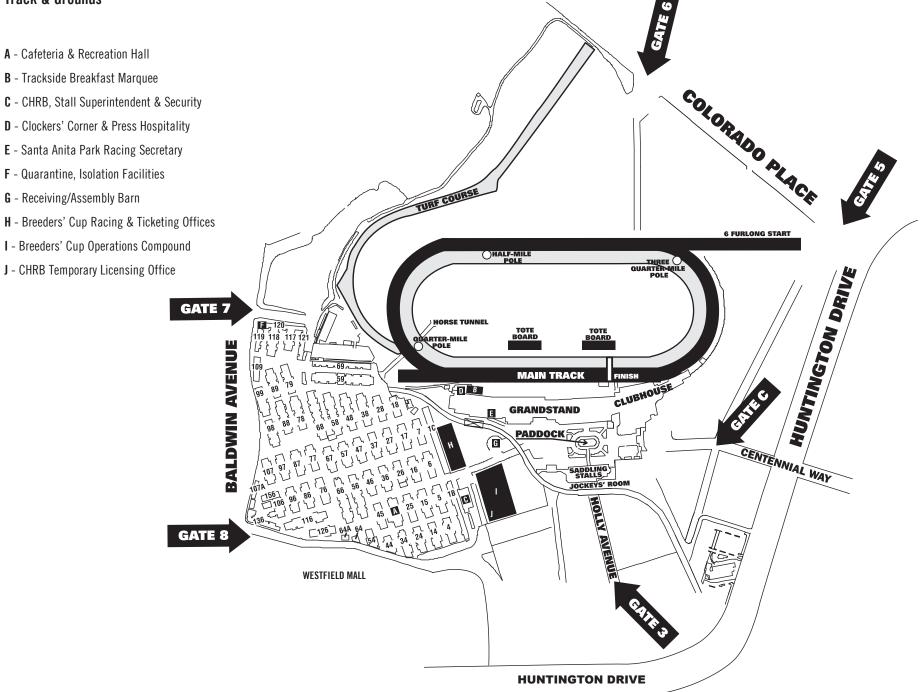
Based on a clinical study of subgroups of individuals who were cognitively normal or mildly impaired.

Save on prevagen today! Visit Prevagen.com/breeders

*These statements have not been evaluated by the Food and Drug Administration. This product is not intended to diagnose, treat, cure, or prevent any disease.



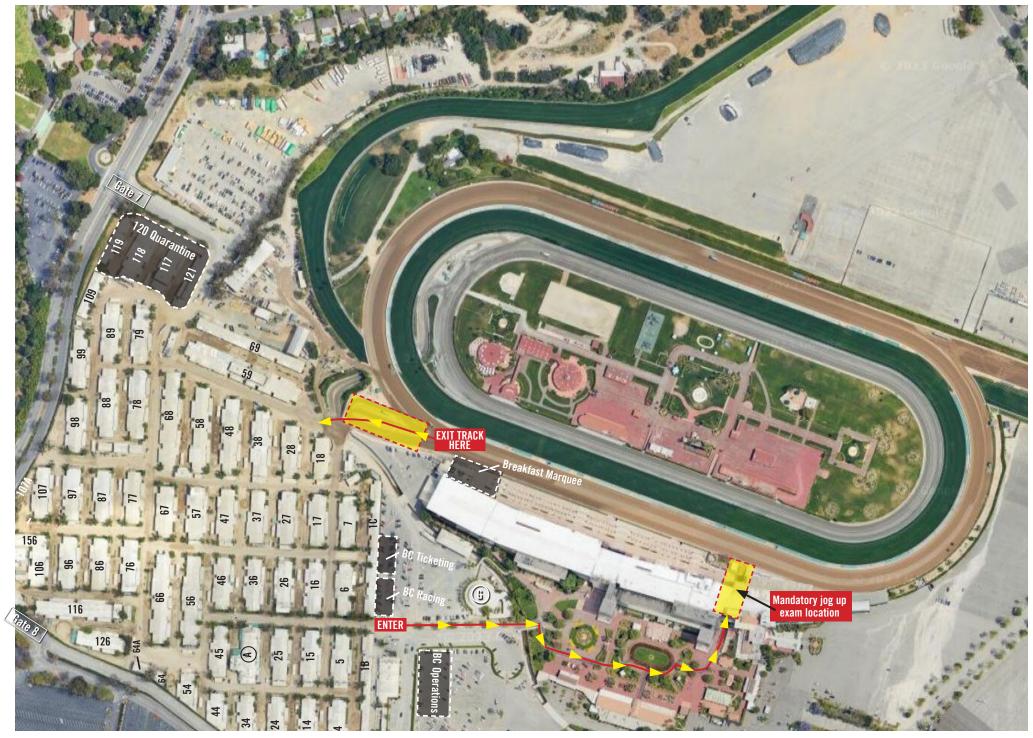
SANTA ANITA PARK Track & Grounds



SANTA ANITA PARK Track & Grounds



SANTA ANITA PARK Mandatory Jog Up Exam Map





FLY PRIVATELY from the Breeders' Cup directly to Lexington's November Sales

Breeders' Cup is pleased to offer the opportunity to fly privately on Saturday evening, November 4, immediately following the World Championships, from the Van Nuys Airport in Los Angeles, CA to Lexington, KY. This exclusive offer allows for the purchase of individual seats on a Breeders' Cup chartered aircraft.

- Departs Los Angeles, CA (VNY) Saturday evening, November 4
- · Arrives Lexington, KY (LEX) Sunday morning, November 5
- · Aircraft: Eight captain chairs
- Complimentary transportation provided from the Langham Pasadena Hotel to Van Nuys Airport
- Seats are first-come, first-served
 Credit card required to confirm reservation
 Deadline to reserve is Friday, October 27

111111

• Cost: \$6,500/seat

Contact Claire Watts for more information: cwatts@breederscup.com • (859) 422-2631



THE LONGINES MASTER COLLECTION

ONGINES